

# Data Stream Computing with Apache Flink

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# Unbounded Analytics Stack

High Level  
Models

Stream SQL, CEP...

Compute

Flink, Beam, Kafka-Streams,  
Apex, Storm, Spark Streaming...

Storage

Kafka, Pub/Sub, Kinesis,  
Pravega...

# Unbounded Analytics Stack

High Level  
Models

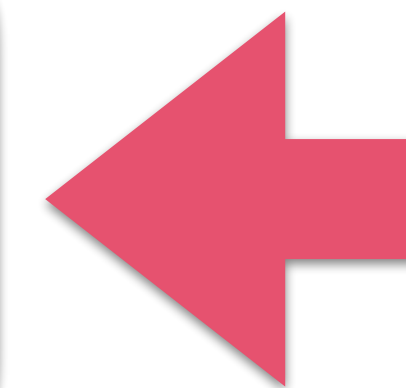
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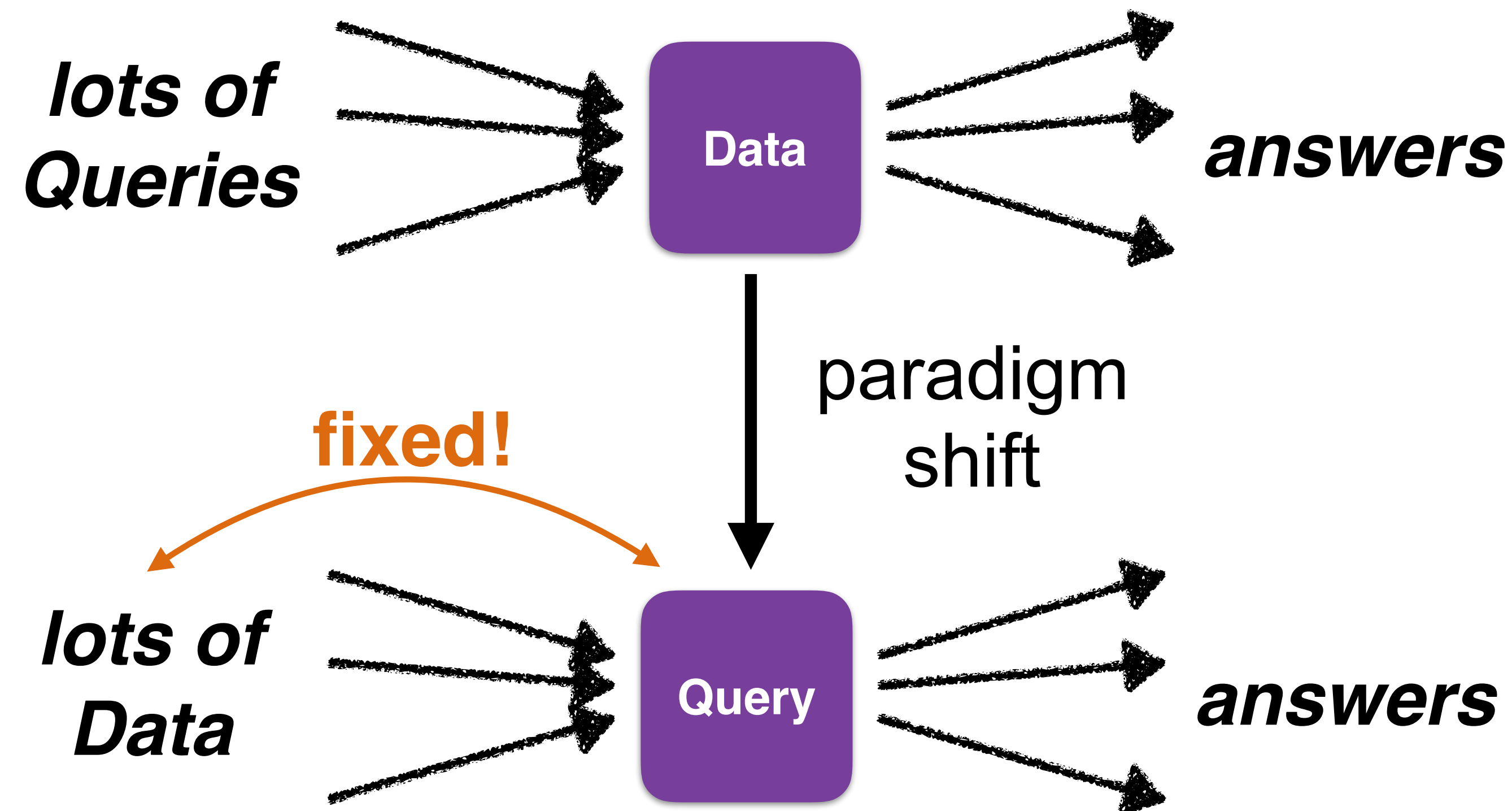




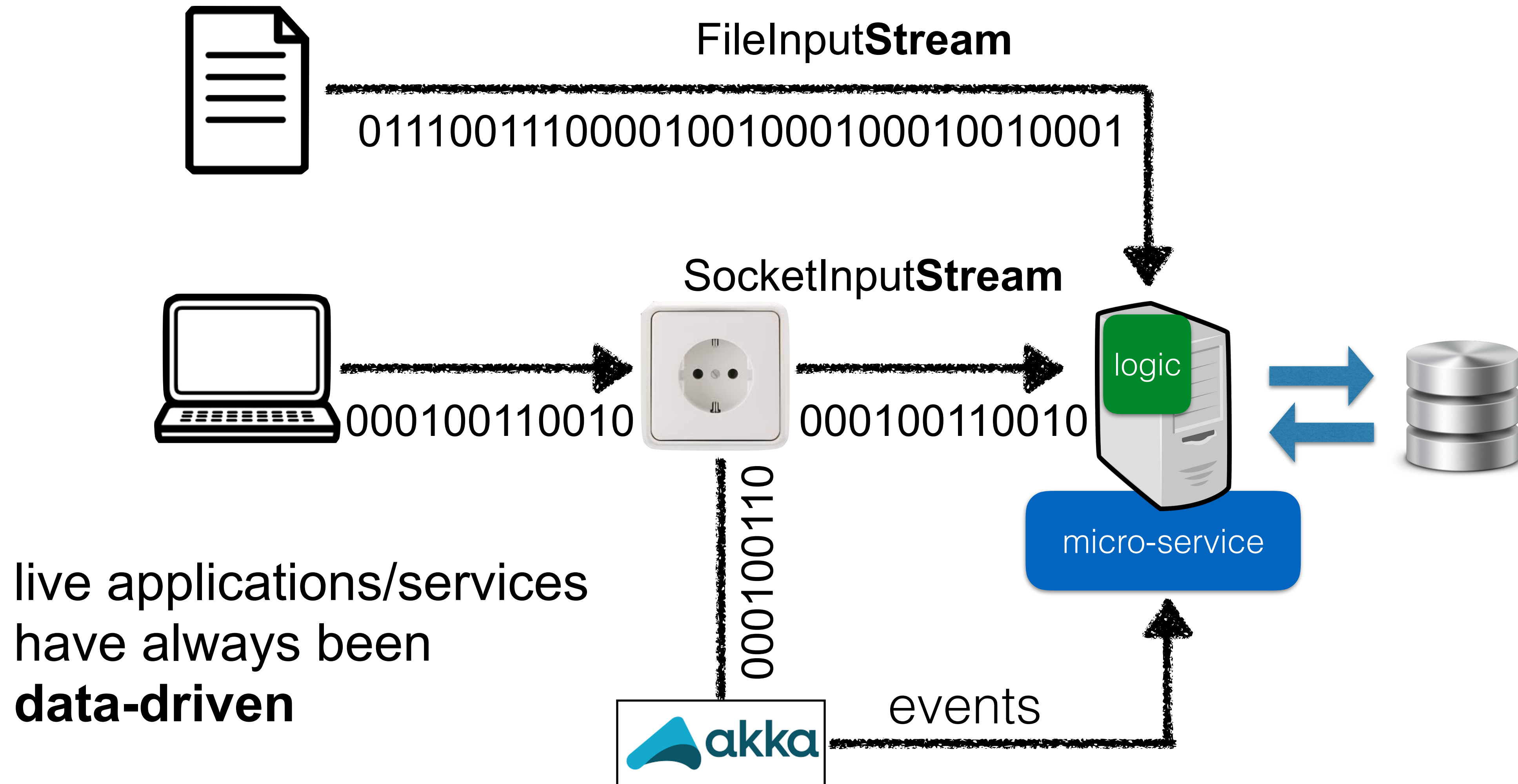
# Overview

# A Paradigm Shift

- **Data Stream Processing** as a standing query execution paradigm



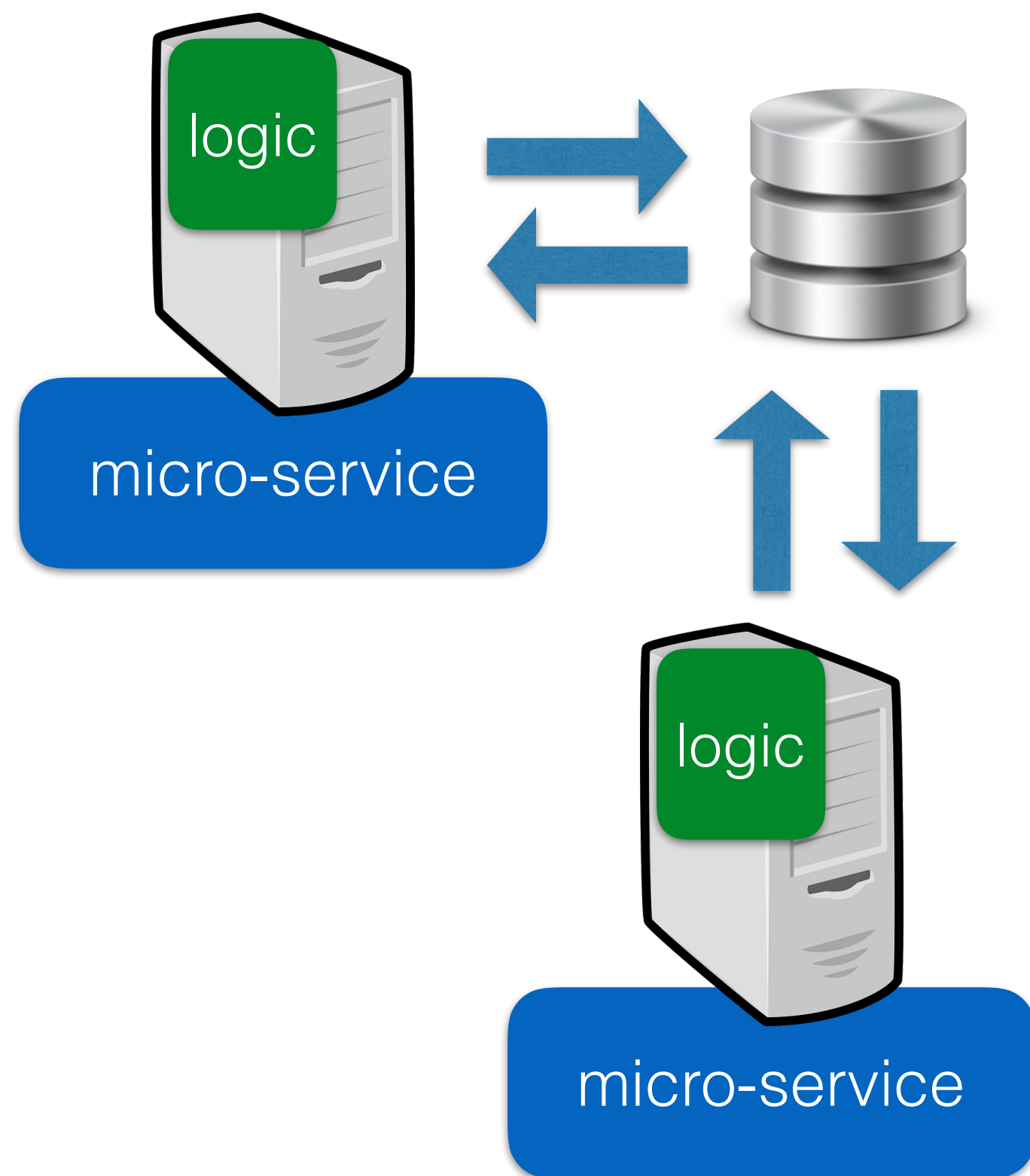
# Similar Technologies



live applications/services  
have always been  
**data-driven**

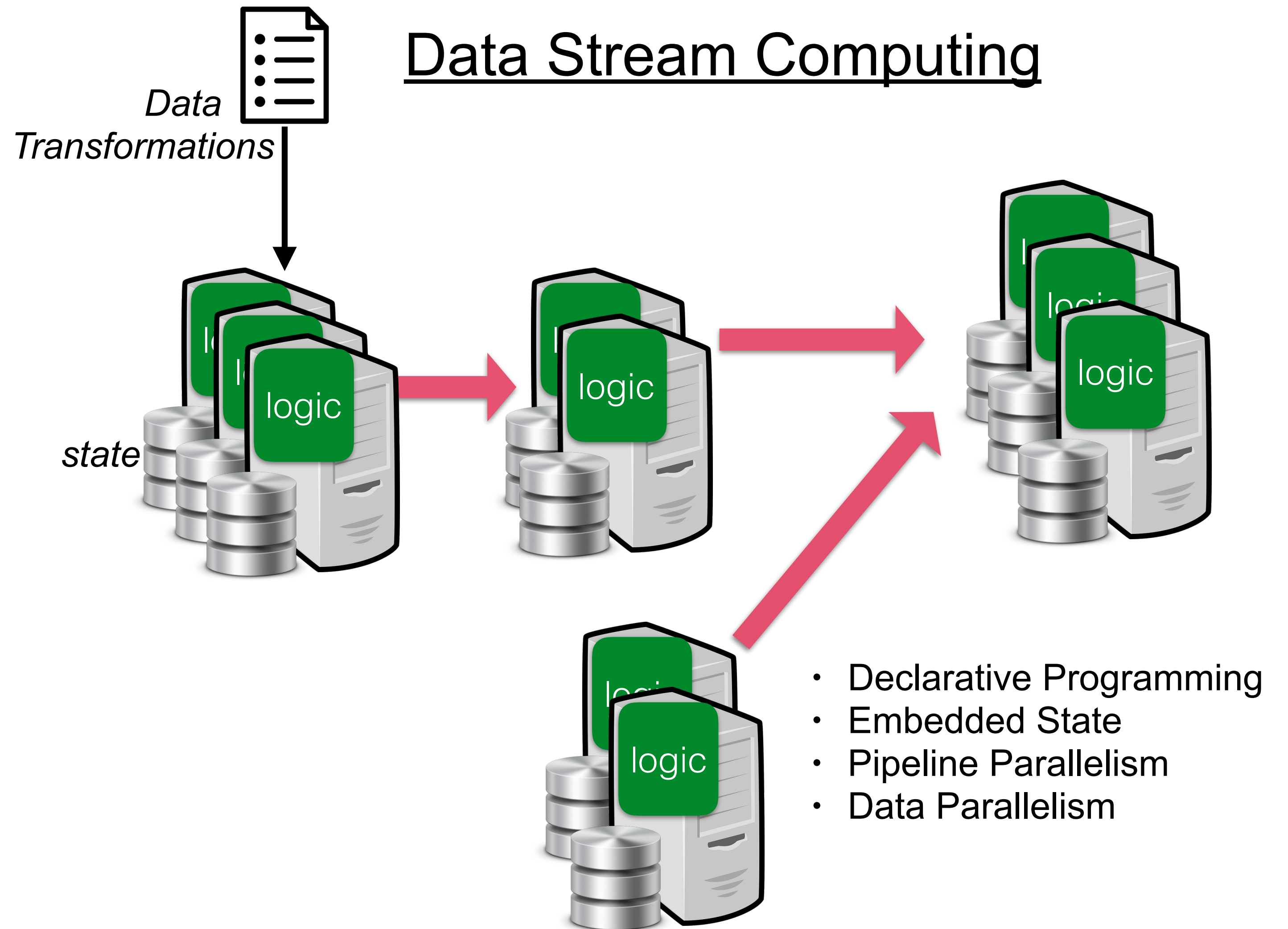
# What Streams do Better

## Traditional Event Processing

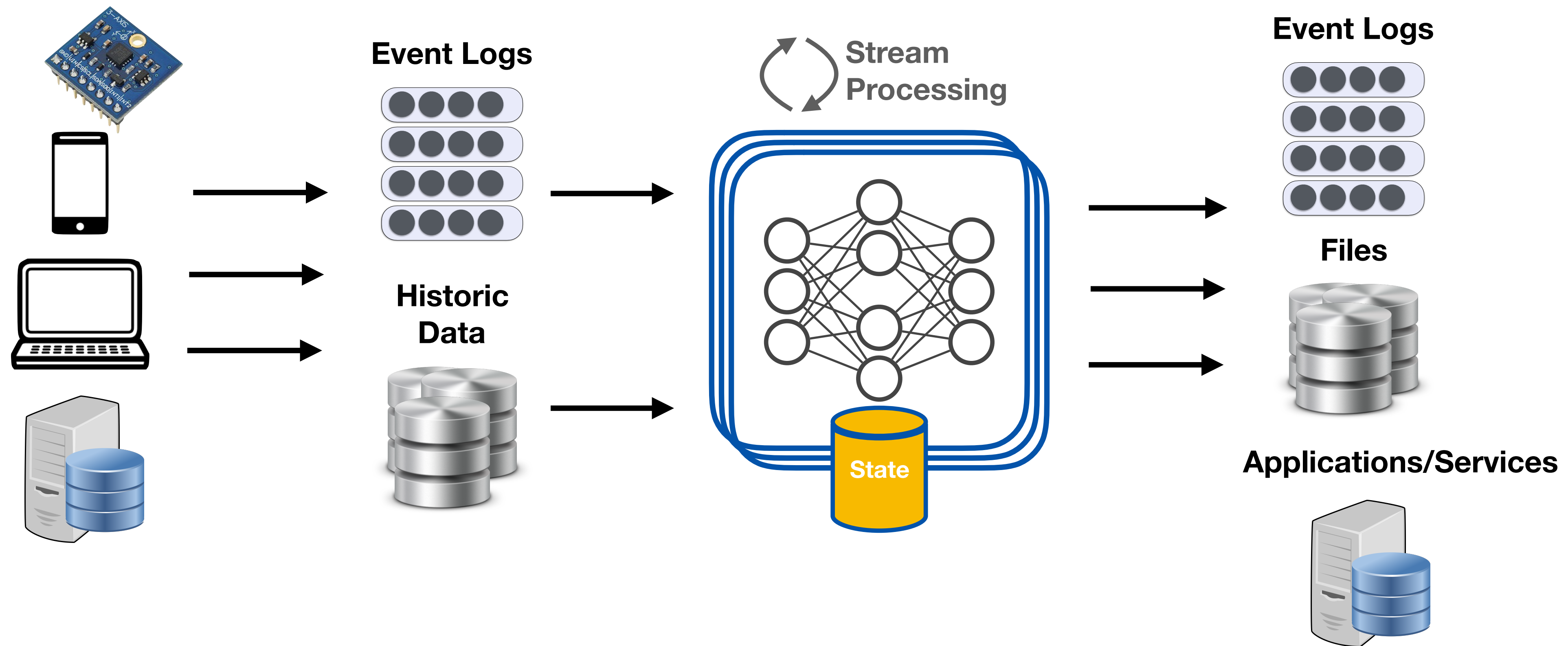


**vs**

## Data Stream Computing



# The End-To-End Picture





# Why Flink



↓ *Data Streams, Fault Tolerance, Window Aggregation, Iterations*



- Top-level Apache Project
- #1 stream processor (2019)
- Production-Proof
- > 400 contributors
- 100s of deployments

← **state management, windows, sql**  
*influenced*

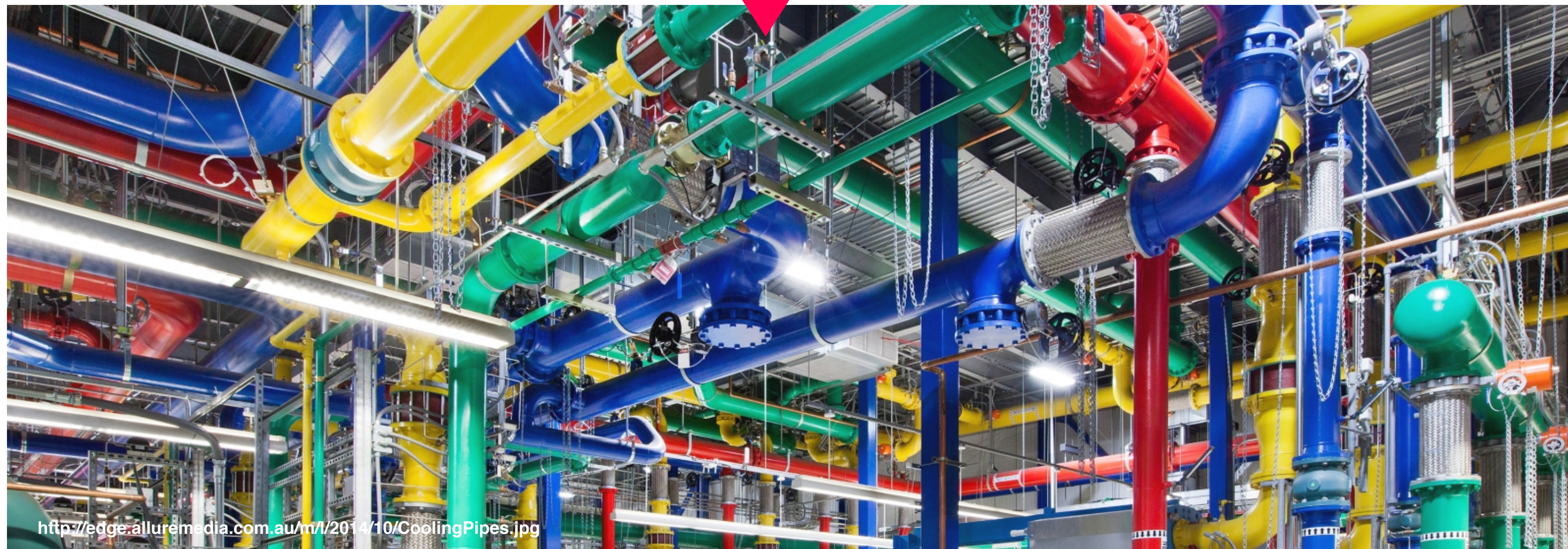
↓ **production deployments**



# Declarative Data Streaming

```
val windowCounts = text.flatMap { w => w.split("\\s") }  
    .map { w => WordWithCount(w, 1) }  
    .keyBy("word")  
    .timeWindow(Time.seconds(5))  
    .sum("count")
```

*Window  
Word Count  
(Apache Flink)*





# Building Blocks of Flink

- Domain-Specific APIs
- DataStream API
- Event Processing Model
- Dataflow Task Execution

## Stream SQL

```

|SELECT
| user,
| SESSION_START(rowtime, INTERVAL '12' HOUR) AS sStart,
| SESSION_END(rowtime, INTERVAL '12' HOUR) AS sEnd,
| SUM(amount)
| FROM Orders
| GROUP BY SESSION(rowtime(), INTERVAL '12' HOUR), user

```

## CEP

```

Pattern.begin("start").where(_.getName().equals("c"))
    .followedBy("middle").where(_.getName().equals("a"))
        .oneOrMore().consecutive()
        .followedBy("end1").where(_.getName().equals("b"));

```

**window, flatmap, filter etc.**

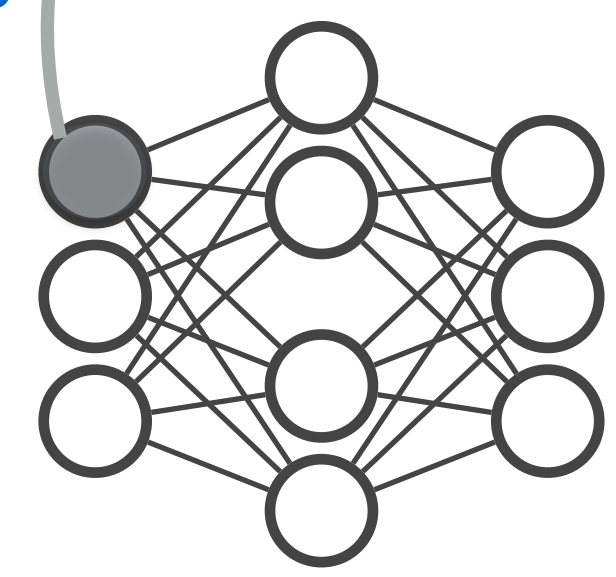
composes

f(event, **state**, **time**)

**Out-of-order Processing**

**State Management**

- Task Scheduling/IO/Monitoring etc
- Fault Tolerance
- Reconfiguration
- Savepoints





# Part I

# Stream Programming in Apache Flink

# Technologies Behind Flink

- Flink runs on the **JVM**.
- **Master/Slave** architecture ~ Hadoop (**JobManager, TaskManagers**)
- **Java** and **Scala** 100% supported.
- **Depends on:** *Zookeeper, Akka, RocksDB (state).*
- **Supports:** *Kafka, Cassandra, Kinesis, Elasticsearch, HDFS, RabbitMQ, NiFi, Google Cloud PubSub, Twitter API* etc.
- Two Underlying Execution Modes:
  - **DataSet:** Batch programs (to be deprecated)
  - **DataStream:** Unbounded programs (and batch soon)

# Types

- Automatic Support (Flink Serializer) for:
  - **Basic Types** (String, Long , Integer etc.)
  - **Composite Types:** Flink Tuples, POJOs / Scala Case Classes

```
Tuple2<String, Integer> person = new Tuple2<>("Fred", 35);  
// zero based index!  
String name = person.f0;  
Integer age = person.f1;
```

flink tuple

```
case class Person(name:String, age: Int)  
Person("Fred Flintstone", 35)
```

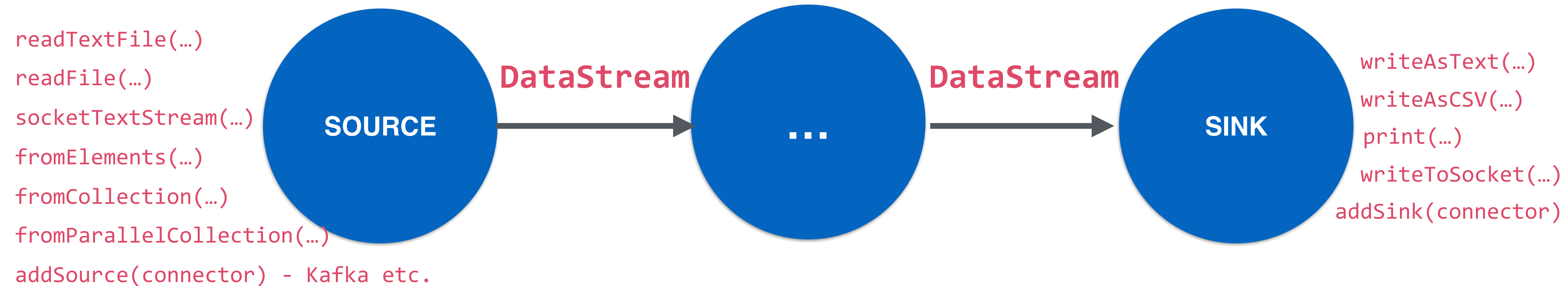
case class

```
public class Person {                                pojo  
    public String name;  
    public Integer age;  
    public Person() {};  
    public Person(String name, Integer age) {  
        ...  
    };  
}  
  
Person person = new Person("Fred Flintstone", 35);
```

# Program Composition

- A Flink Program has a *beginning* (**Source**) and an *end* (**Sink**).
- Programs are **lazily** executed (compiled, optimised and executed all-together)

**environment.**





# Example

```
public class Example {  
  
    public static void main(String[] args) throws Exception {  
        final StreamExecutionEnvironment env =  
            StreamExecutionEnvironment.getExecutionEnvironment();  
  
        DataStream<Person> flintstones = env.fromElements(  
            new Person("Fred", 35),  
            new Person("Wilma", 35),  
            new Person("Pebbles", 2));  
  
        DataStream<Person> adults = flintstones  
            .filter(new FilterFunction<Person>() {  
                @Override  
                public boolean filter(Person person){  
                    return person.age >= 18;  
                }  
            });  
  
        adults.print();  
  
        env.execute();  
    }  
}
```

```
public static class Person {  
    public String name;  
    public Integer age;  
    public Person() {};  
  
    public Person(String name, Integer age) {  
        this.name = name;  
        this.age = age;  
    };  
  
    public String toString() {  
        return this.name.toString() + ": age "  
            + this.age.toString();  
    };  
}
```



# DataStream CheatSheet

## DataStream

<b>Map</b>	<code>dataStream.map { x =&gt; x * 2 }</code>
<b>FlatMap</b>	<code>dataStream.flatMap { str =&gt; str.split(" ") }</code>
<b>Filter</b>	<code>dataStream.filter { _ != 0 }</code>
<b>KeyBy</b>	<code>dataStream.keyBy("someKey")</code> <code>dataStream.keyBy(0)</code>
<b>Union</b>	<code>dataStream.union(stream1, ...)</code>
<b>Connect</b>	<code>someStream : DataStream[Int] = ...</code> <code>otherStream : DataStream[String] = ...</code> <code>someStream.connect(otherStream)</code>
<b>Split</b>	<code>val split = someDataStream.split(</code> <code>(num: Int) =&gt;</code> <code>(num % 2) match {</code> <code>case 0 =&gt; List("even")</code> <code>case 1 =&gt; List("odd")</code> <code>}</code> <code>)</code>



## KeyedStream

<b>Reduce</b>	<code>keyedStream.reduce { _ + _ }</code>
<b>Fold</b>	<code>keyedStream.fold("start")((str, i) =&gt; { str + "-" + i })</code>
<b>Aggregations</b>	<code>keyedStream.sum(0)</code>
<b>Window</b>	<goto next slide>

## ConnectedStream

<b>CoMap, CoFlatMap</b>	<code>connectedStreams.map(</code> <code>(_: Int) =&gt; true,</code> <code>(_: String) =&gt; false</code> <code>)</code> <code>connectedStreams.flatMap(</code> <code>(_: Int) =&gt; true,</code> <code>(_: String) =&gt; false</code> <code>)</code>
-------------------------	--

## SplitStream

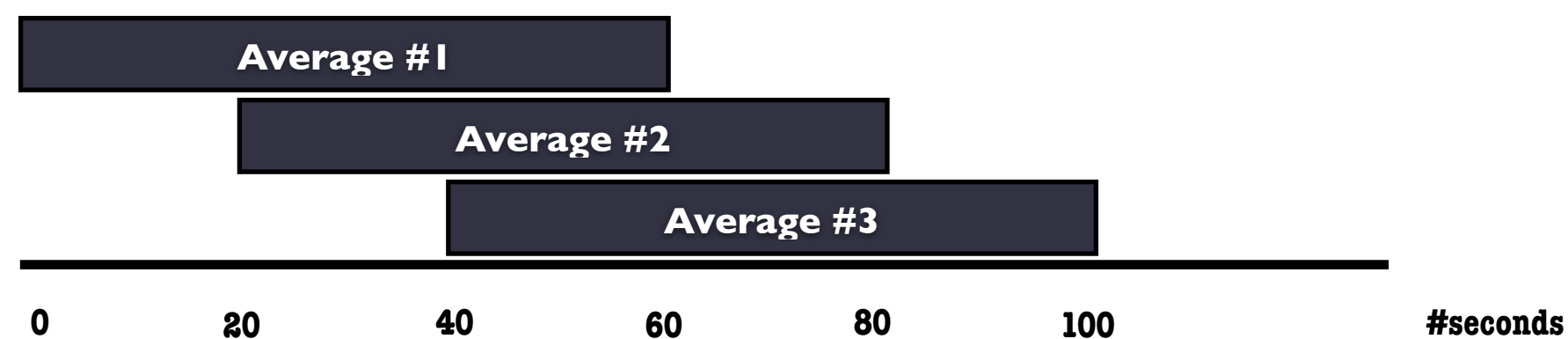
<b>Select</b>	<code>val even = split.select("even")</code> <code>val odd = split.select("odd")</code> <code>val all = split.select("even", "odd")</code>
---------------	--

# Stream Windows

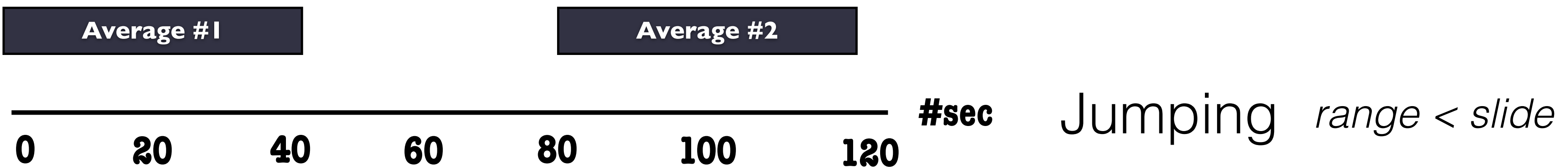
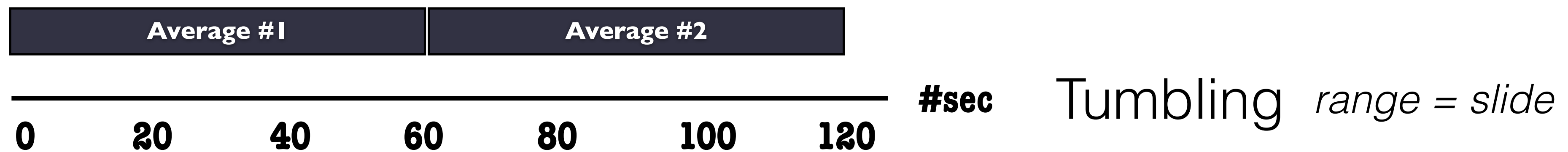
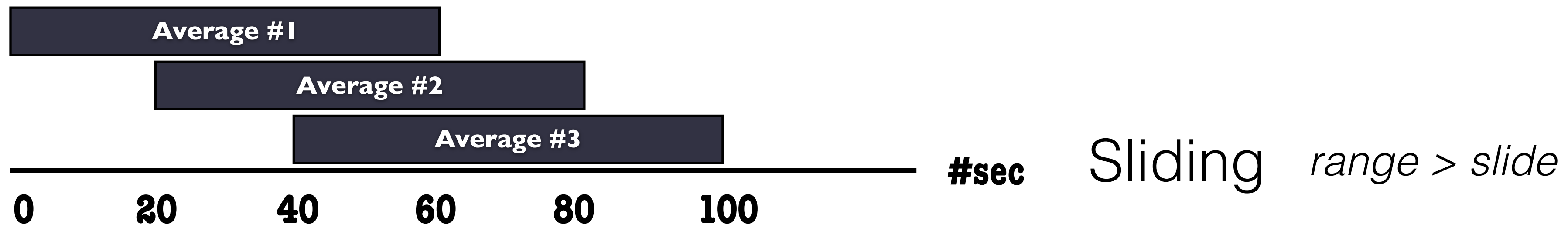
- We often need to do **analytics/aggregations** on relevant sets of records (e.g. a user session).
- A stream window is a **relevant slice** in the space-time continuum

*“location temperature over the last minute every 20 sec”*

- **Range:** How **big** a window is (eg. 1 minute, 1000 tuples)
- **Trigger/Slide:** How **often** we need analysis on a window

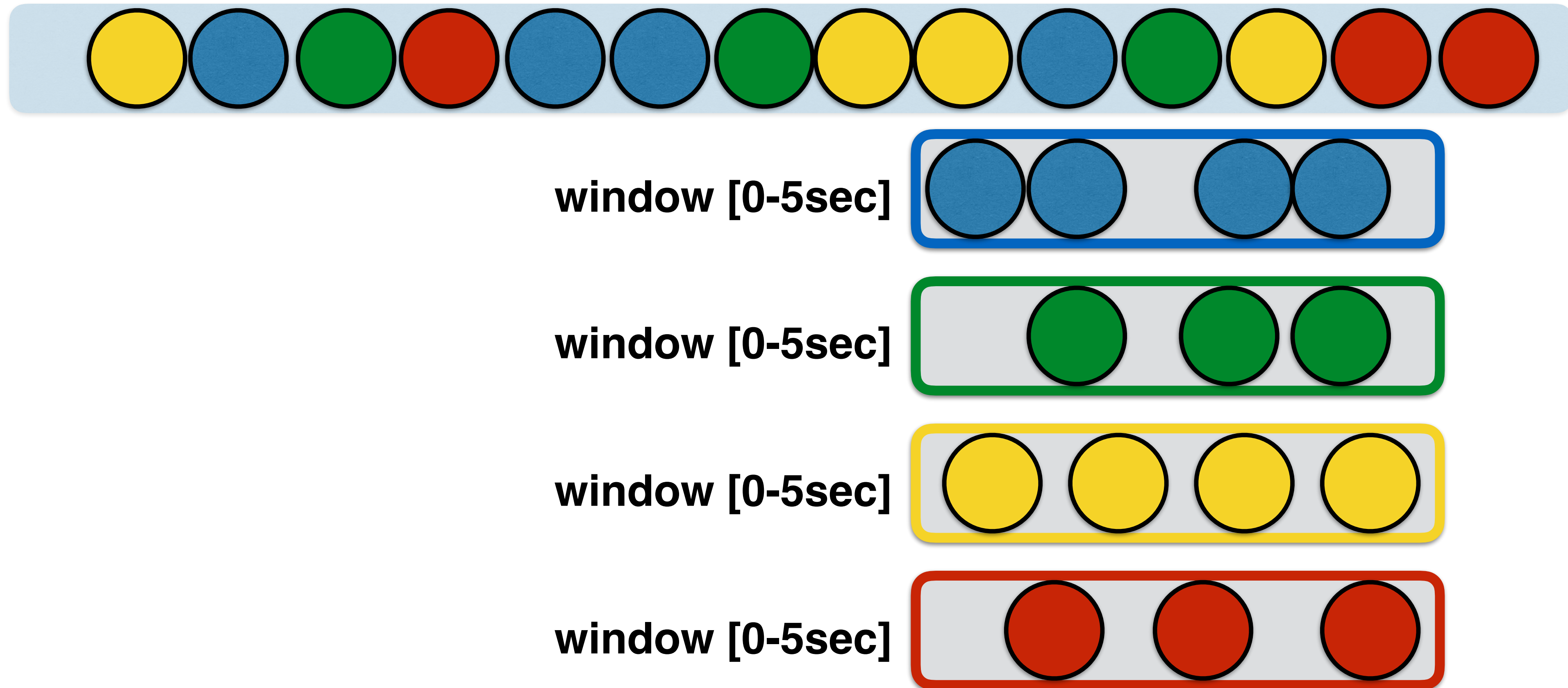


# Stream Window Types



# Data Parallelism and Windows

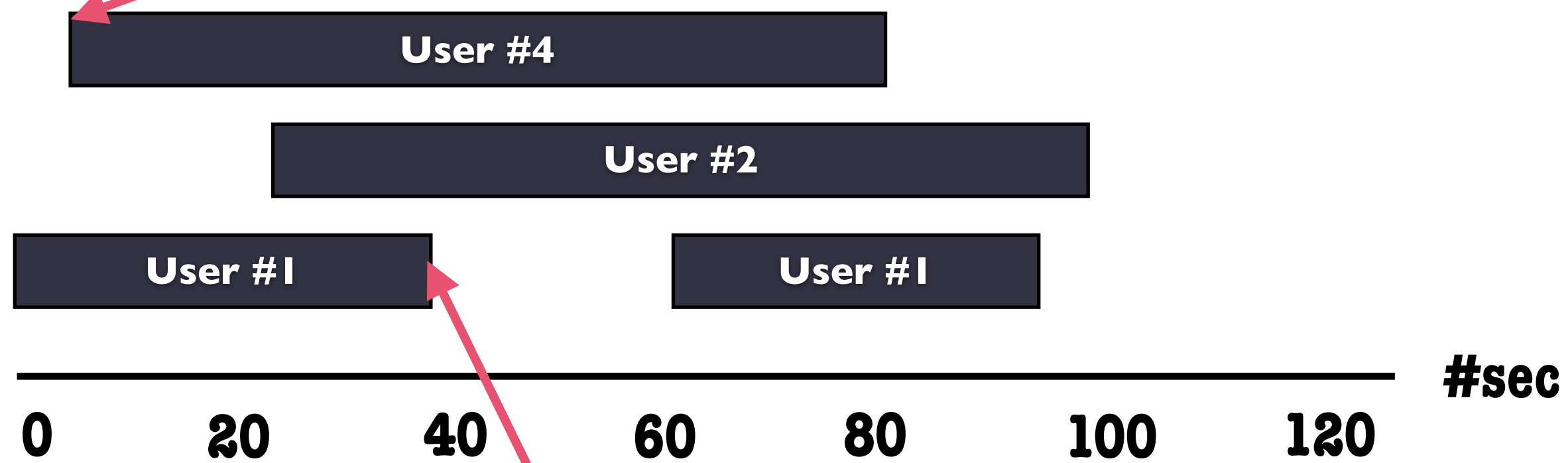
**Remember** Windows are defined on a *KeyedStream*



Note: Flink also supports a non-keyed **windowAll** with the cost of a **single task execution**

# Session Windows

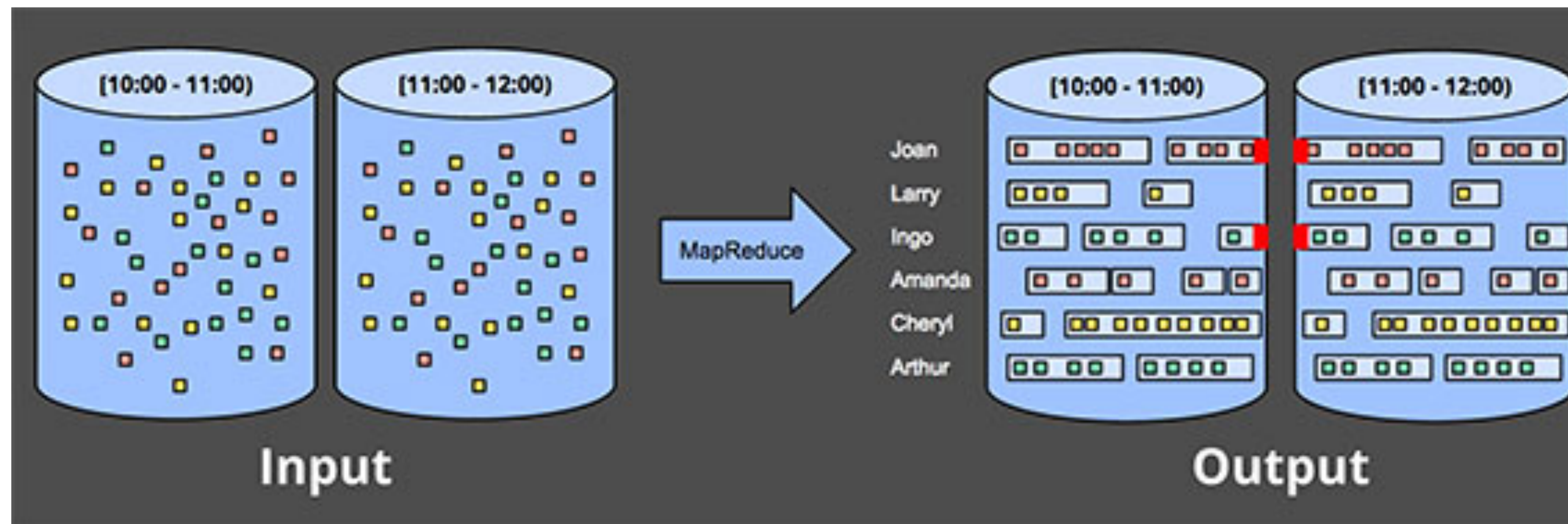
*first event of user #4 happens here*



user#1 becomes inactive (session times-out)

# Session Windows

- Hard problem for Batch Processing engines
- Only suitable for a Continuous Execution



# CheatSheet Continued

## KeyedStream

```

Reduce      keyedStream.reduce { _ + _ }

Fold       keyedStream.fold("start")((str, i)
=> { str + "-" + i })

Aggregations keyedStream.sum(0)

Window
keyedStream.window(
TumblingEventTimeWindows.of(Time.seconds(5))
SlidingProcessingTimeWindows.of(
  Time.seconds(10), Time.seconds(5))
EventTimeSessionWindows.withGap(Time.minutes(10))
)
  
```

## WindowedStream

```

Reduce     wstream.reduce { (v1, v2) =>
              (v1._1, v1._2 + v2._2) }

Fold      wstream.fold("") { (acc, v) =>
              acc + v._2 }

Aggregate(Associative) wstream.aggregate(Sum..)

ProcessWindowFunction:
.process(new MyProcessWindowFunction())

class MyProcessWindowFunction
extends ProcessWindowFunction[(String, Long), String,
String, TimeWindow] {

  def process(key: String, context: Context,
input: Iterable[(String, Long)], out: Collector[String]): ()
= { var count = 0L
    for (in <- input) {
      count = count + 1
    }
    out.collect(s"Window ${context.window} count: $count")}}
  
```

## DataStream

# The Process Function

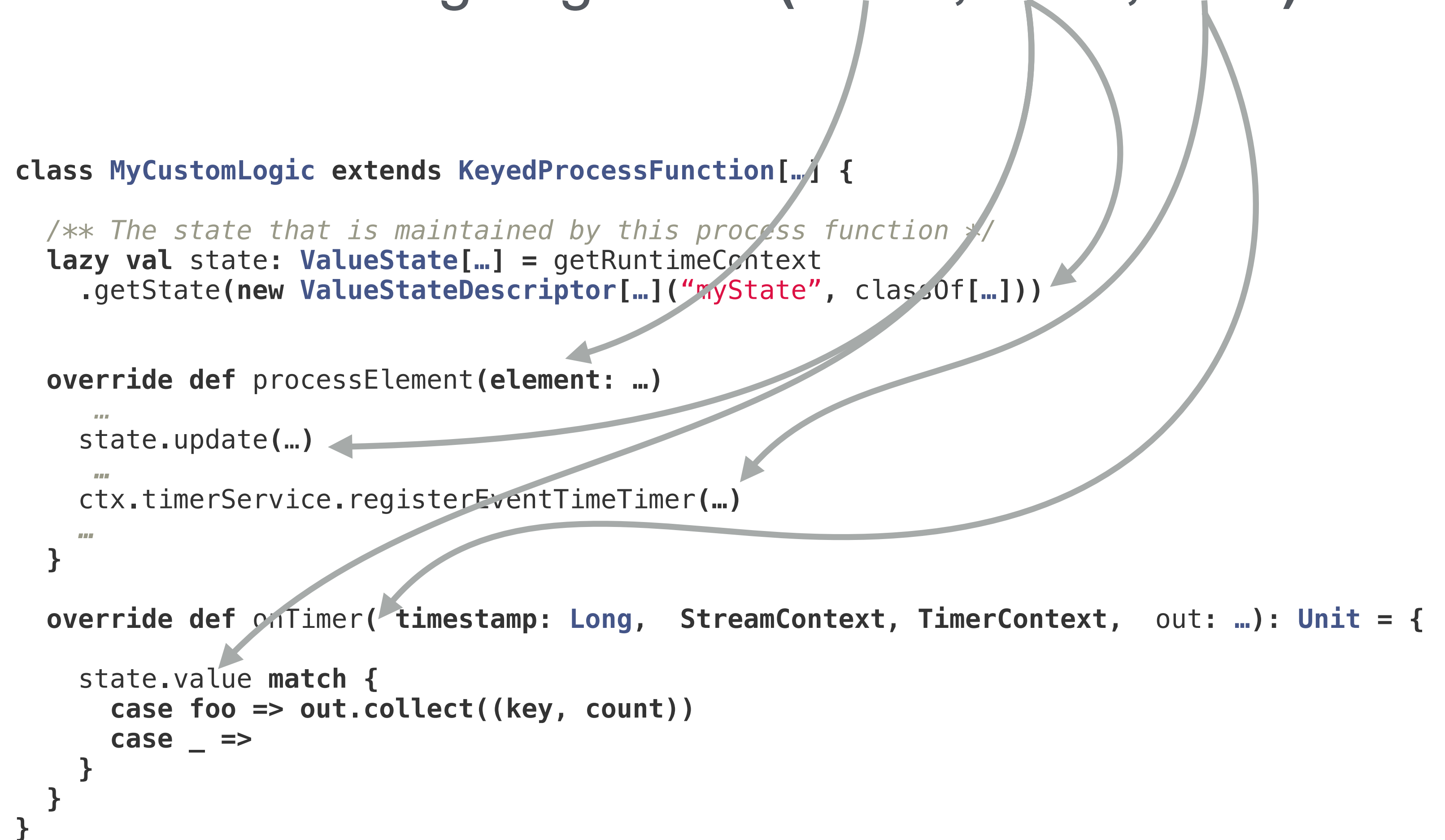
- Encapsulates any Event-Processing Logic as: **f(event, state, time)**

```
// the source data stream
val stream: DataStream[...] = ...
val result: DataStream[...] = stream
    .keyBy(0)
    .process(new MyCustomLogic())
```

```
class MyCustomLogic extends KeyedProcessFunction[...] {
    /** The state that is maintained by this process function */
    lazy val state: ValueState[...] = getRuntimeContext
        .getState(new ValueStateDescriptor[...]("myState", classOf[...]))

    override def processElement(element: ...)
        ...
        state.update(...)
        ...
        ctx.timerService.registerEventTimeTimer(...)
        ...
    }

    override def onTimer(timestamp: Long, StreamContext, TimerContext, out: ...): Unit = {
        state.value match {
            case foo => out.collect((key, count))
            case _ =>
        }
    }
}
```

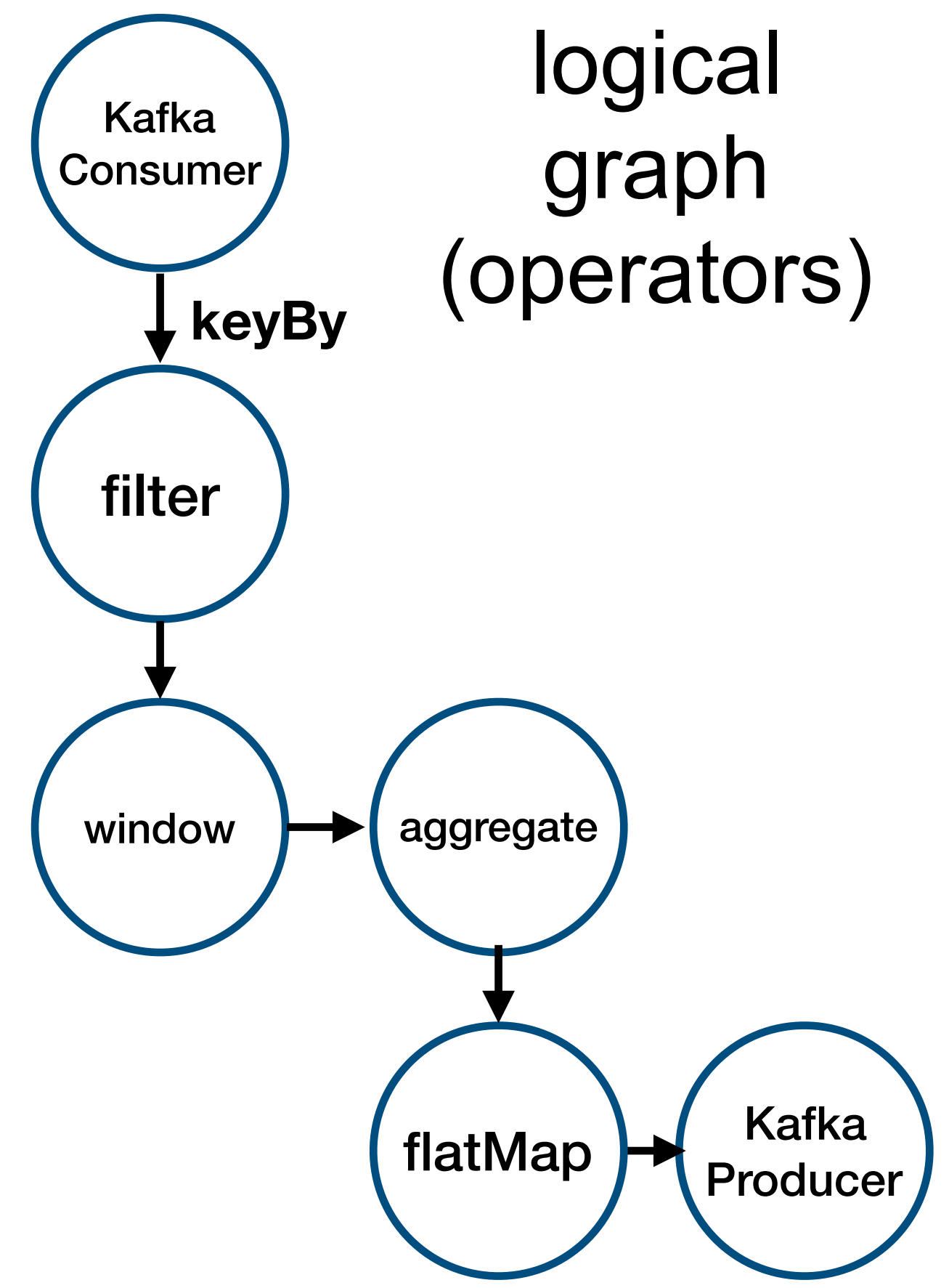






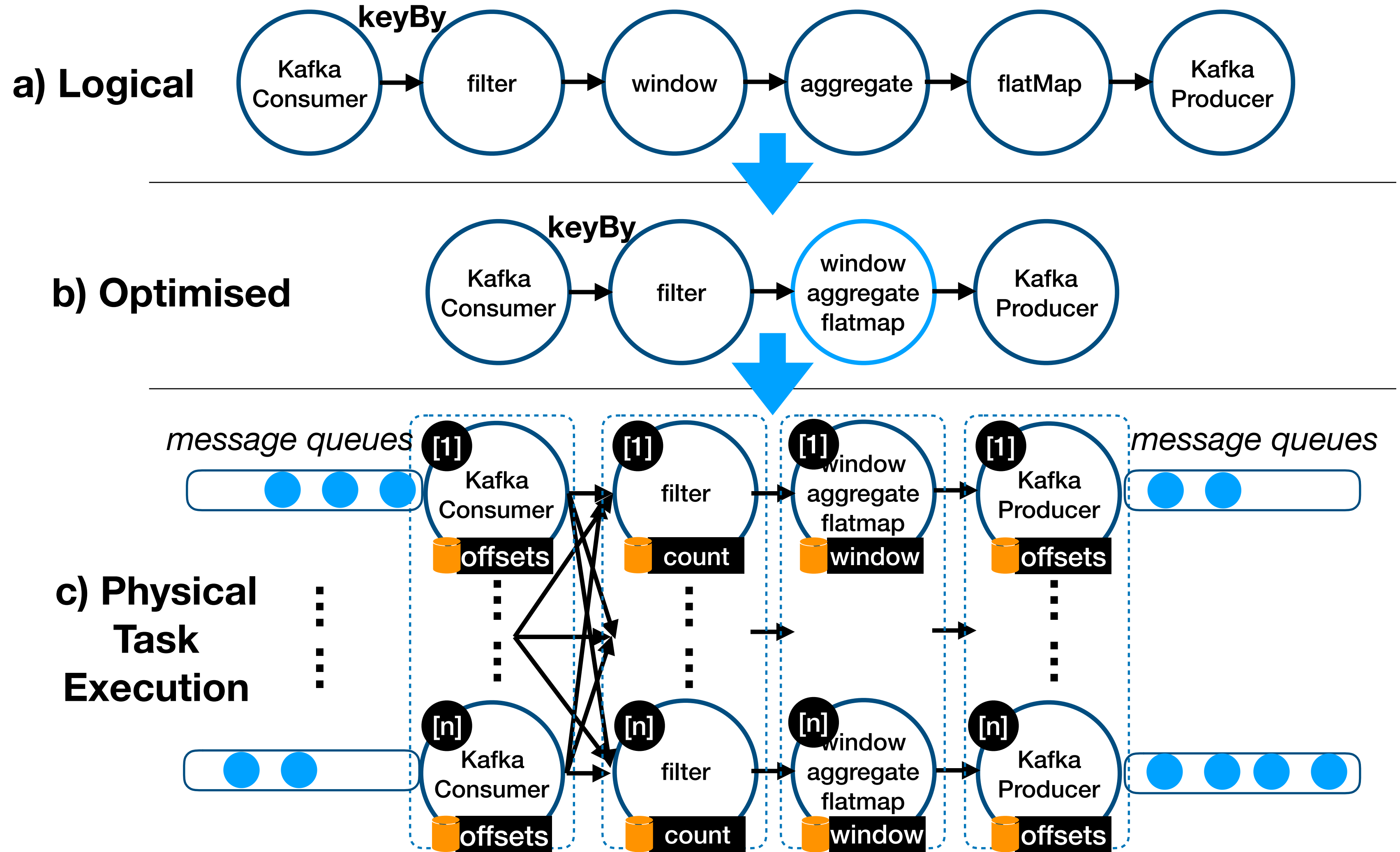
# Fire Detection with the DataStream API

```
1 | case class SensorEvent(sensorID: Long, temperature: Int);  
2 | case class TemperatureWarning(sensorID: Long, temperature: Int);
```





# Fire Detection with the DataStream API)





Task computation is not staged  
but can go on **indefinitely**.

How can we achieve **reliable  
processing** at the presence of failures,  
reconfiguration, migration etc.?

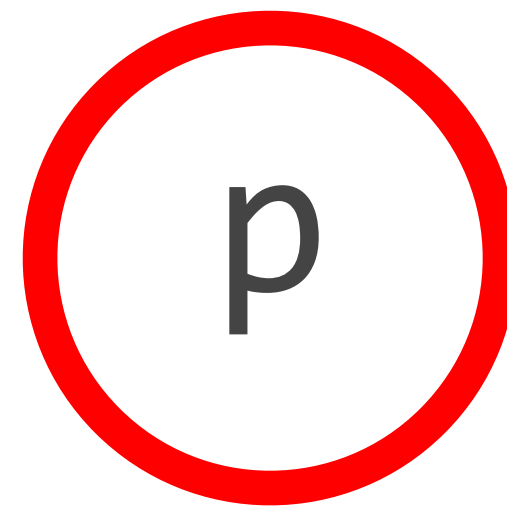


## Part II

# State Management in Apache Flink

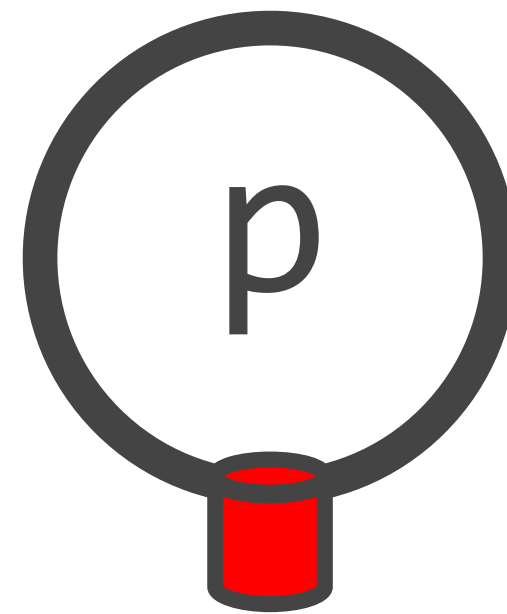


# Event Processing Model



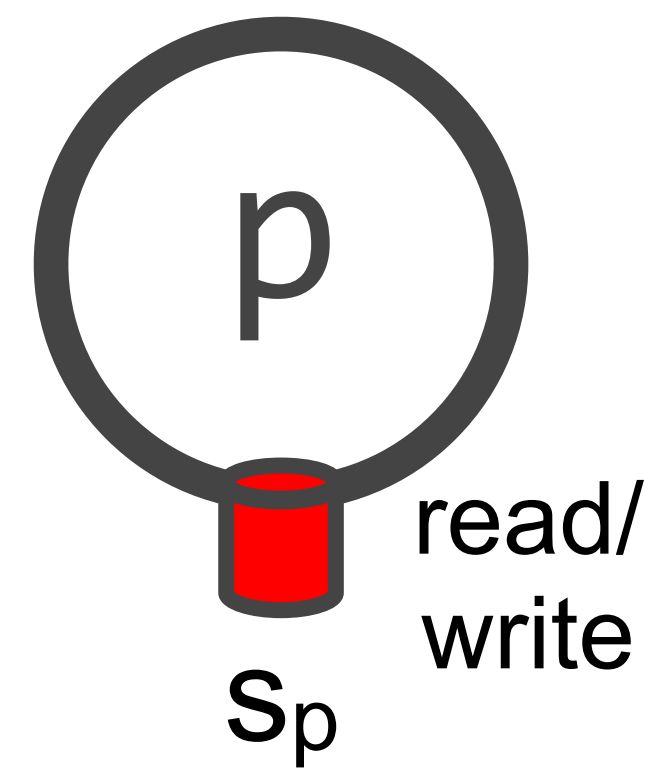


# Event Processing Model

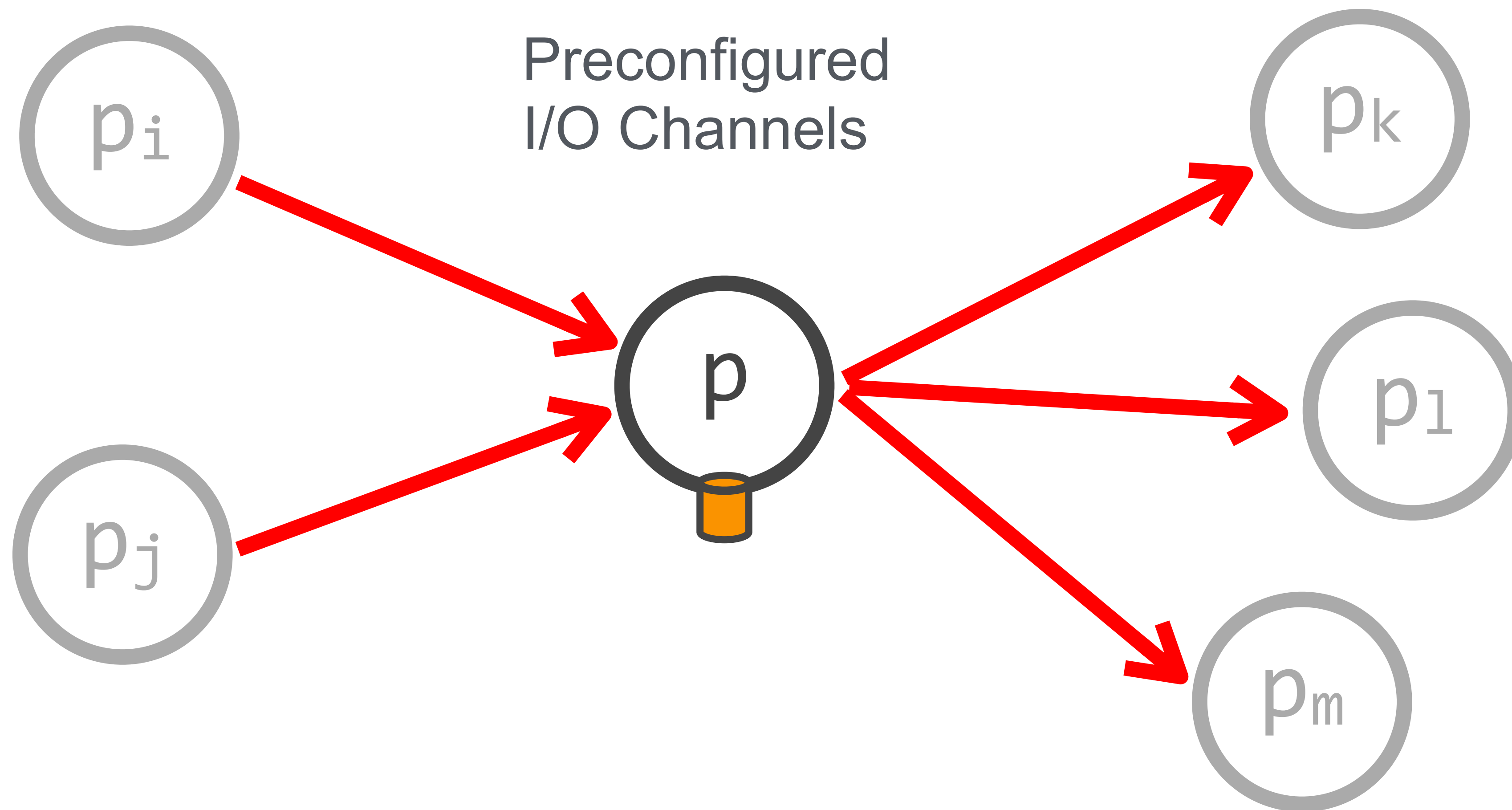




# Event Processing Model

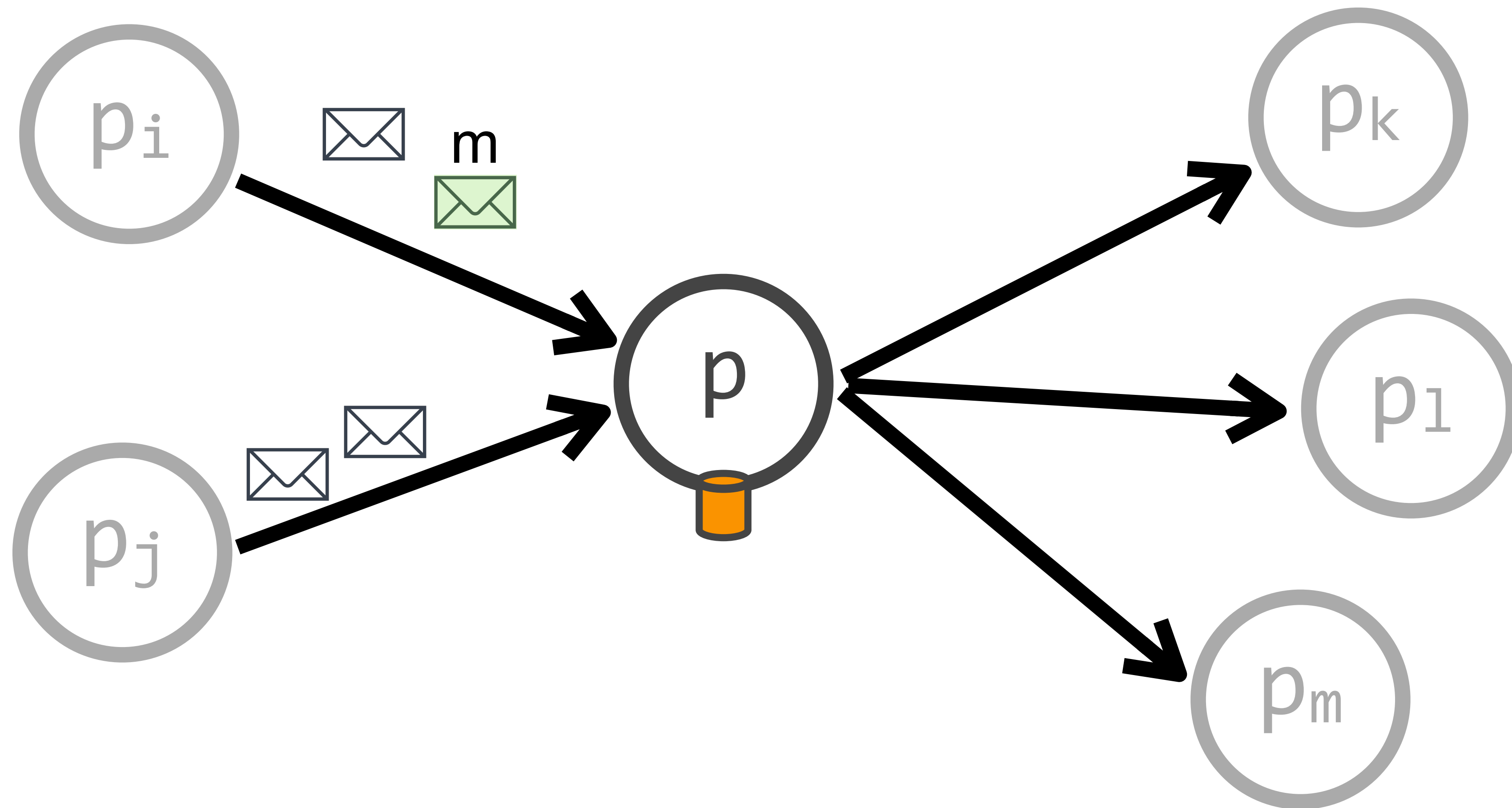


# Event Processing Model

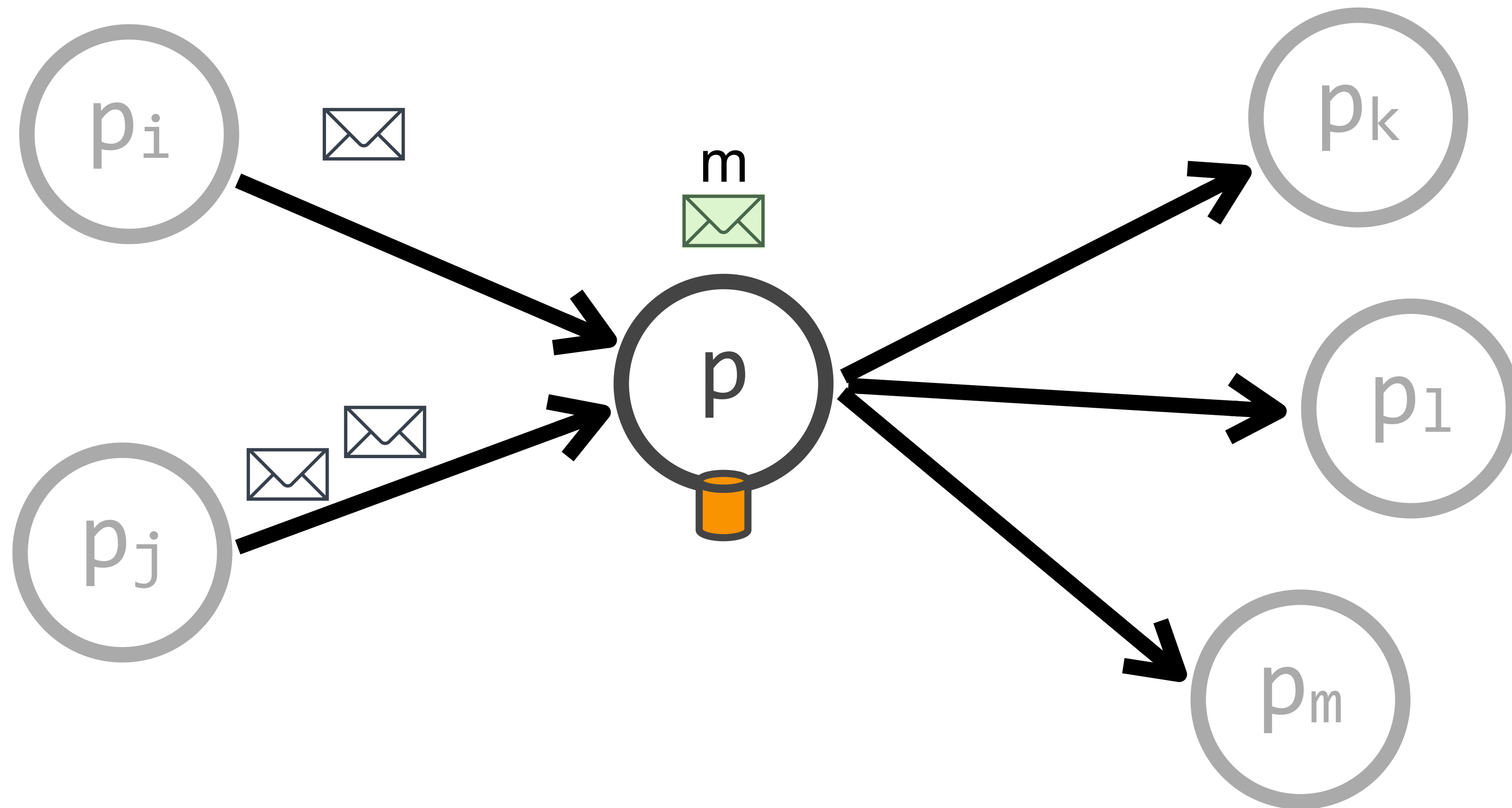




# Event Processing Model

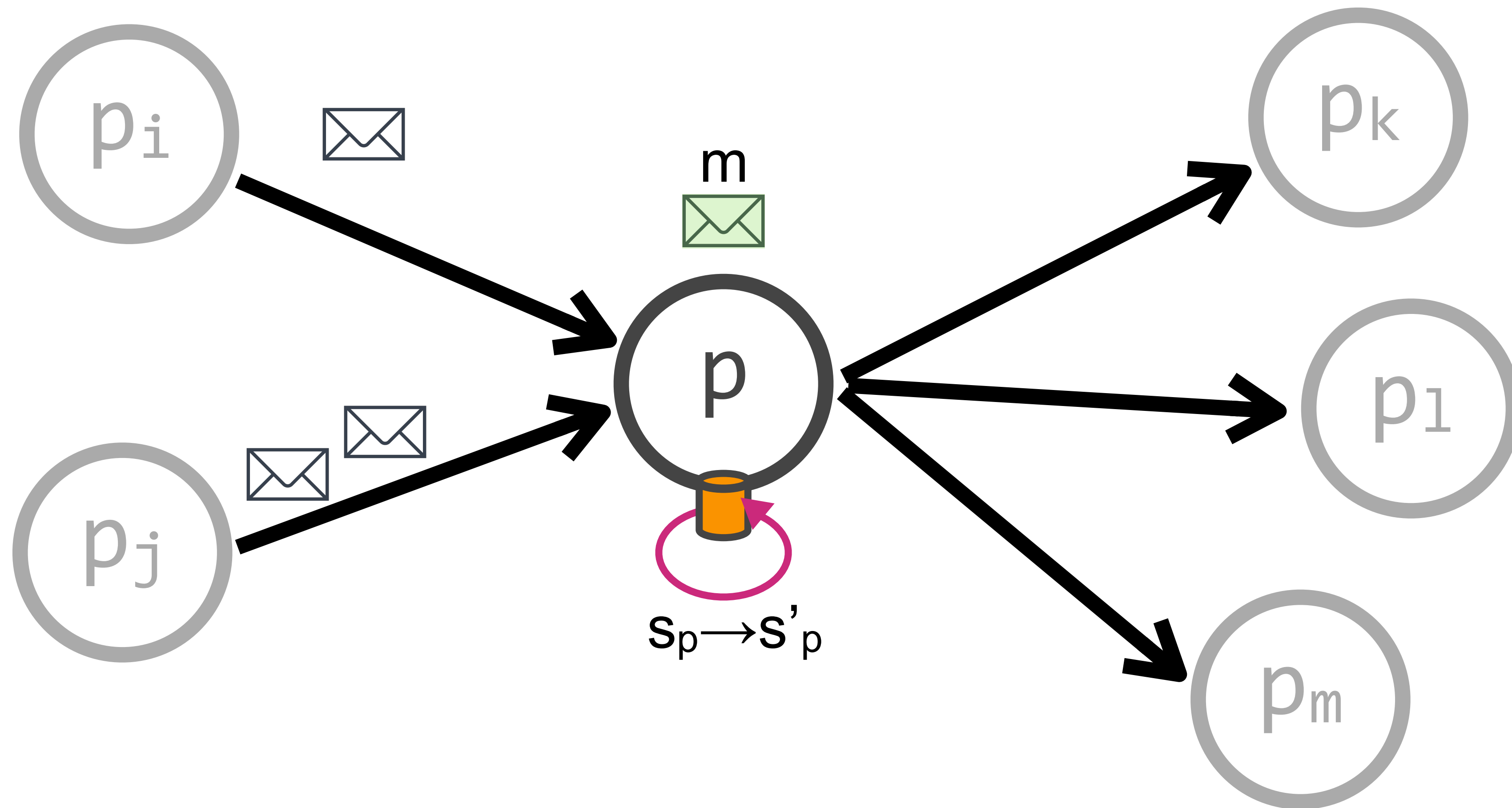


# Event Processing Model



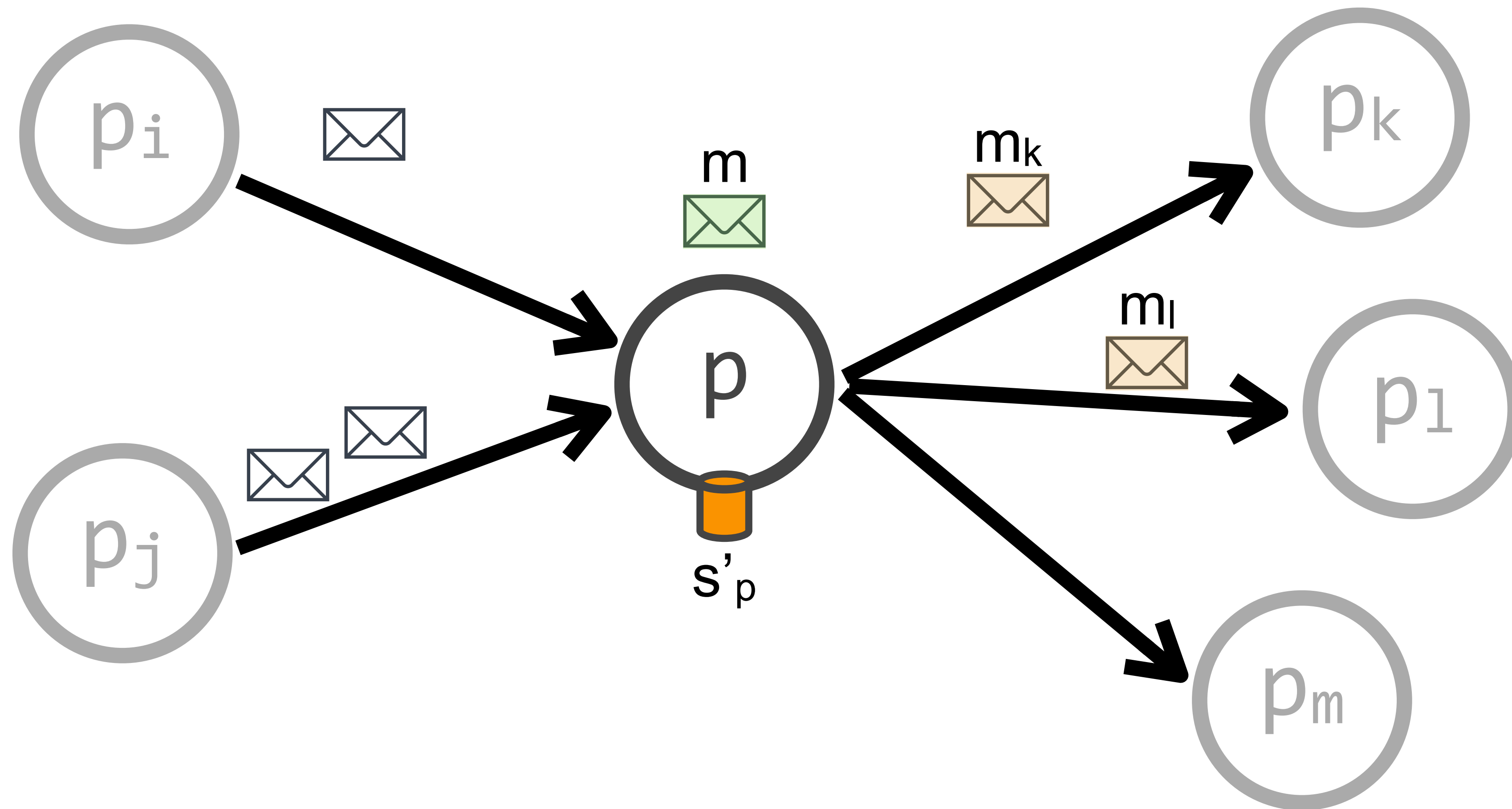
**Action:** {  $\langle \text{recv}, m \rangle$  }

# Event Processing Model



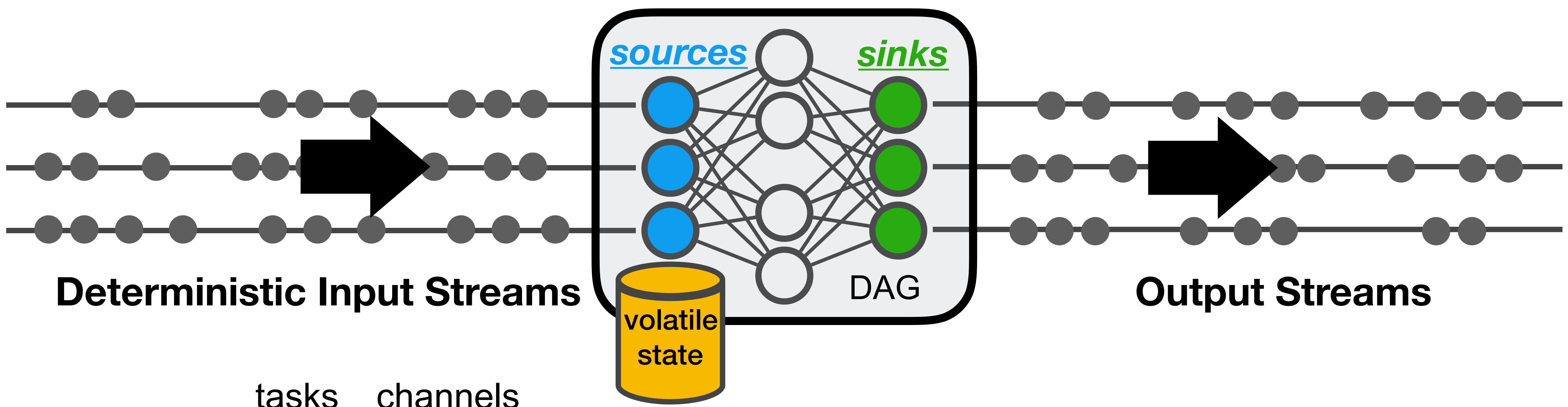
**Action:** {  $\langle \text{recv}, m \rangle$ ,  $\langle S_p \rightarrow S'_p \rangle$  }

# Event Processing Model



**Action:** {  $\langle \text{recv}, m \rangle$ ,  $\langle s_p \rightarrow s'_p \rangle$ ,  $\langle \text{send}, m_k \rangle$ ,  $\langle \text{send}, m_l \rangle$  }

# Stream Process Graphs

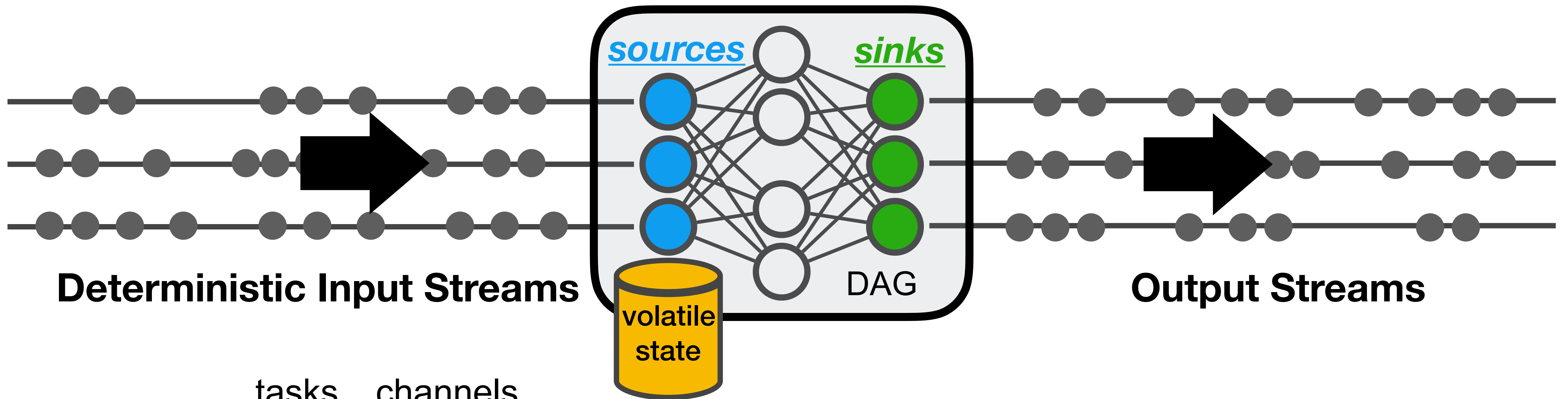


tasks channels

$$\text{System} : \{ \Pi, \mathbb{E} \}$$

$$\text{System Execution} : \dots \rightarrow \{ \Pi_*, M \} \rightarrow \{ \Pi'_*, M' \} \rightarrow \dots$$

# Stream Process Graphs

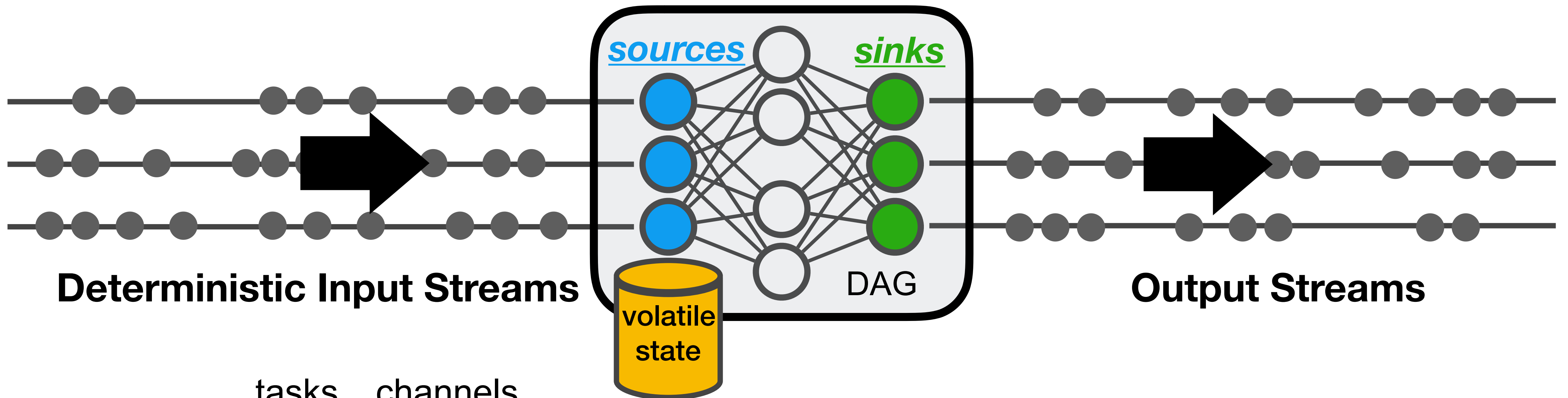


System :  $\{ \Pi, \mathbb{E} \}$

Task Actions

System Execution :  $\dots \rightarrow \{ \Pi_*, M \} \rightarrow \{ \Pi'_*, M' \} \rightarrow \dots$

# Stream Process Graphs

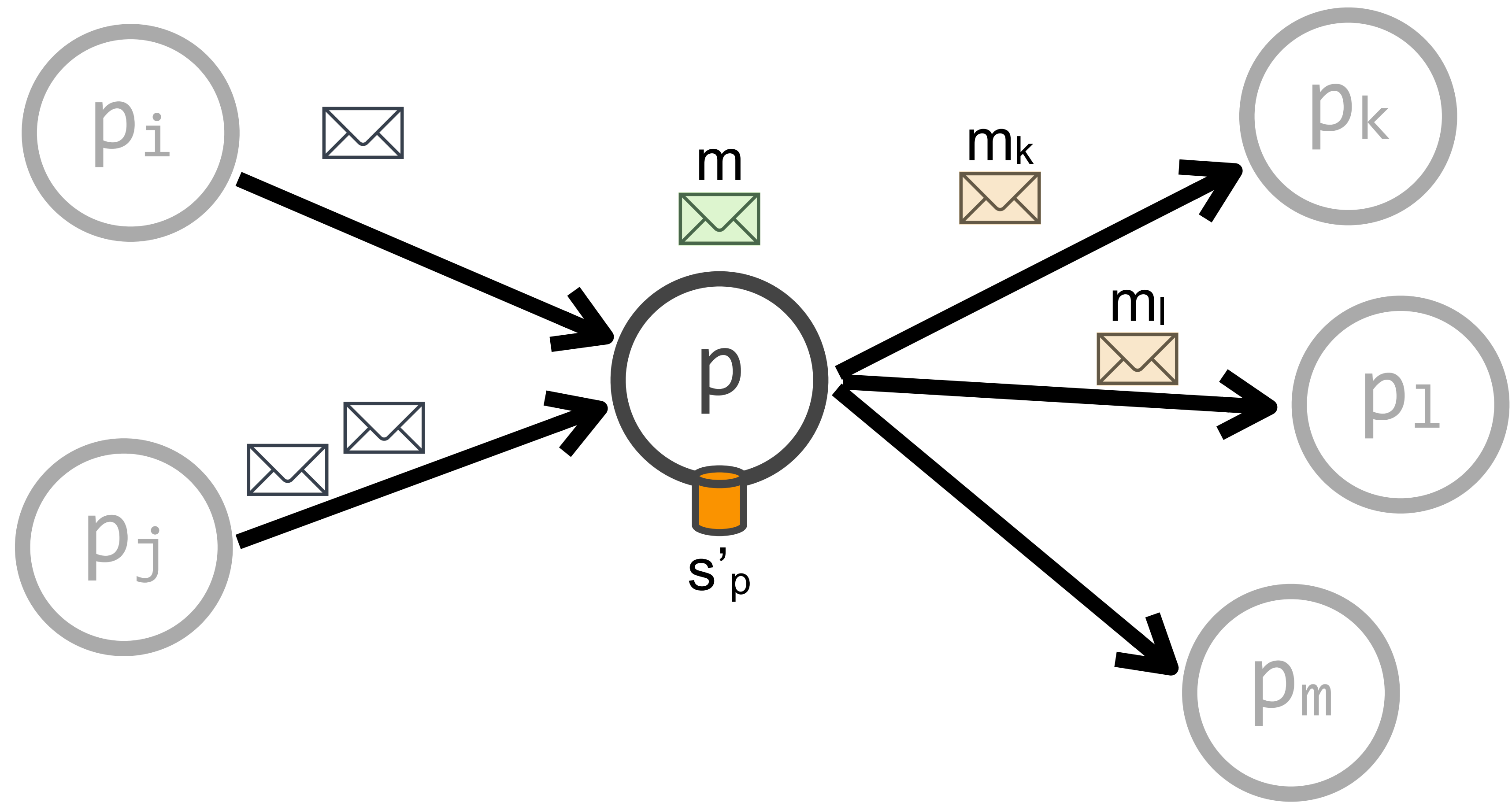


tasks channels  
 System :  $\{ \Pi, \mathbb{E} \}$

**System Configurations** (states, messages in-transit)

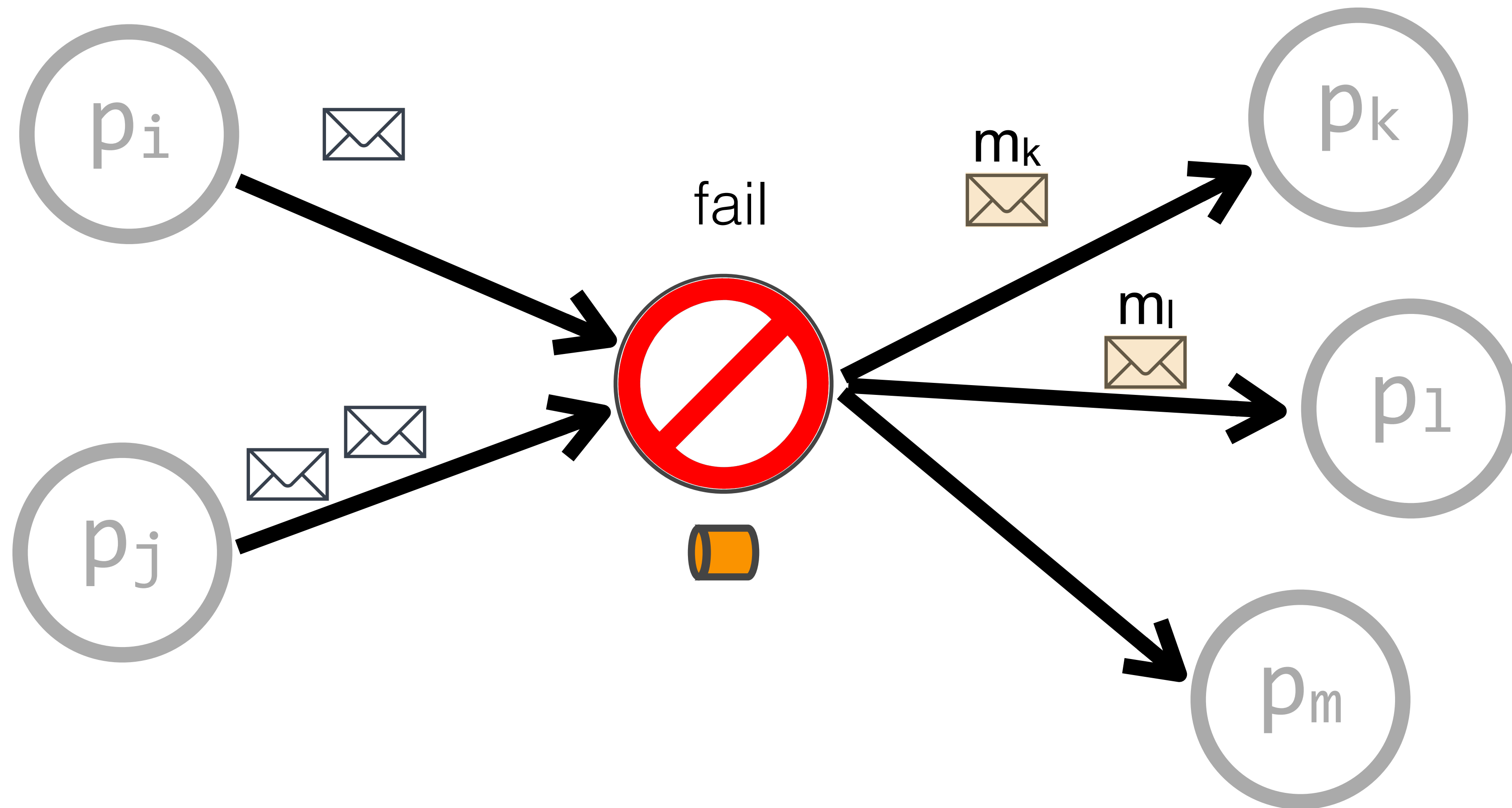
System Execution :  $\dots \rightarrow \{ \Pi_*, M \} \rightarrow \{ \Pi'_*, M' \} \rightarrow \dots$

# Fault Tolerance

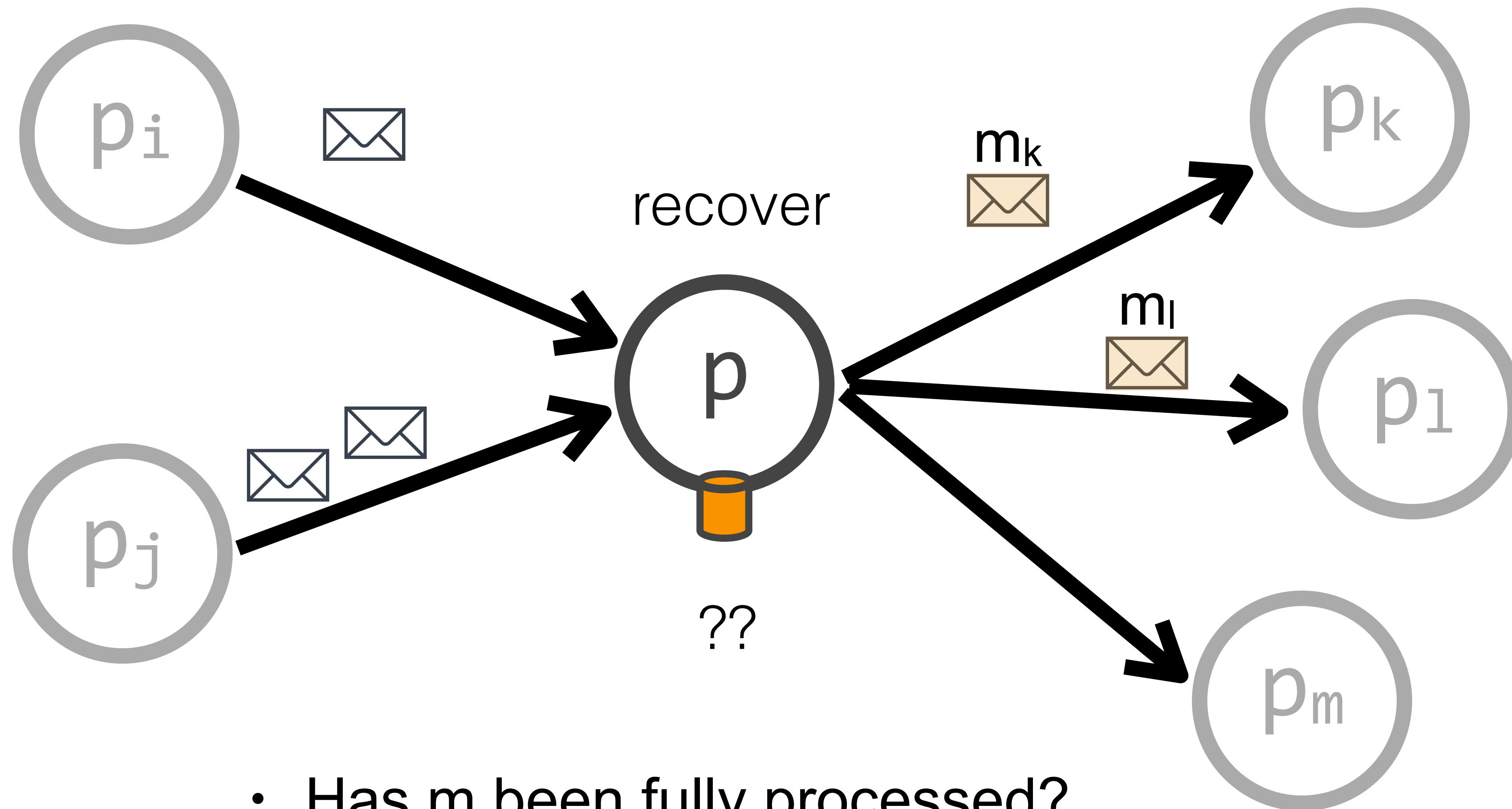




# Fault Tolerance



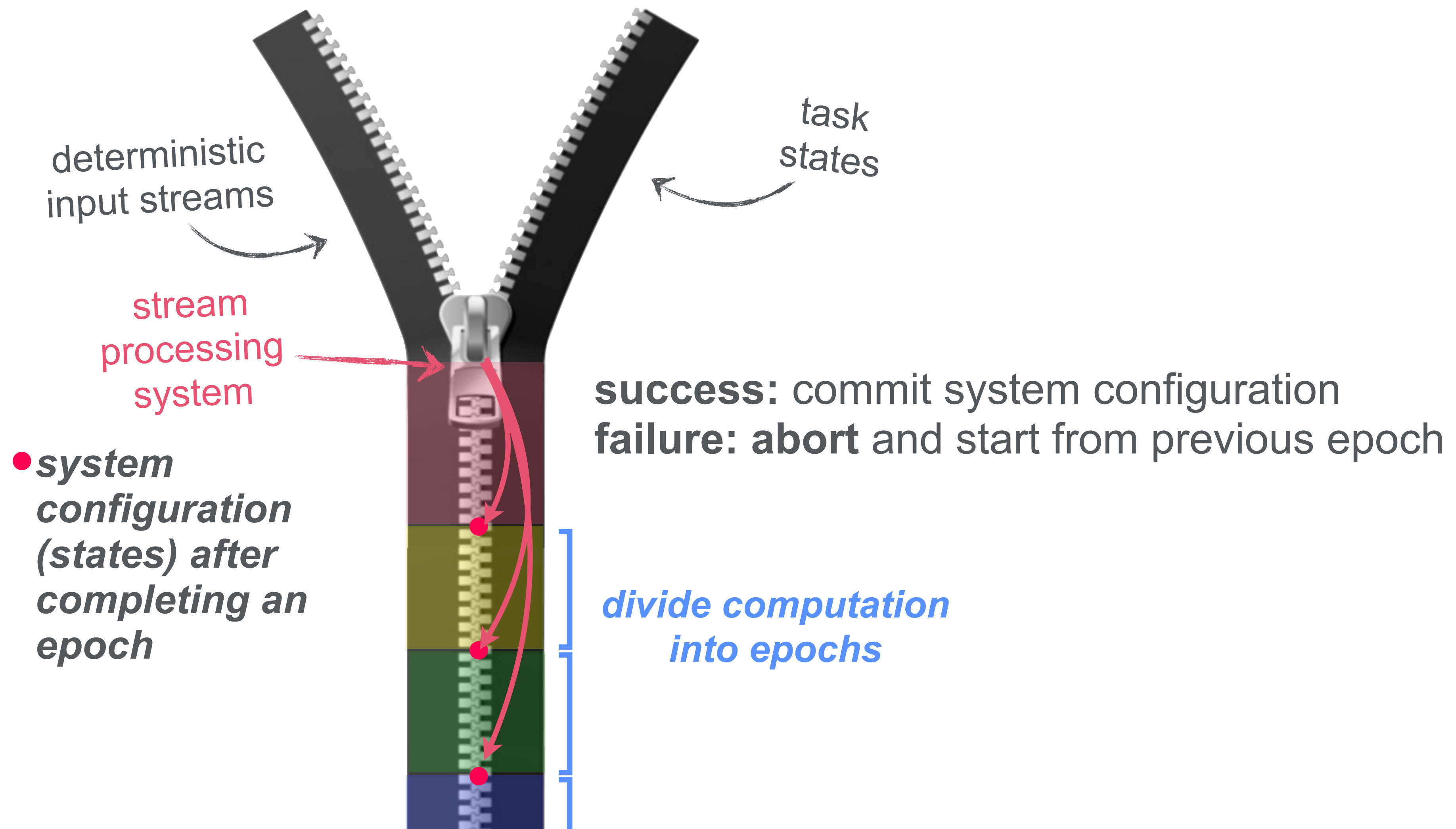
# Fail Recovery



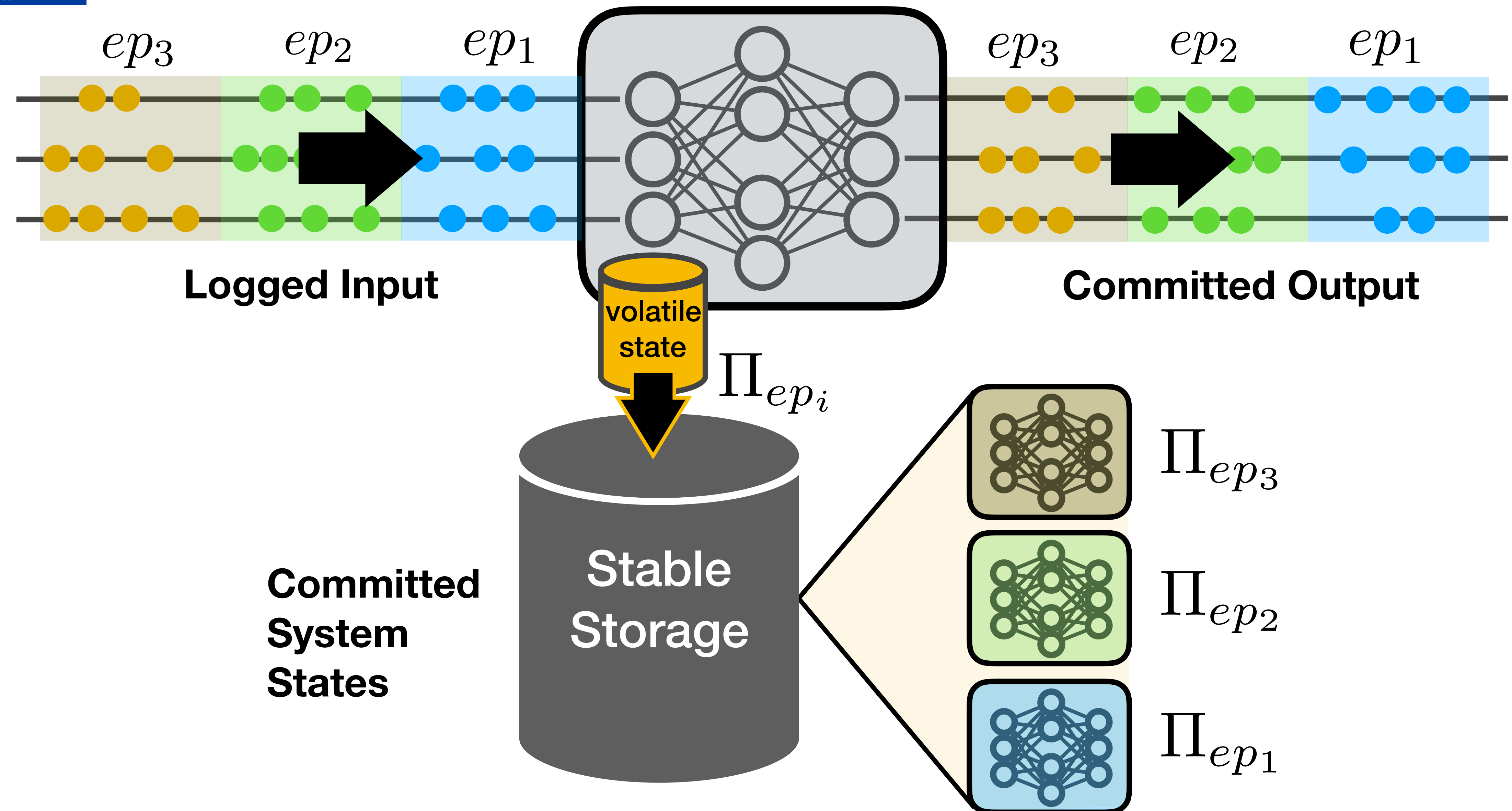
- Has  $m$  been fully processed?
- Have  $m_k$  and  $m_l$  been delivered?

# Transactional Stream Processing

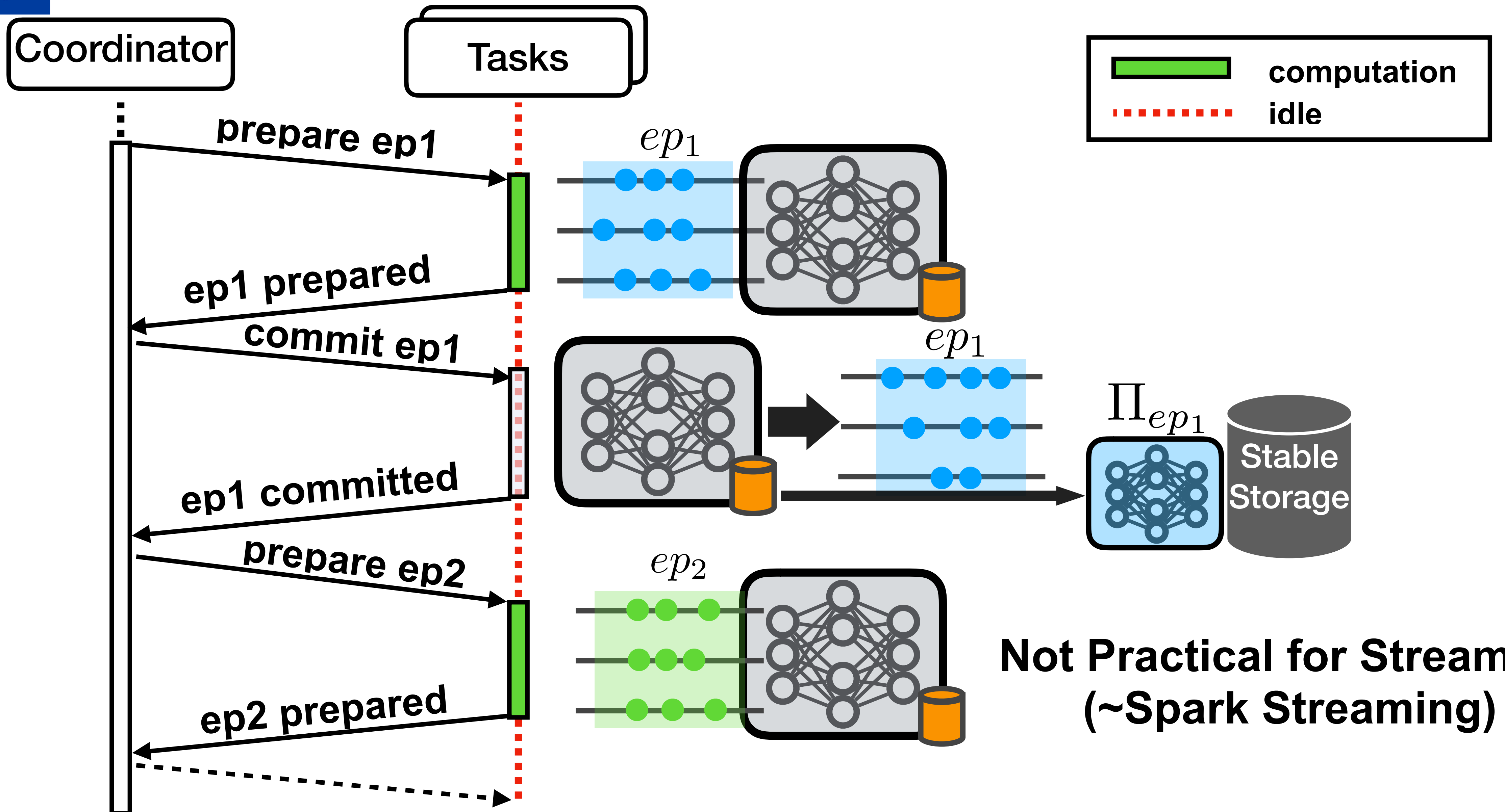
## *The Intuition*



# Approach Overview

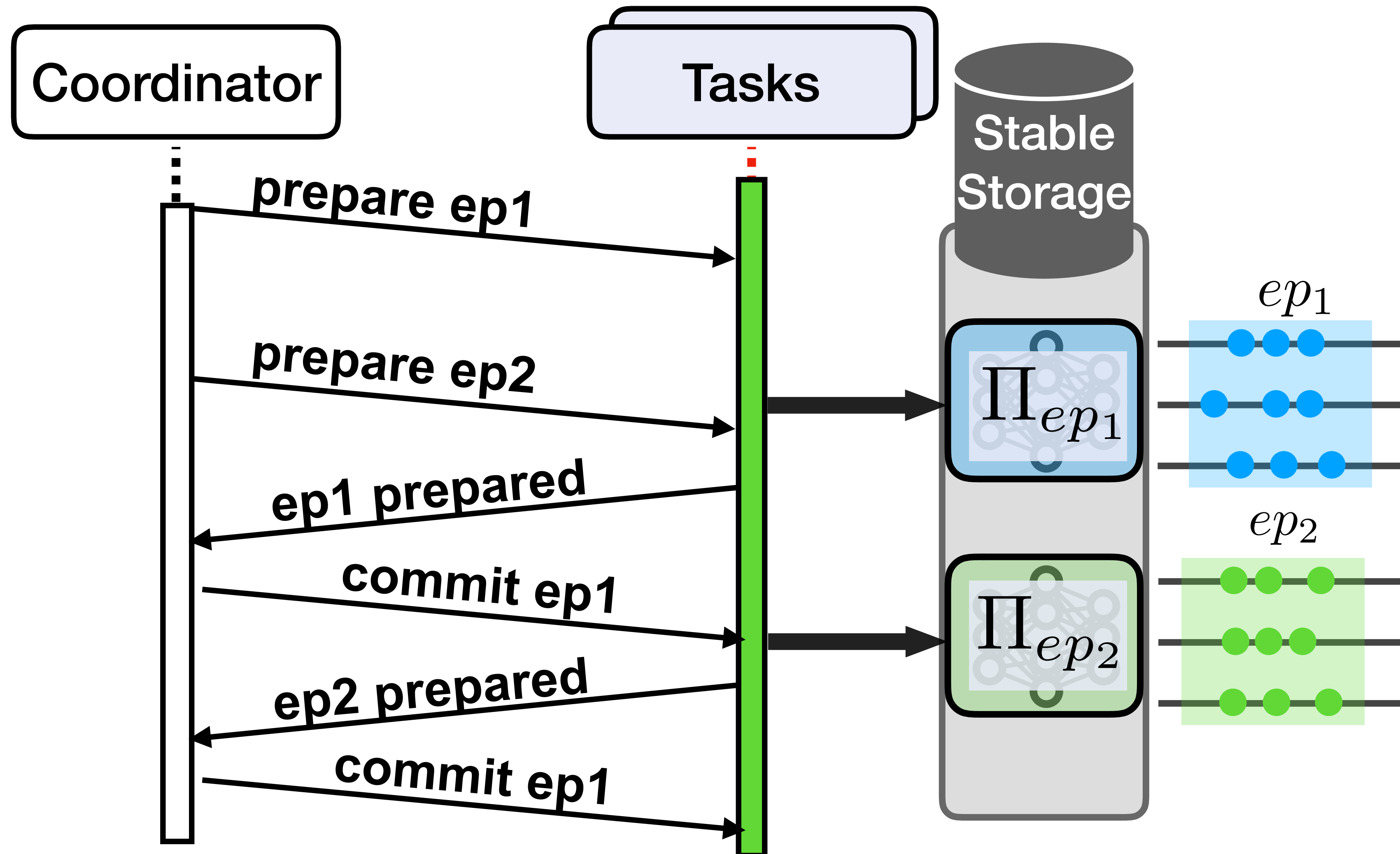


# Synchronous Epoch Commits



**Not Practical for Streaming (~Spark Streaming)**

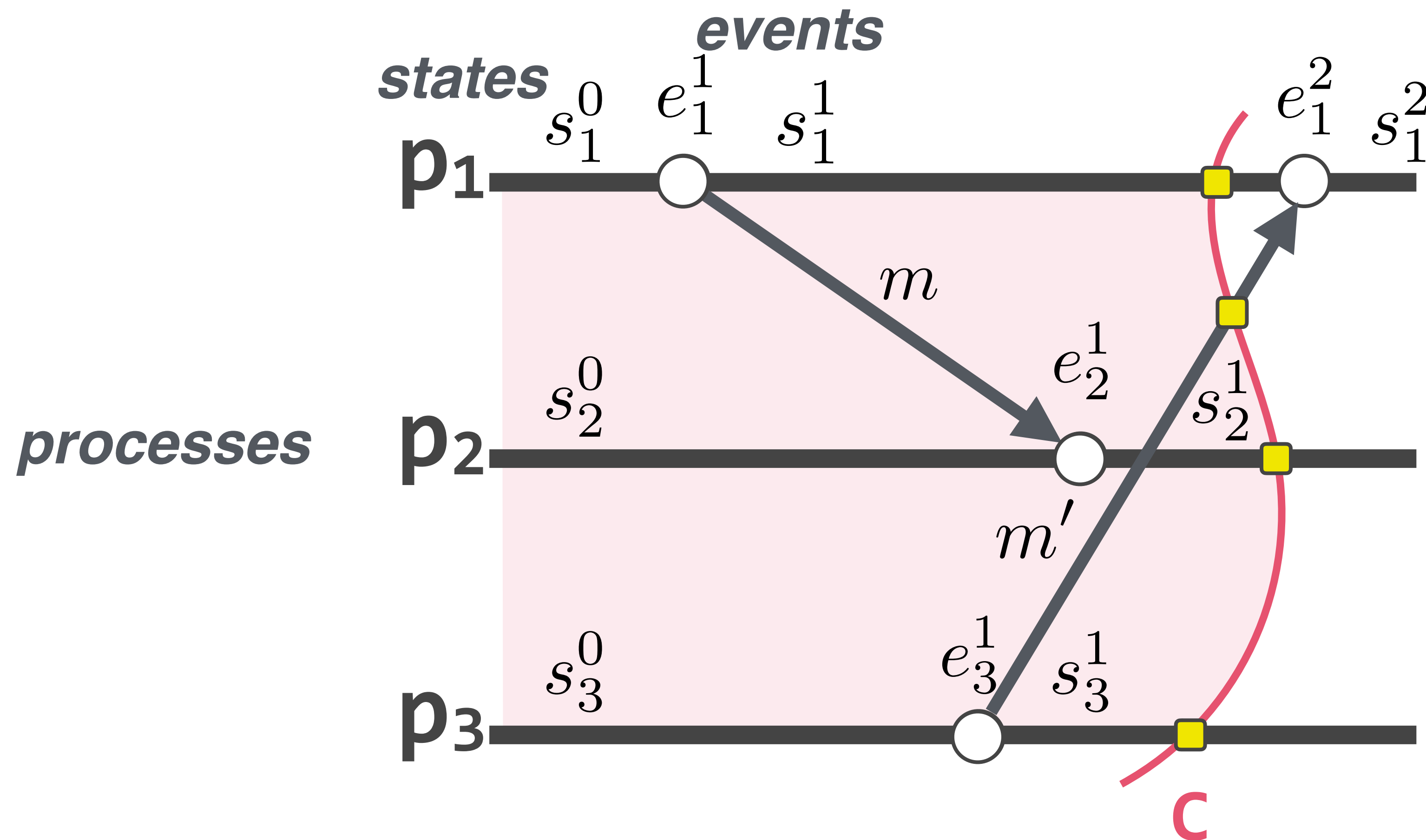
# Asynchronous Epoch Commits



How? Using Distributed Snapshotting

# Recap: Snapshotting Protocols

**Traditional Snapshotting Protocols:** Distributed Algorithms that capture system states that form a **distributed cuts** in a system execution

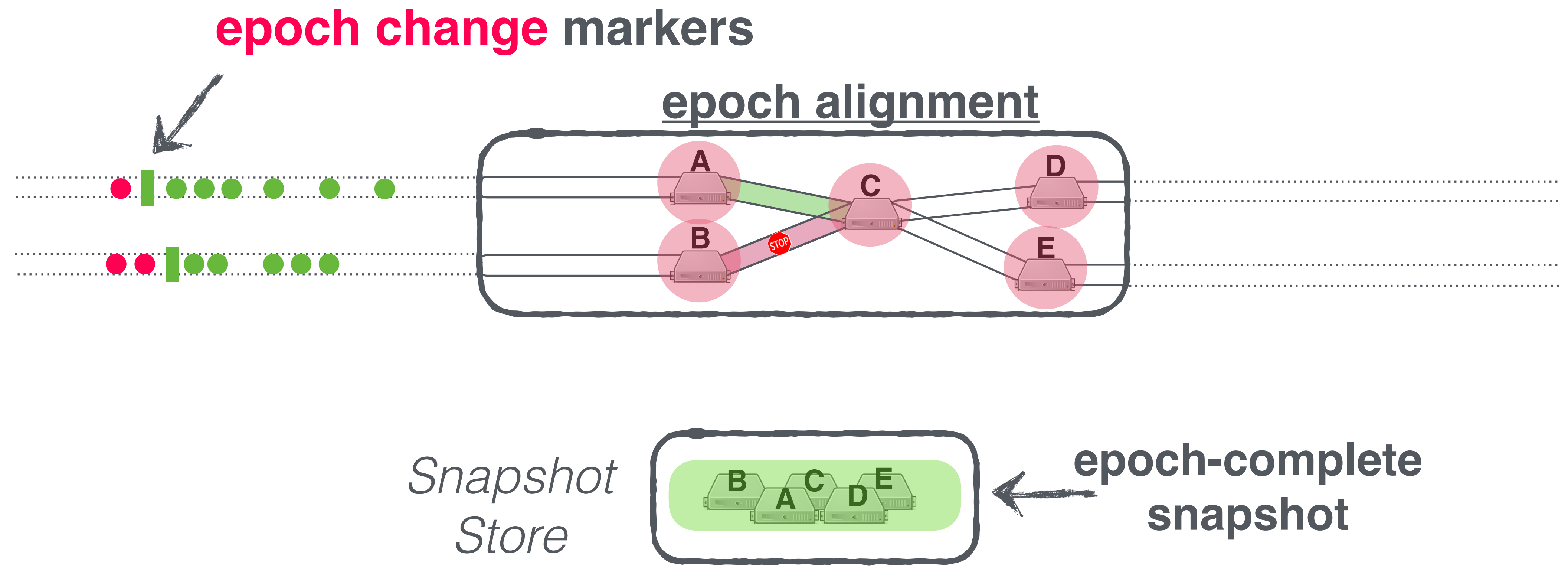


**Snapshot of C**

$\{s_1^1, s_2^1, s_3^1\}$   
 $\{m'\}$

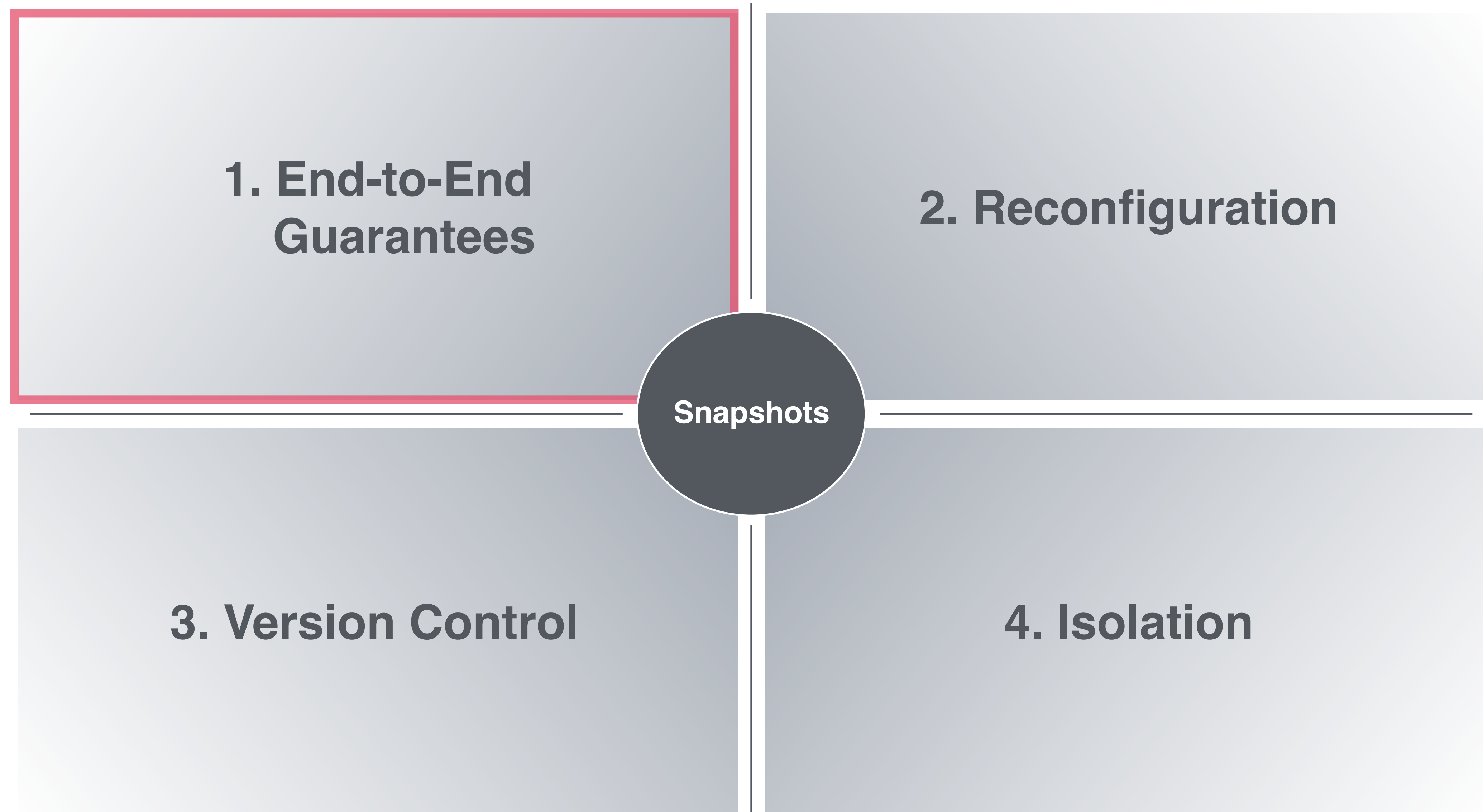
But we need to complete *in-progress* computation in Stream Processing

# Epoch Snapshotting Algorithm





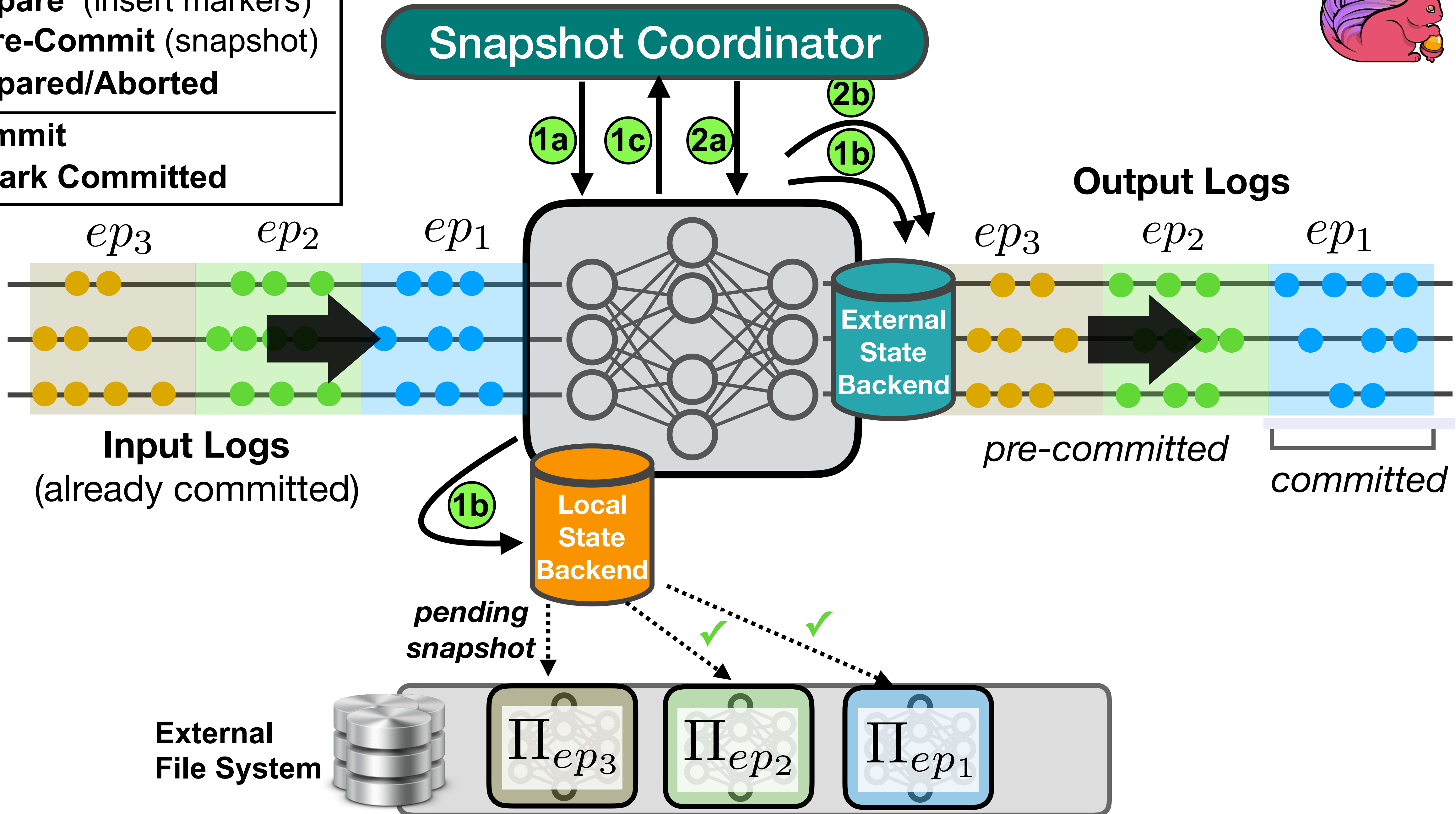
# State Management in Practice



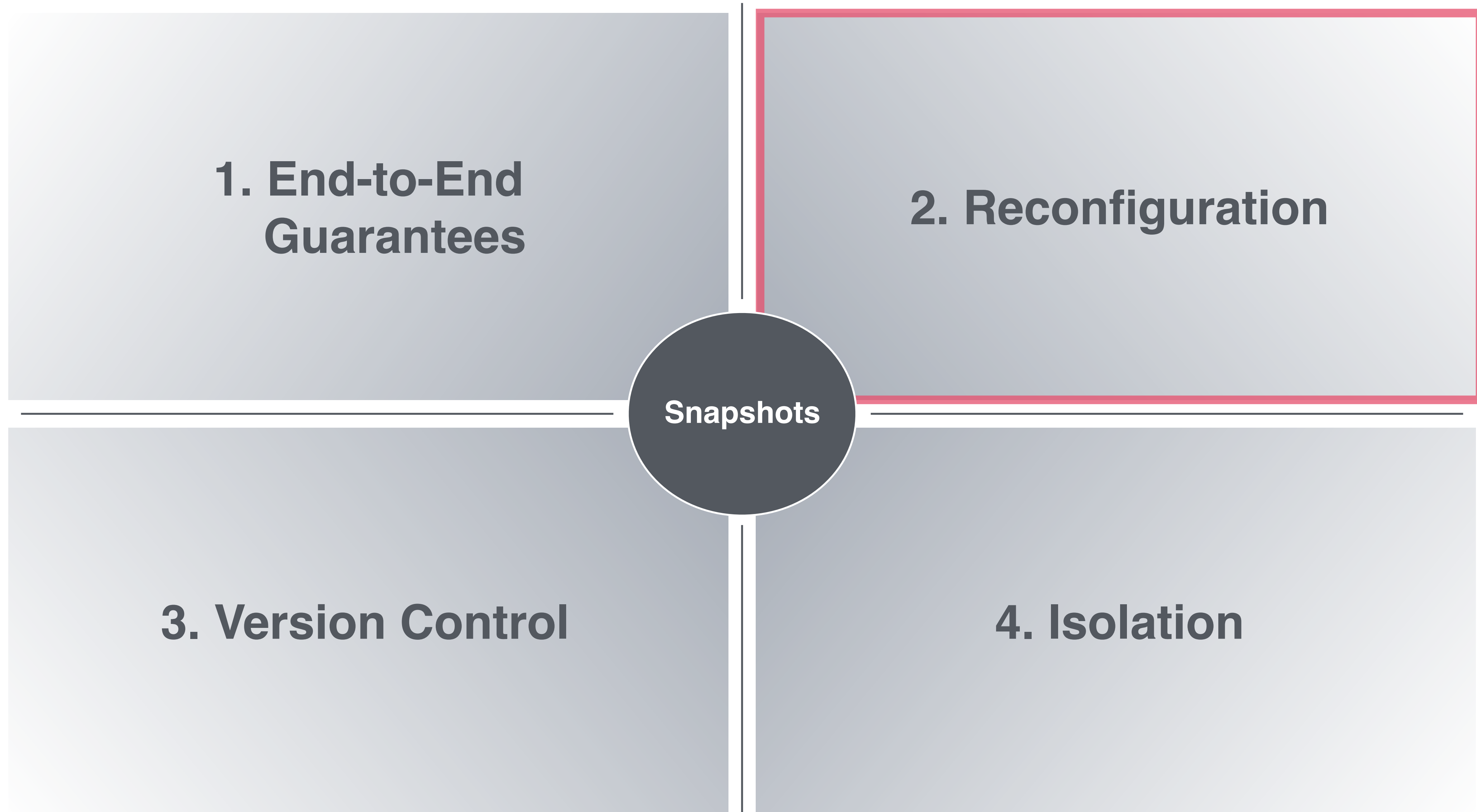


# The Epoch Commit Protocol

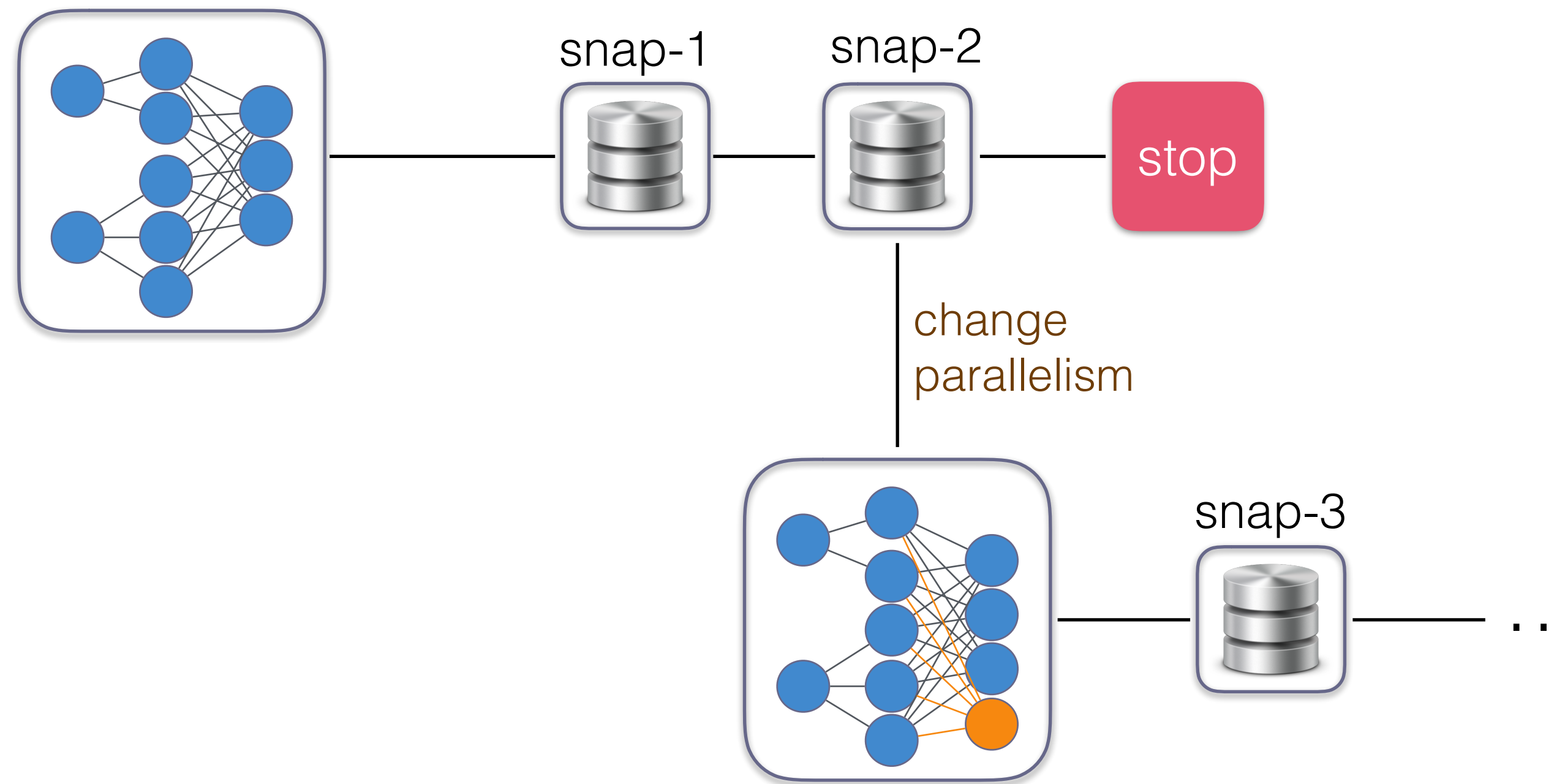
- 1a Prepare (insert markers)
- 1b Pre-Commit (snapshot)
- 1c Prepared/Aborted
- 2a Commit
- 2b Mark Committed



# State Management in Practice



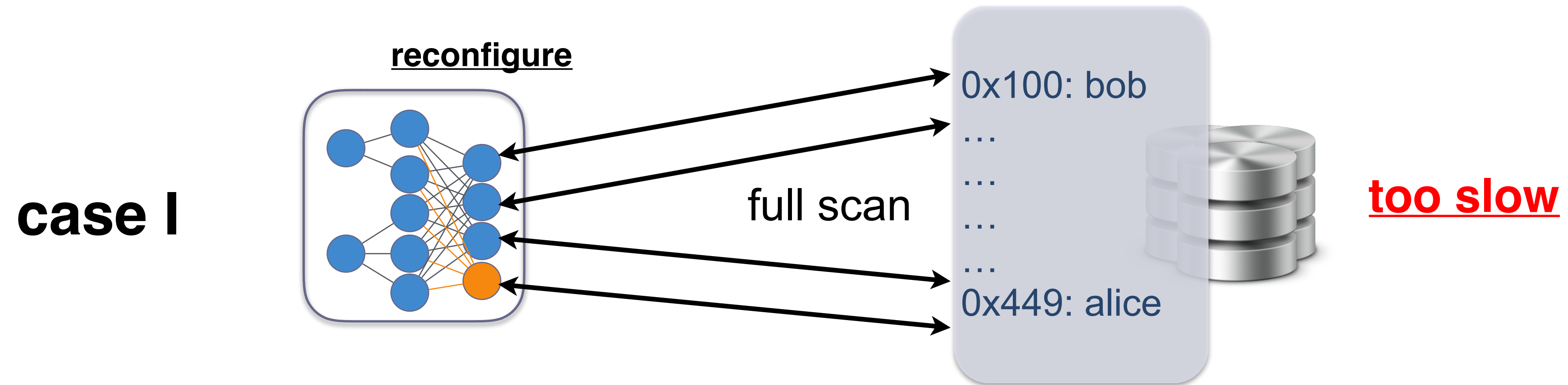
# Dataflow Reconfiguration



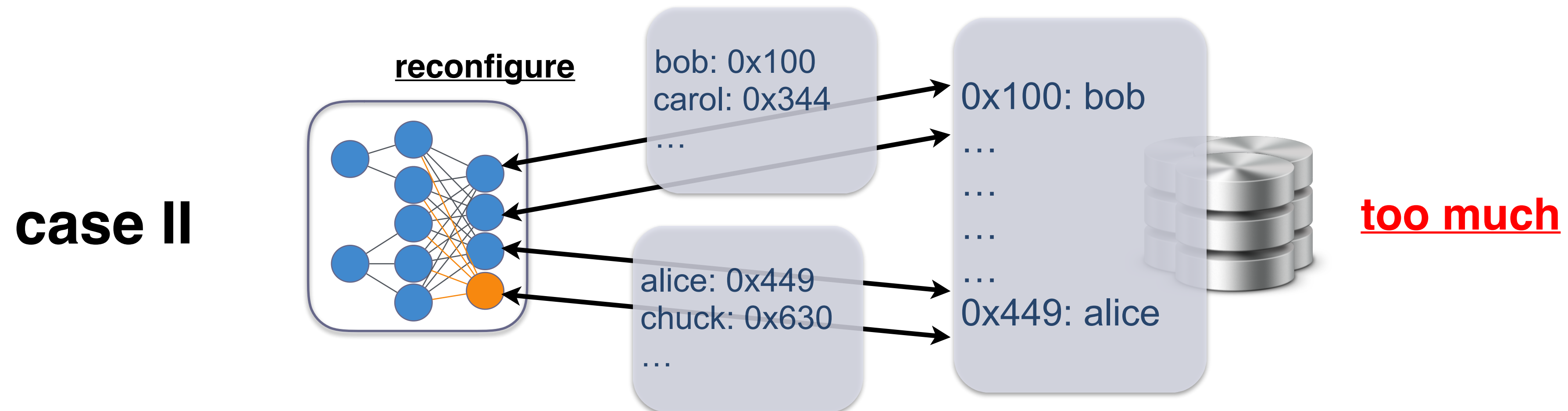
**Problem:** *How is state **repartitioned** from a snapshot?*

# Reconfiguration: The Issue

## Scan Remote Storage for Responsible Keys

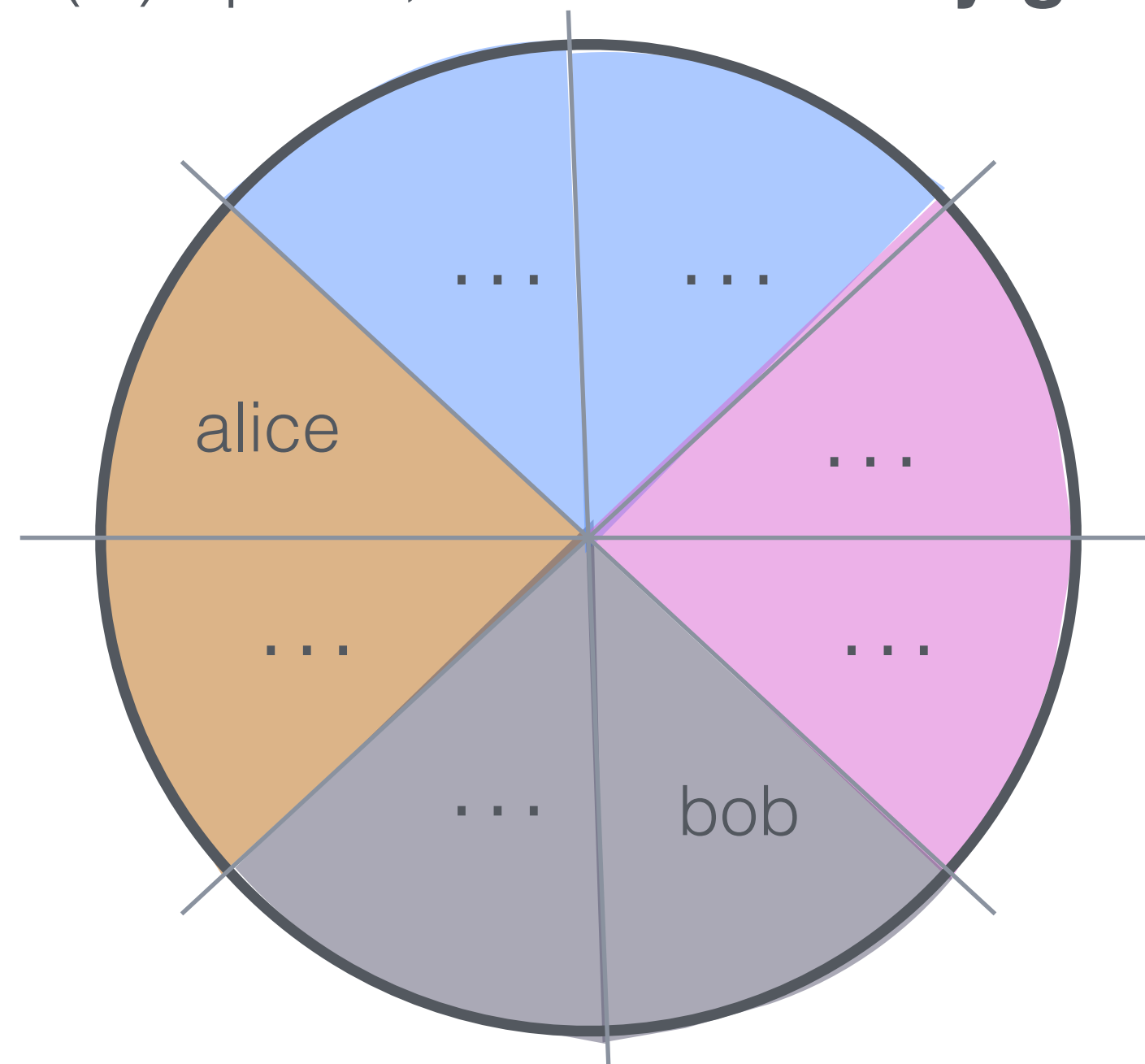


## Include Key Locations in Snapshot Metadata



# State Partitioning

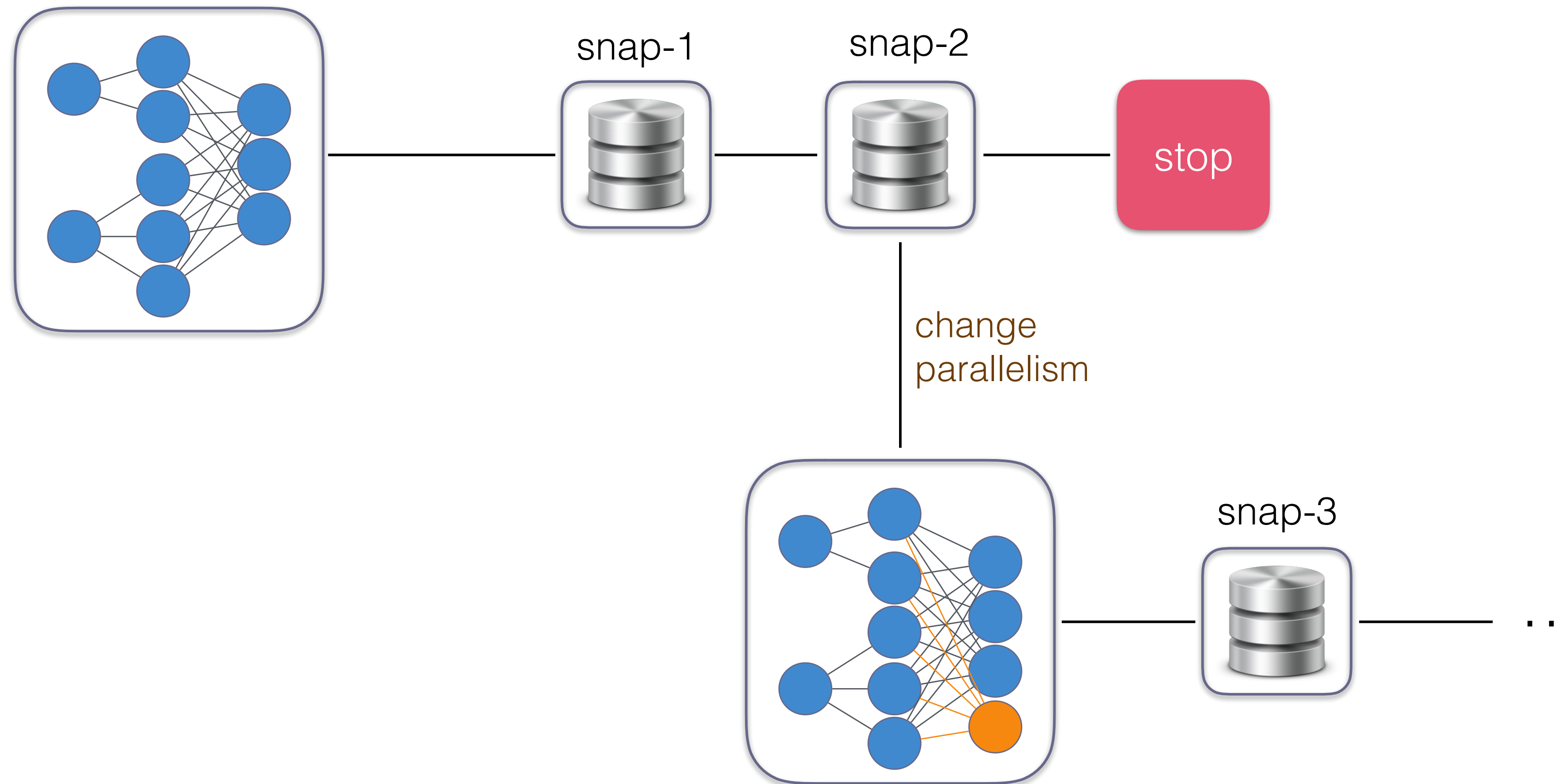
**Pre-partition** state in  
hash(K) space, into **fixed n key-groups**



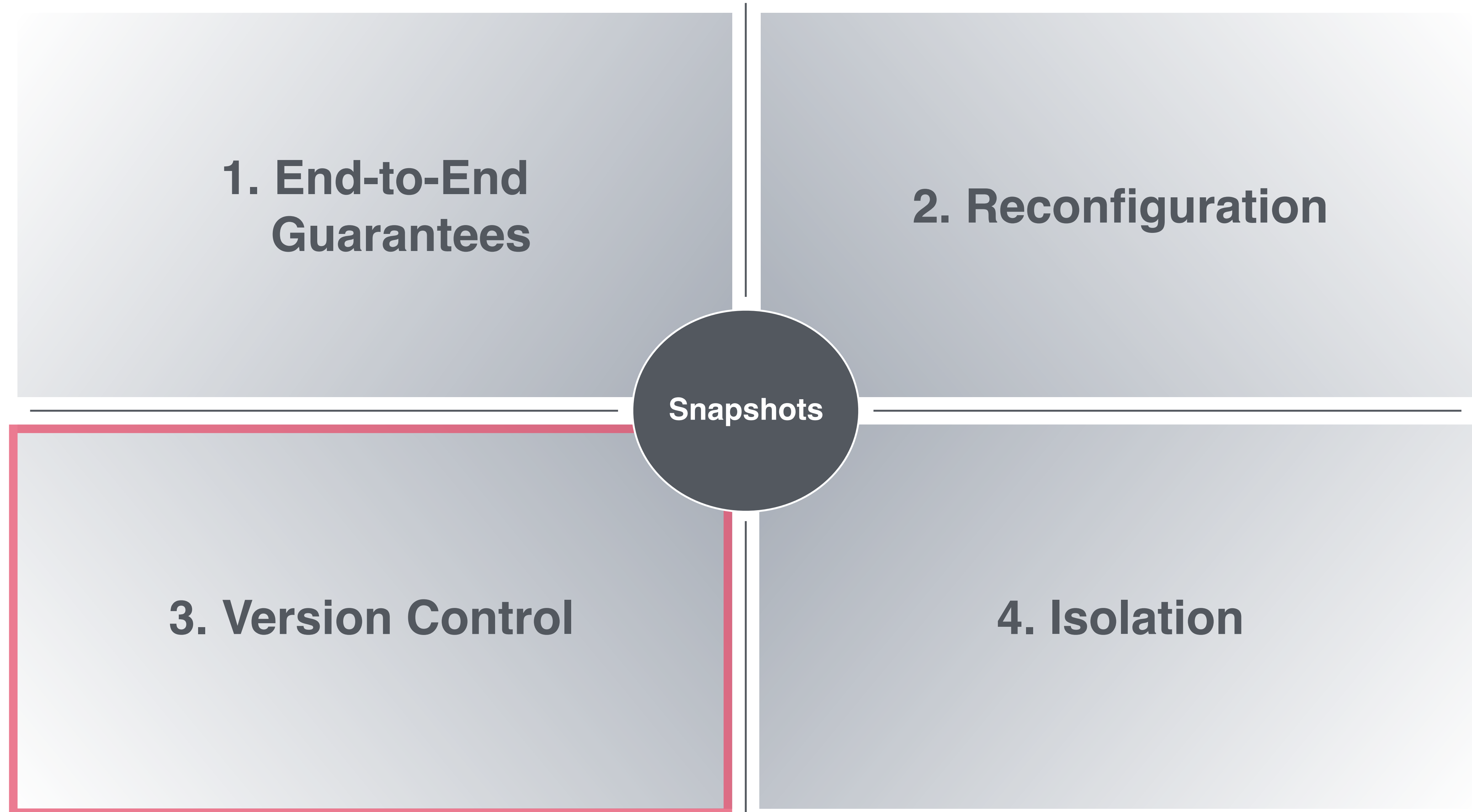
- **Snapshot Metadata:**  
*Contains a reference per stored Key-Group (less metadata)*
- **Reconfiguration:**  
***Contiguous** key-group allocation to available tasks (less IO)*

**Note:** number of key groups controls trade-off between metadata to keep and reconfiguration speed

# Usages: Reconfiguration

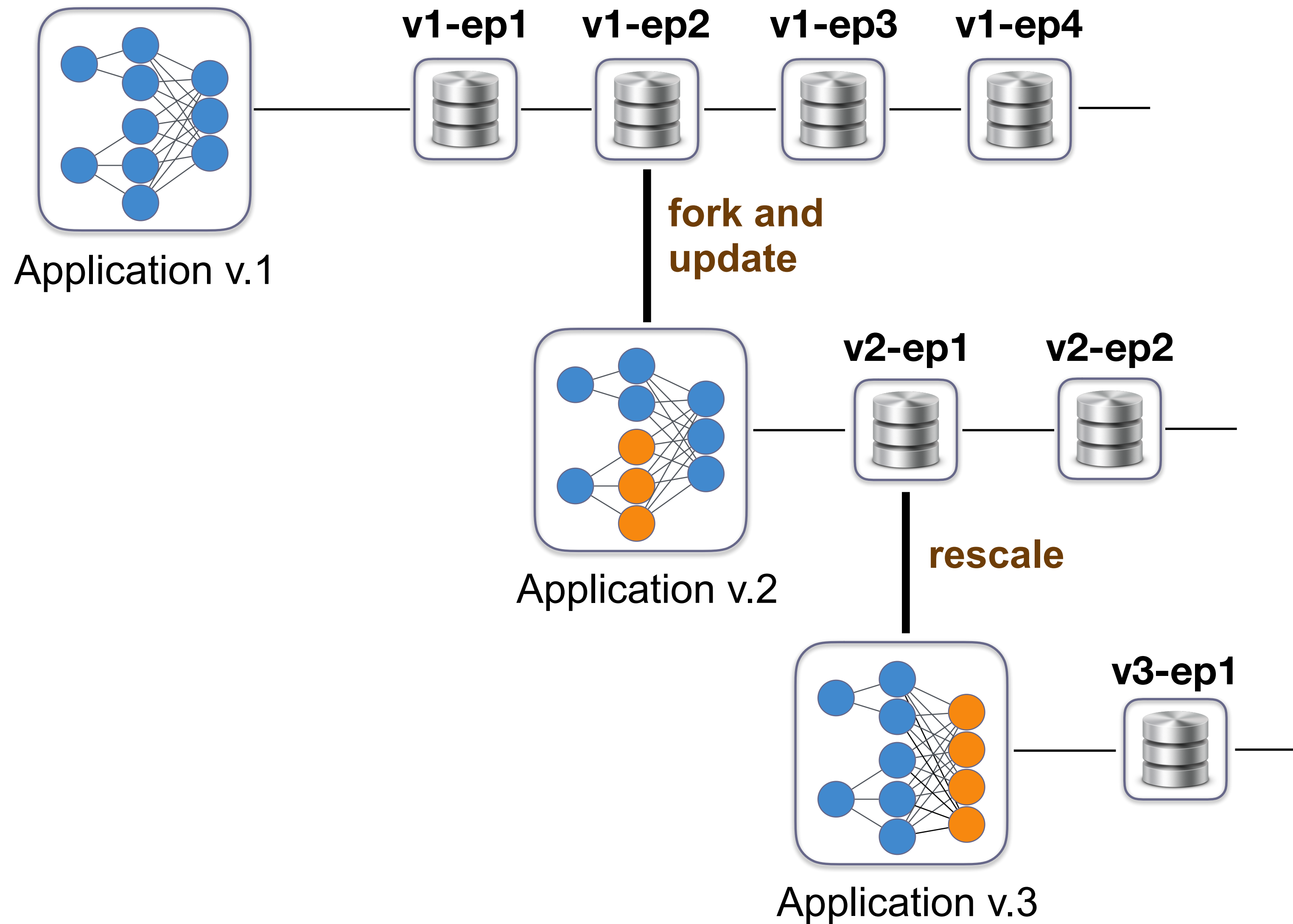


# State Management in Practice

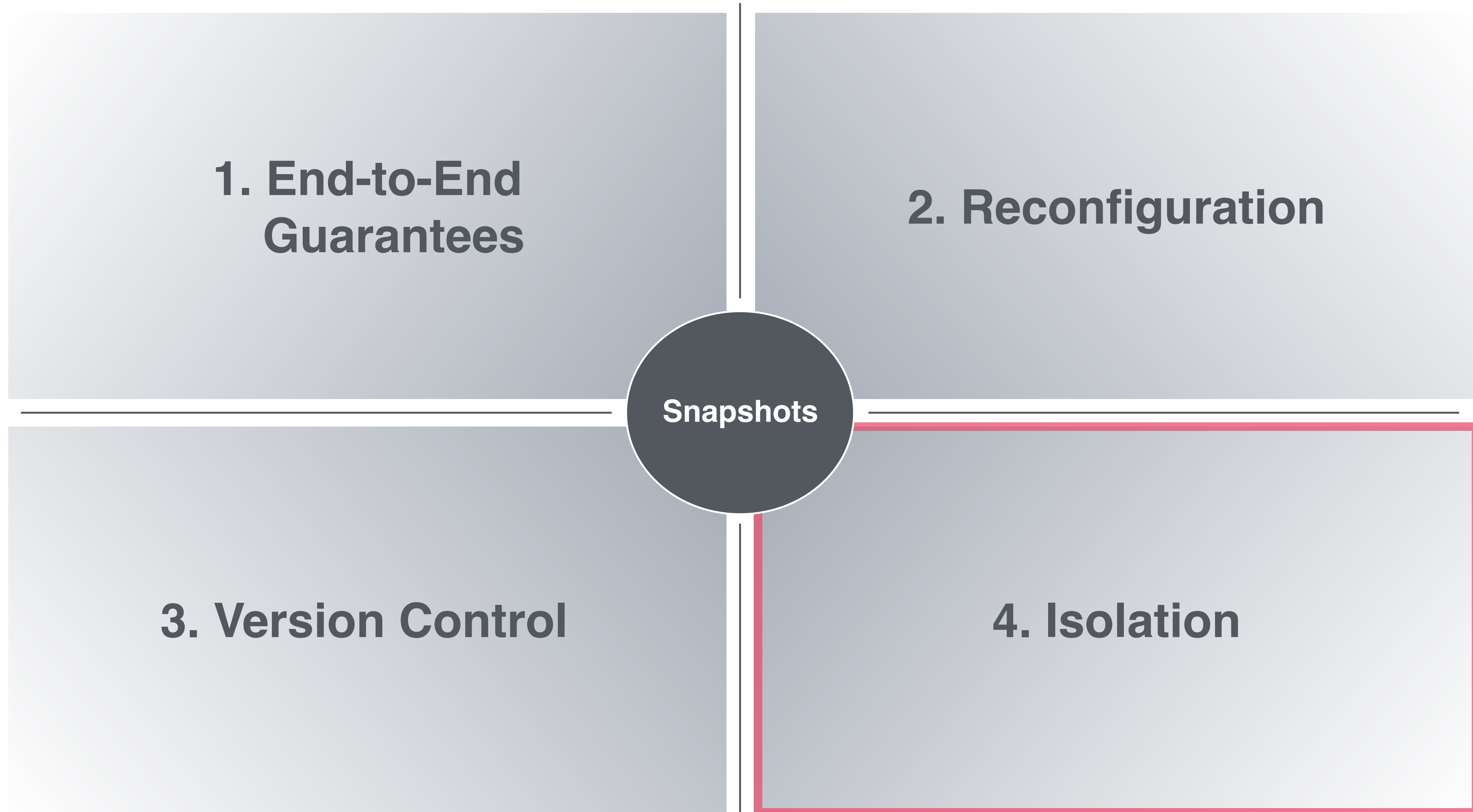




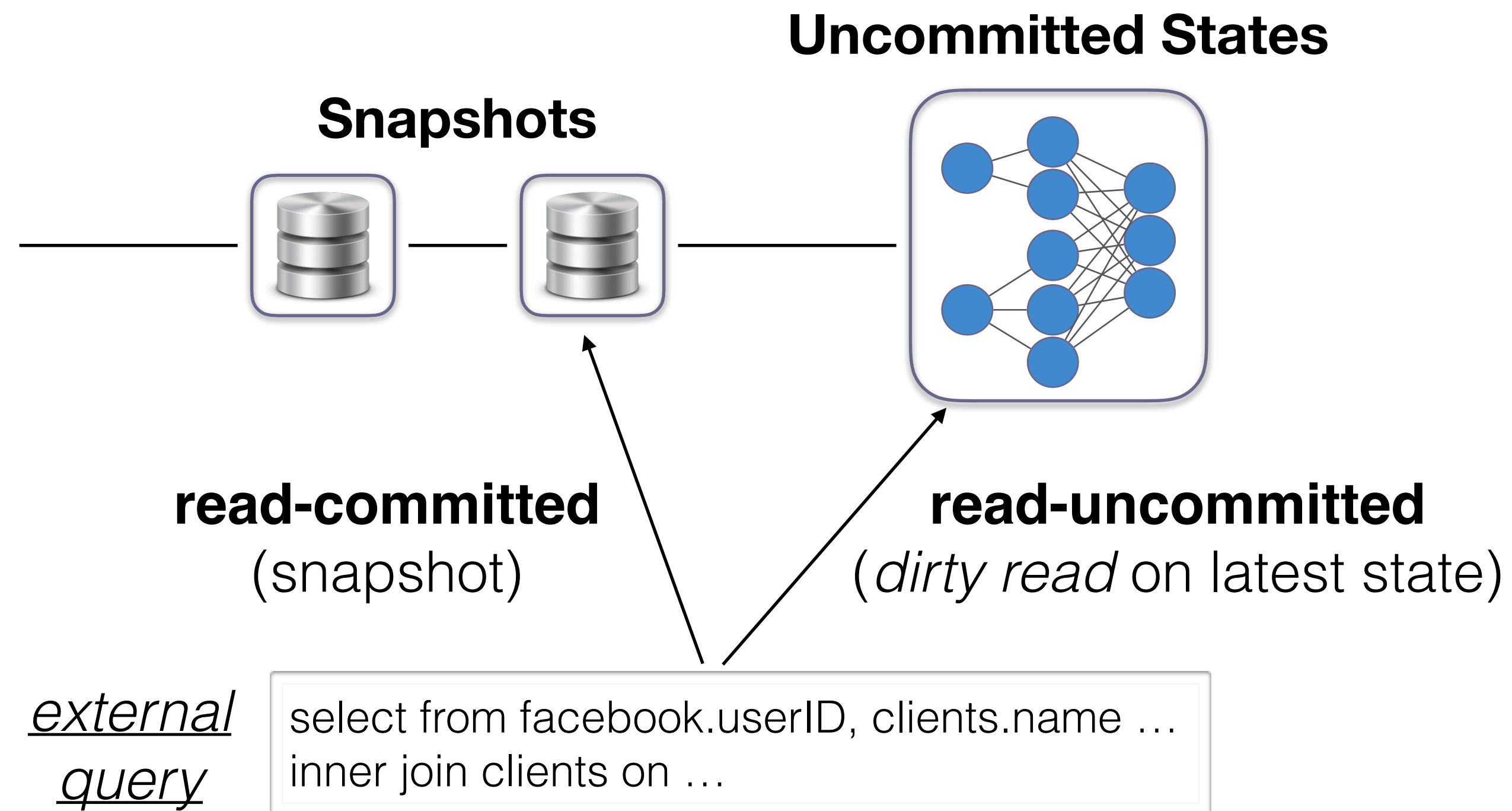
# Usages: App Provenance



# State Management in Practice



# Usages: External Access Isolation



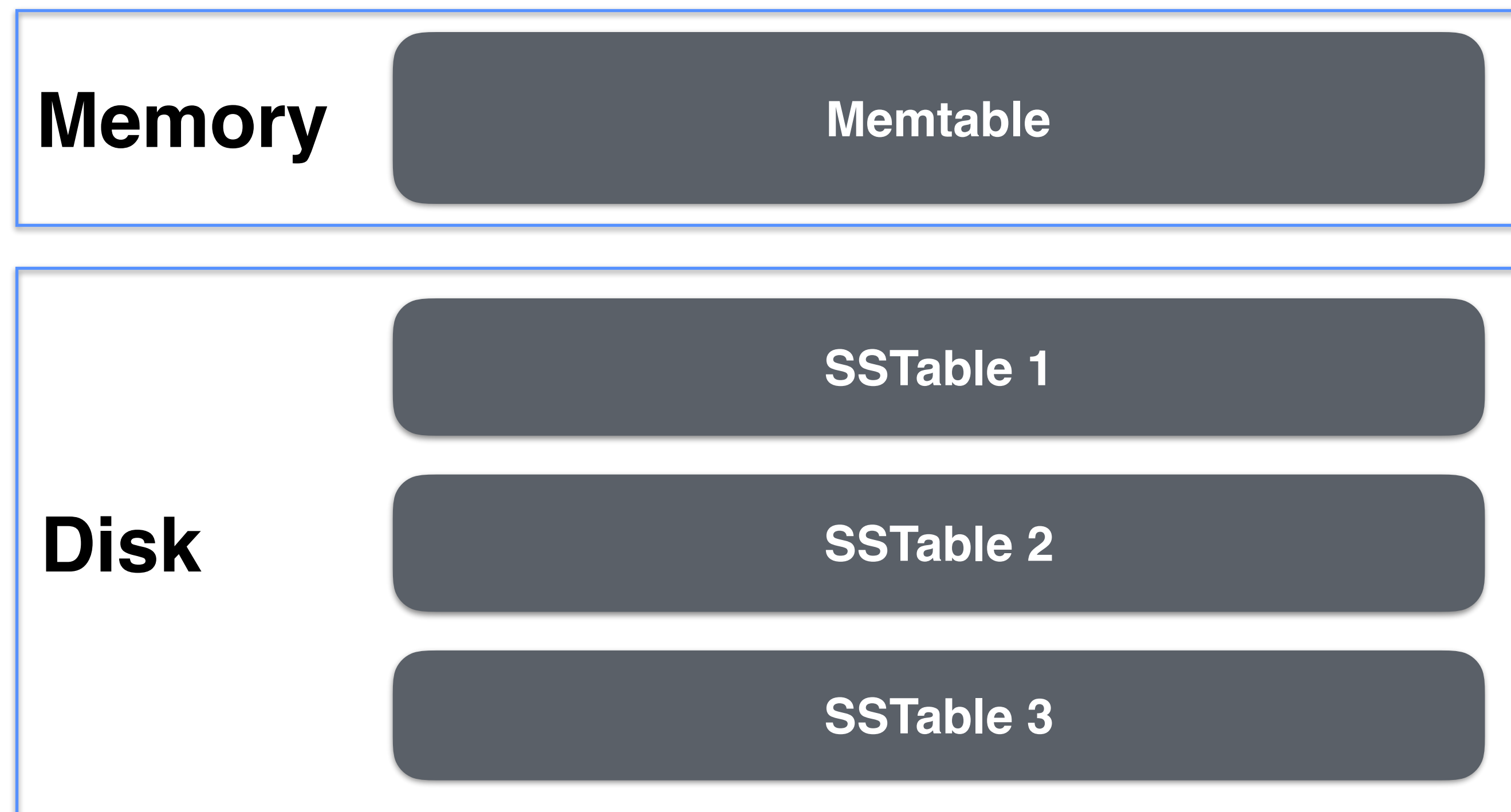
# Further Optimisations

- **Asynchronous Snapshots**
  - make **triggering snapshots** cost-free.
- **Incremental Snapshots**
  - avoid full state copy and commit only **deltas**
  - make overhead of snapshots nearly **constant**
- **Both** are provided by Log-Structure-Merge backends, i.e. **Rocksdb**.

# RocksDB



- Embedded (local-only) key-value store used by Flink, Spark, Kafka etc.
- Main Idea: **Sequential** disk seeks/writes (log) are way faster than **random** writes (database).



# The Memtable

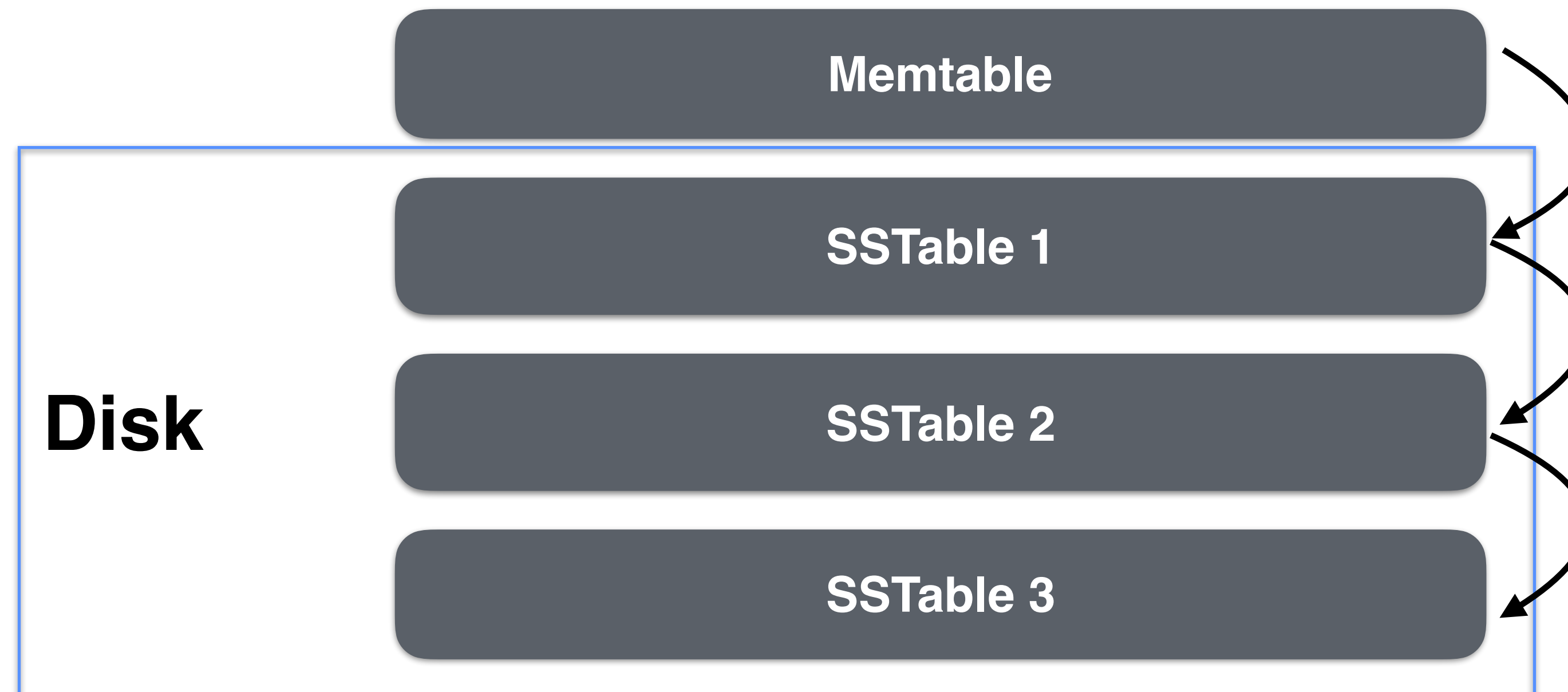


- Mutable in-memory buffer for KV Pairs
- Reads and writes are executed here first
- Is **asynchronously flashed to disk** and turn into an **SSTable** (on demand or on size limit)



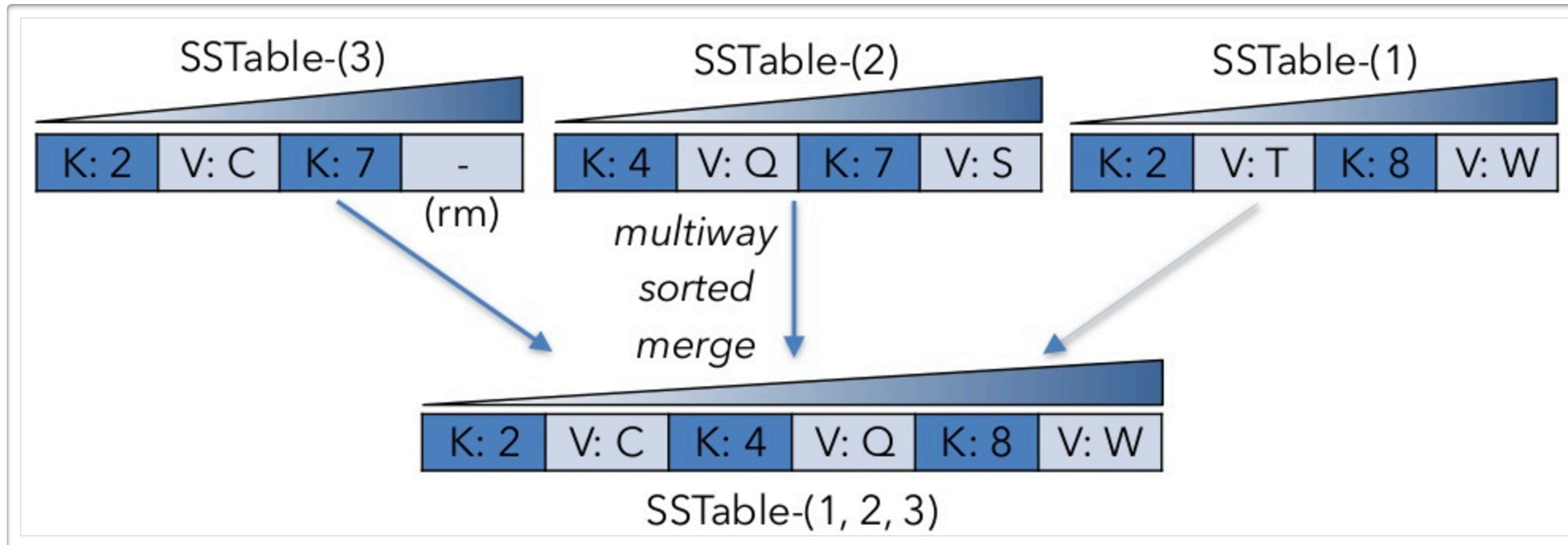
# SSTable

- Persisted memtables that have become **immutable**.
- Sorted by **Key**.
- Key Reads start from **memtable** and go down over committed sstables for every miss.
- **Optimisations:** Index/bloomfilter





# Compaction





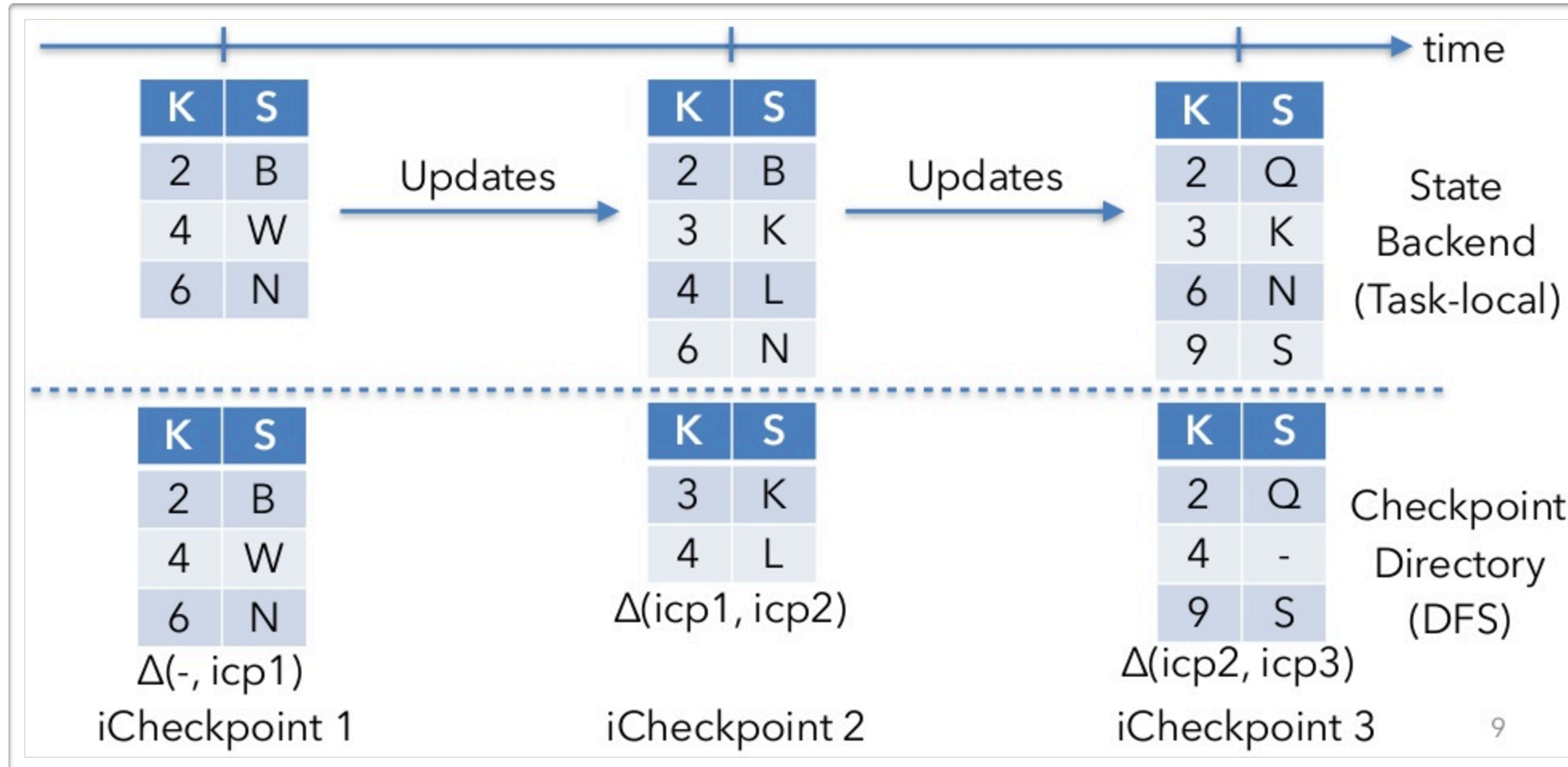
# Asynchronous Snapshots



- Triggering (on marker) **flushes memtable**
- Iterator restricts access only on current **SSTables**. (used to copy snapshot to hdfs)
- Further changes go to memtable (simple).



# Incremental Snapshots



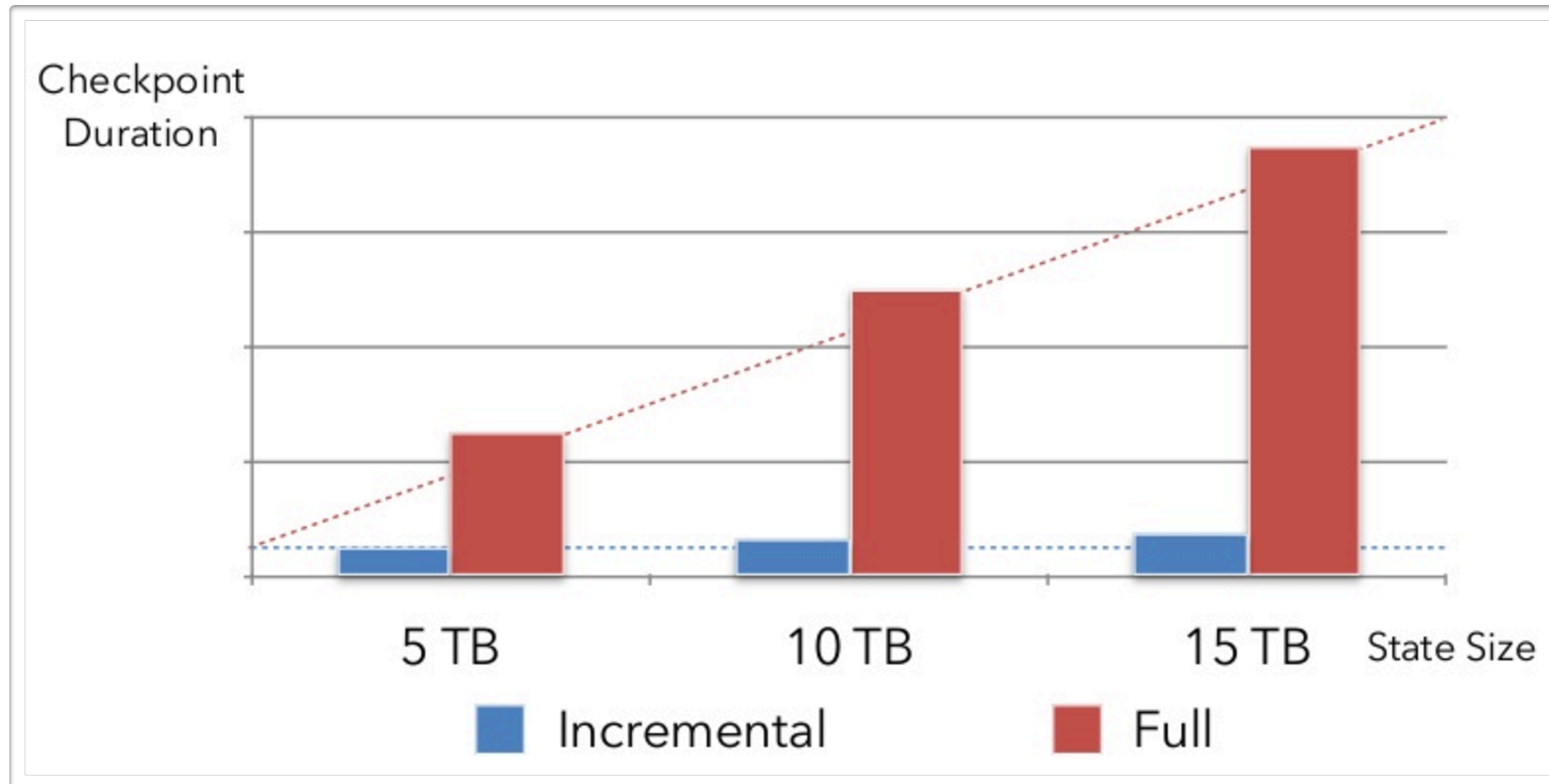


# Incremental Snapshots

- Memtable == deltas, by definition.
- Triggering (on marker) **flushes memtable.**
- Copy **only new** (sstable) files to **hdfs.**
- Add **reference counting** for sstable files.

Asynchronously combine incremental snapshots to derive full snapshot (faster for reconfiguration)

# Incremental Snapshots



# Observation

- Triggering snapshots apparently takes **no execution time**.
- The **time to complete** an **epoch** depends on **asynchronous copying**.
- Local Snapshotting happens **asynchronously**.
- **Incremental Snapshots** can decrease background copying significantly.



# Part III

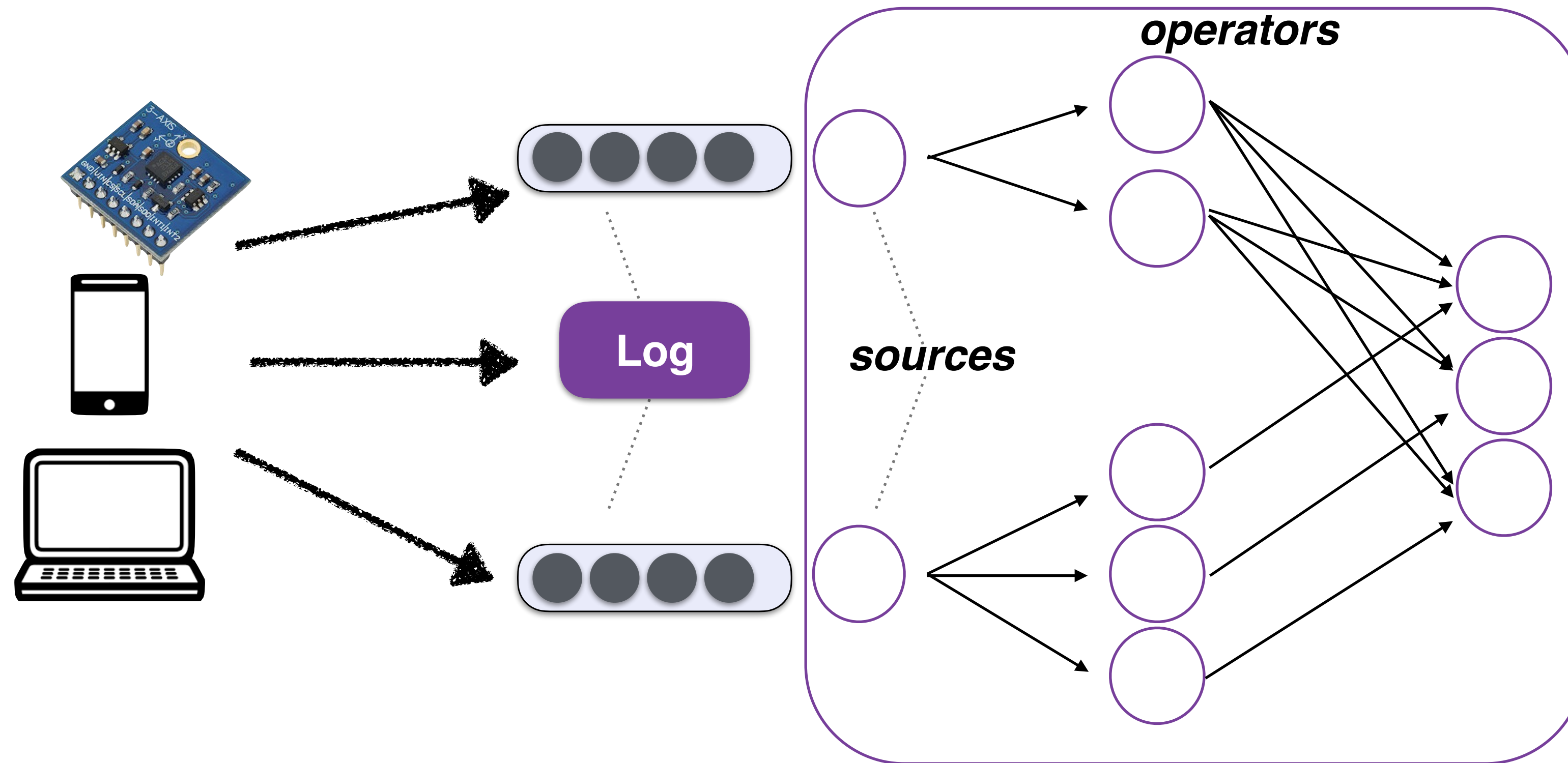
# Time and Out-Of-Order

# Wow does it work?

*Window  
Word Count  
(Apache Flink)*

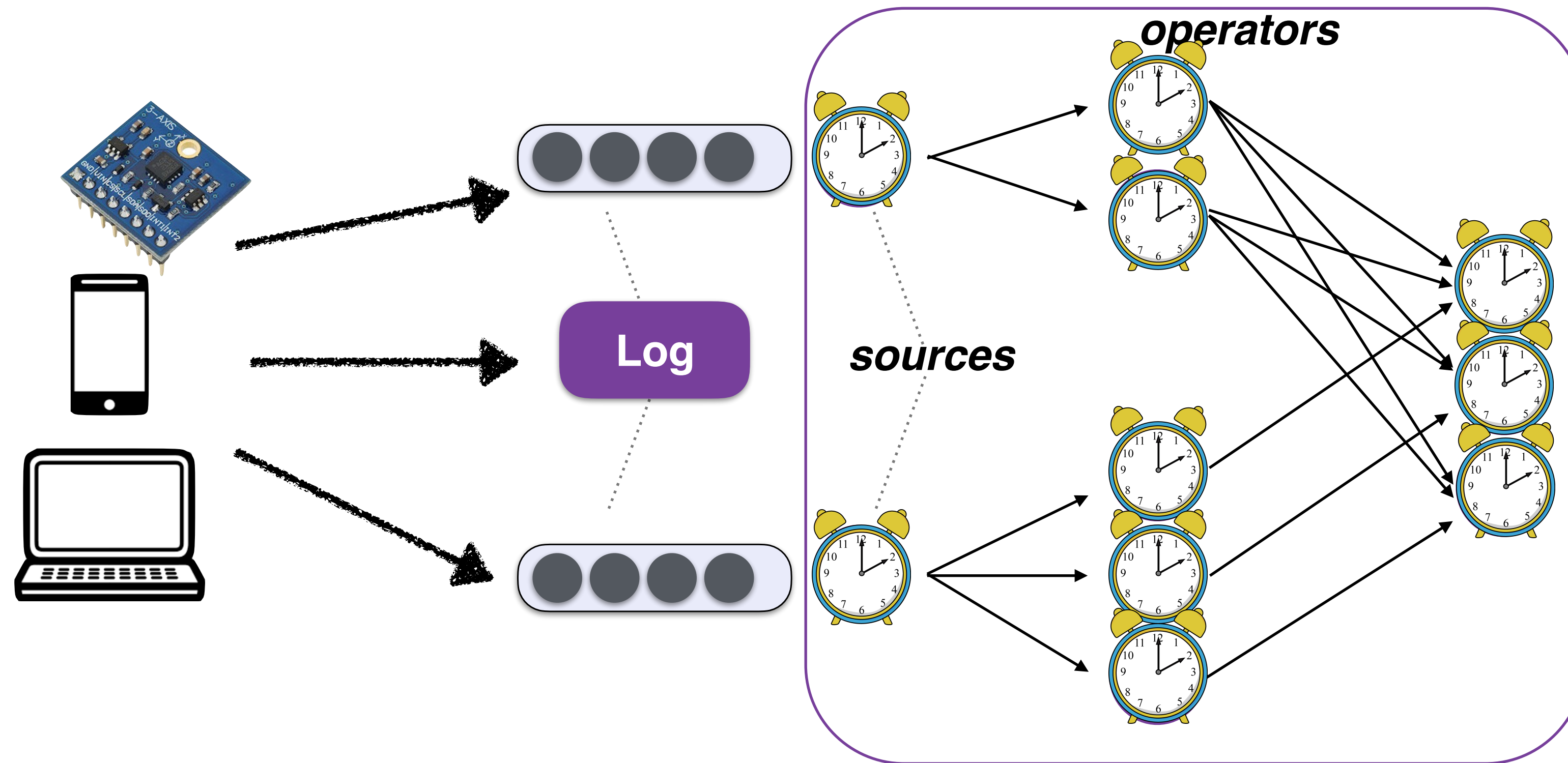
```
val windowCounts = text.flatMap { w => w.split("\\s") }  
    .map { w => WordWithCount(w, 1) }  
    .keyBy("word")  
    .timeWindow(Time.seconds(5))  
    .sum("count")
```

# Reasoning about Time





# Reasoning about Time



*Every Task has a clock*



# Processing Time Example

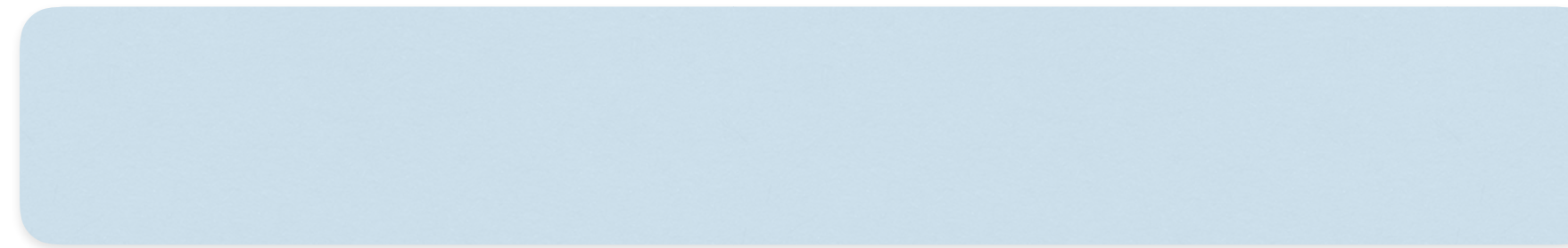
**Input Stream**



**Event Counter  
(5sec window)**



**1s**



**window [0-5sec]**



# Processing Time Example

**Input Stream**



**Event Counter  
(5sec window)**



**window [0-5sec]**



# Processing Time Example

**Input Stream**



**Event Counter  
(5sec window)**



**3s**



**window [0-5sec]**



# Processing Time Example

**Input Stream**



**Event Counter  
(5sec window)**



**3s**



**window [0-5sec]**



# Processing Time Example

**Input Stream**



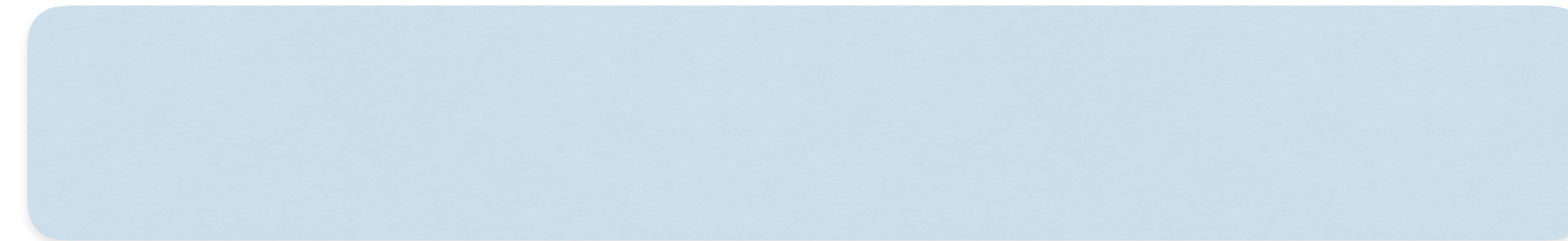
**Event Counter  
(5sec window)**



**2** ←



**window [0-5sec]**

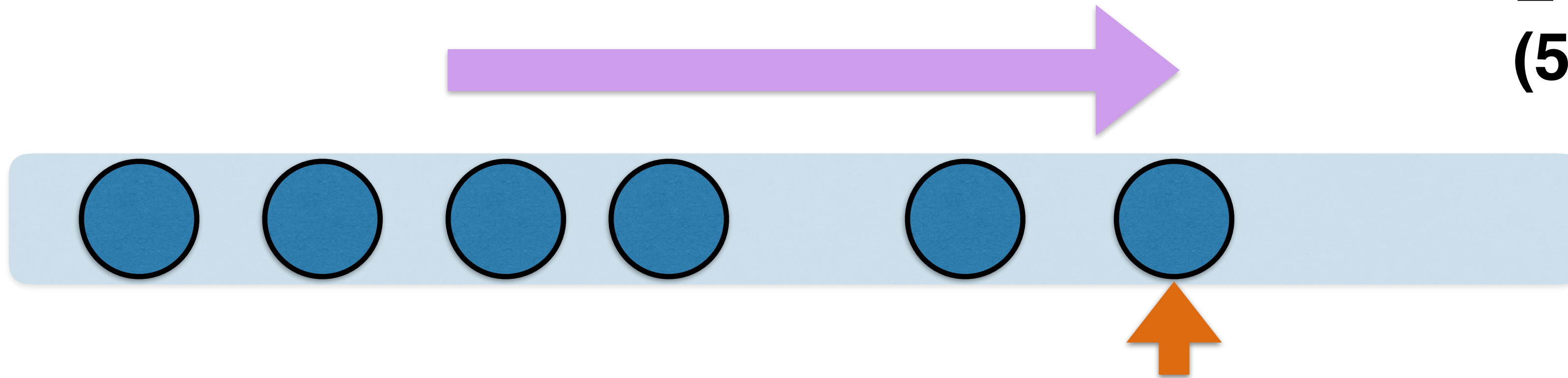


**window [6-10sec]**



# Processing Time Example

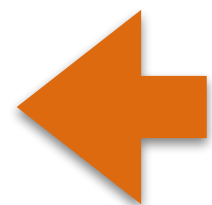
**Input Stream**



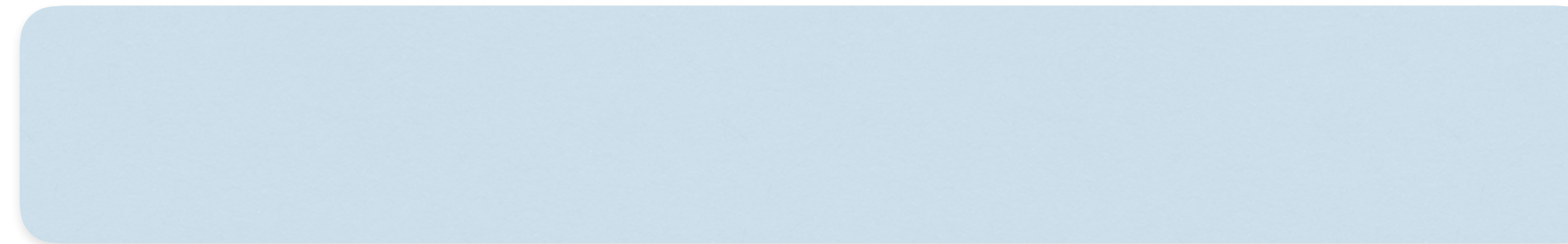
**Event Counter  
(5sec window)**



**2**



**window [0-5sec]**

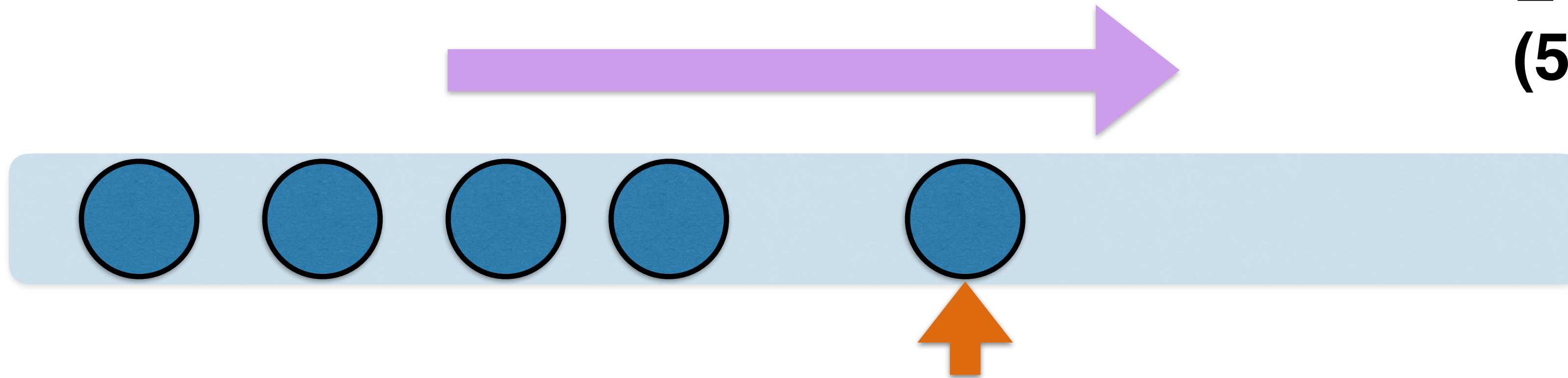


**window [6-10sec]**



# Processing Time Example

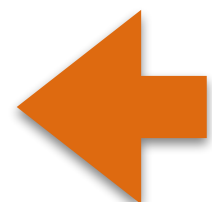
**Input Stream**



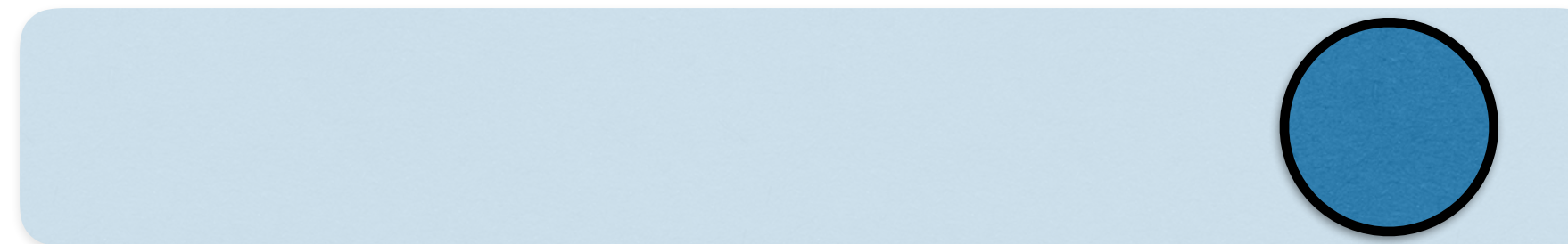
**Event Counter  
(5sec window)**



**2**



**window [0-5sec]**



**window [6-10sec]**





# Processing Time Example

**Input Stream**



**Event Counter  
(5sec window)**



**2** ←



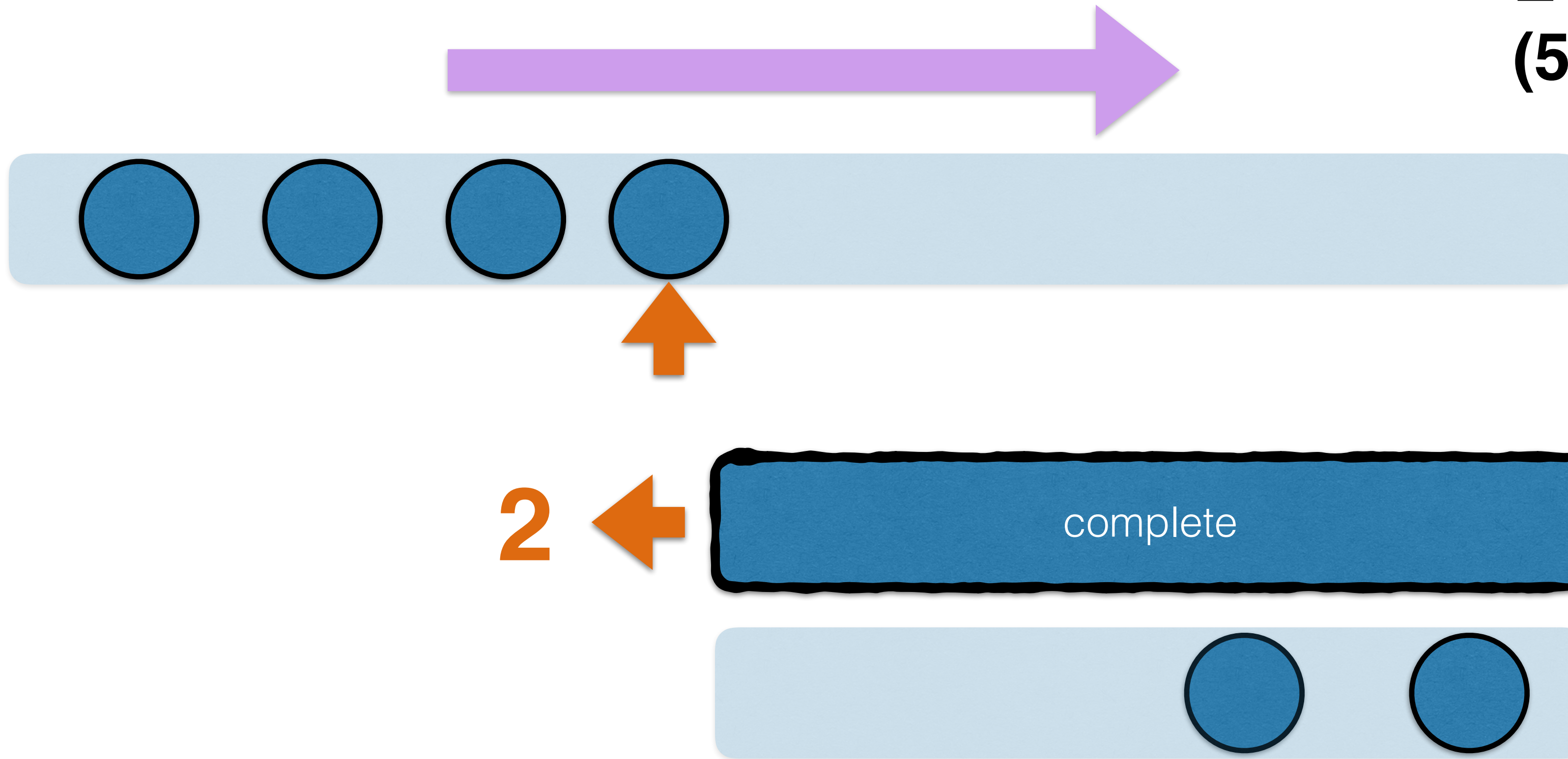
**window [0-5sec]**



**window [6-10sec]**

# Processing Time Example

Input Stream



Event Counter  
(5sec window)



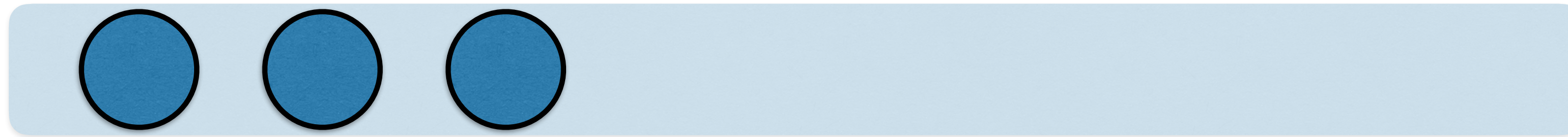
window [0-5sec]

window [6-10sec]



# Processing Time Example

**Input Stream**



**Event Counter  
(5sec window)**



**2** ←



**window [0-5sec]**



**window [6-10sec]**



# Processing Time Example

Input Stream



Event Counter  
(5sec window)



2 ←



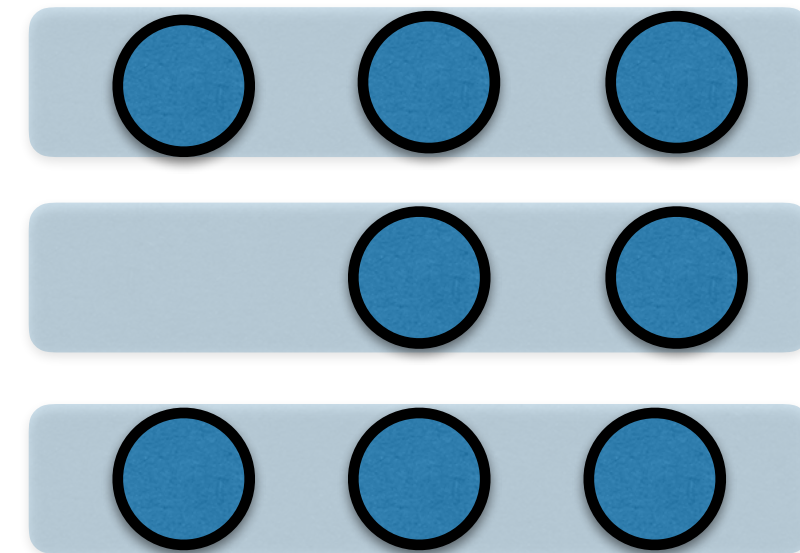
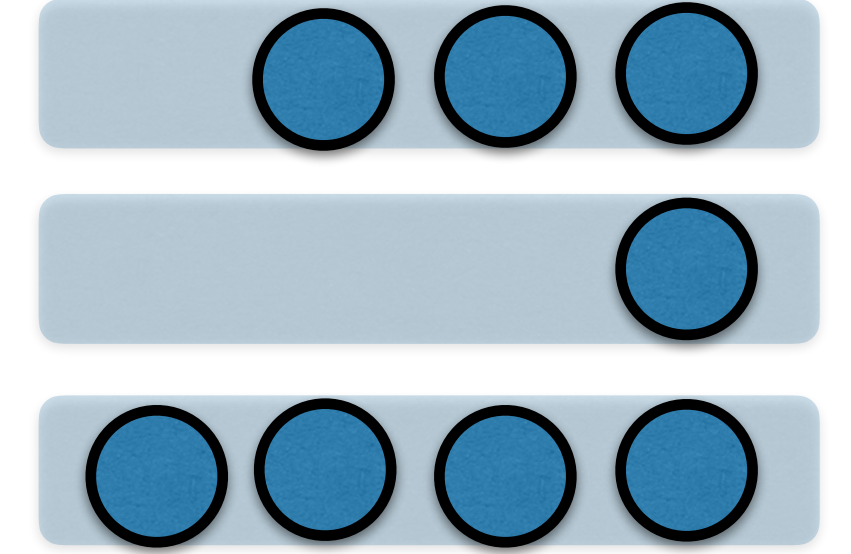
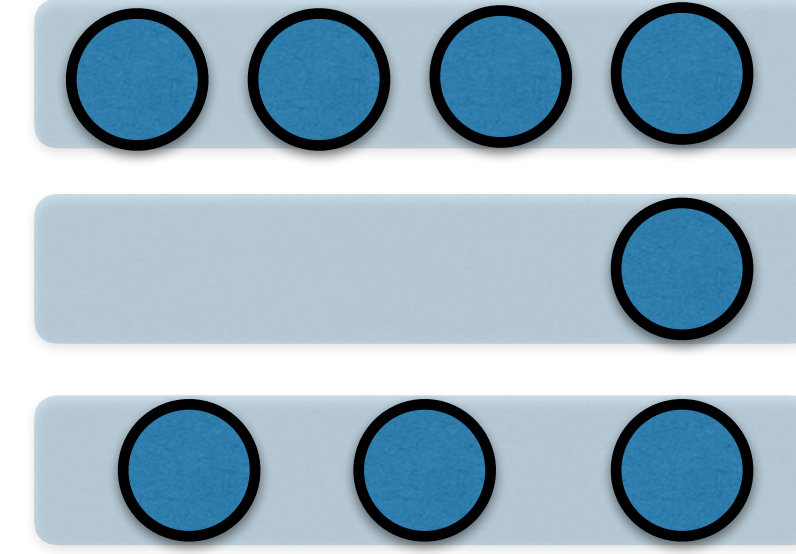
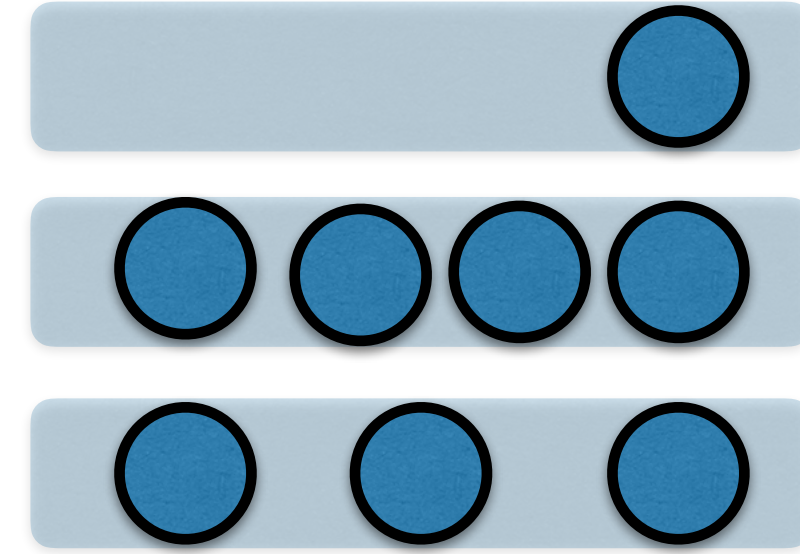
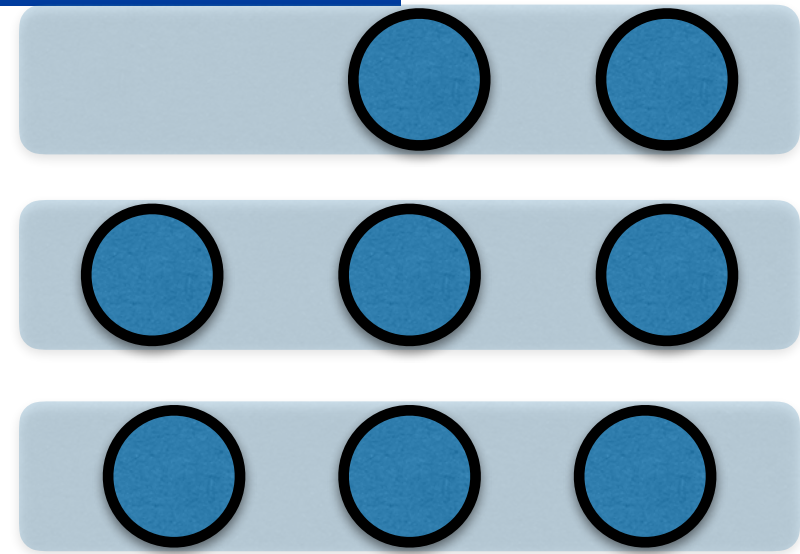
window [0-5sec]

3 ←

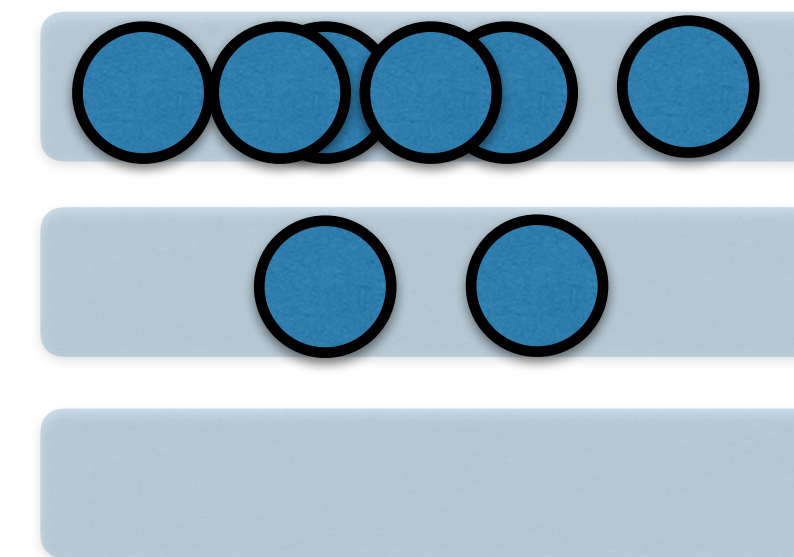
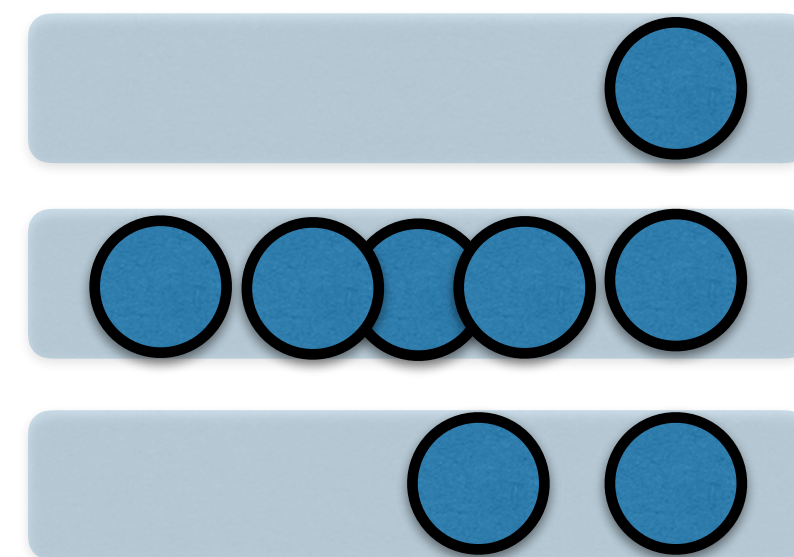
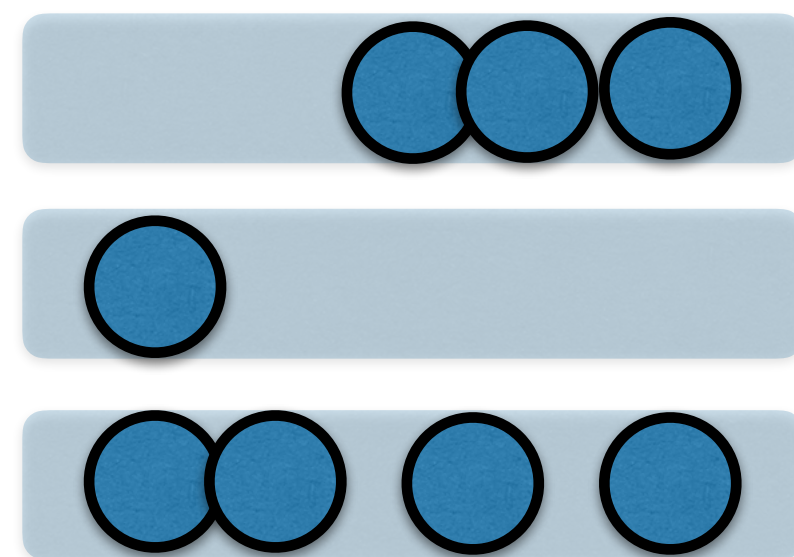
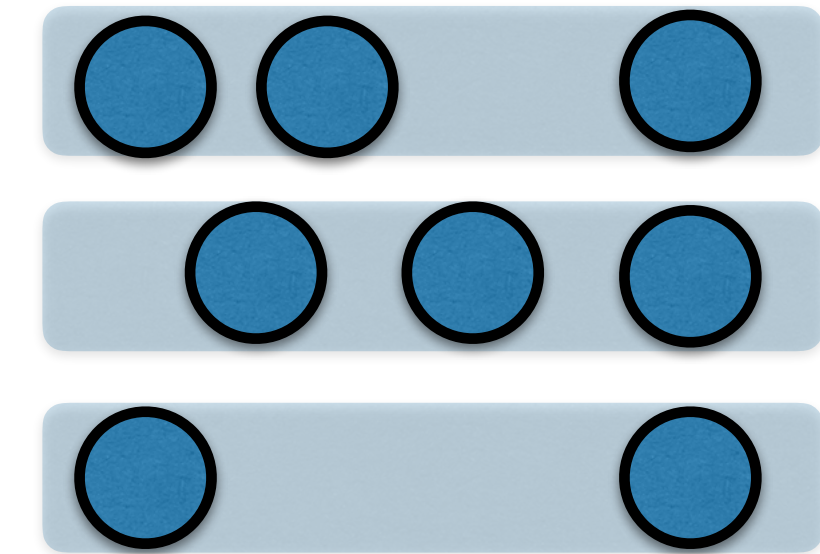
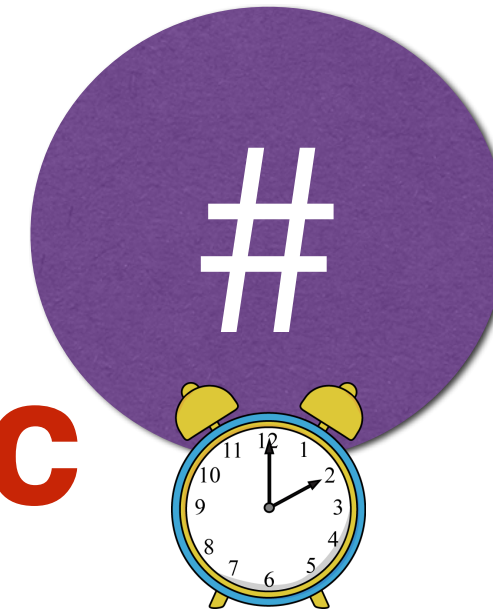


window [6-10sec]

# Processing Time : The Issue

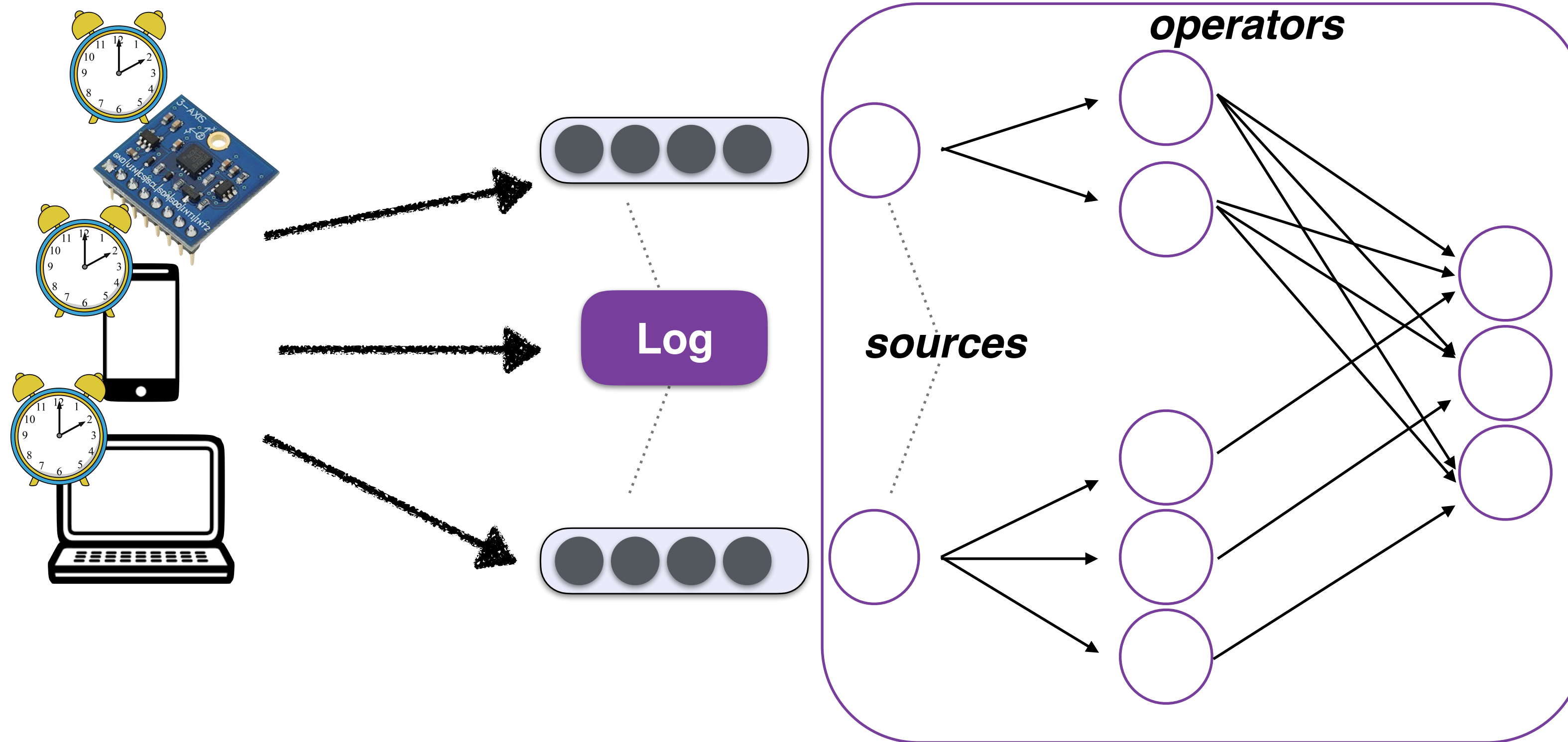


**Not  
Deterministic**



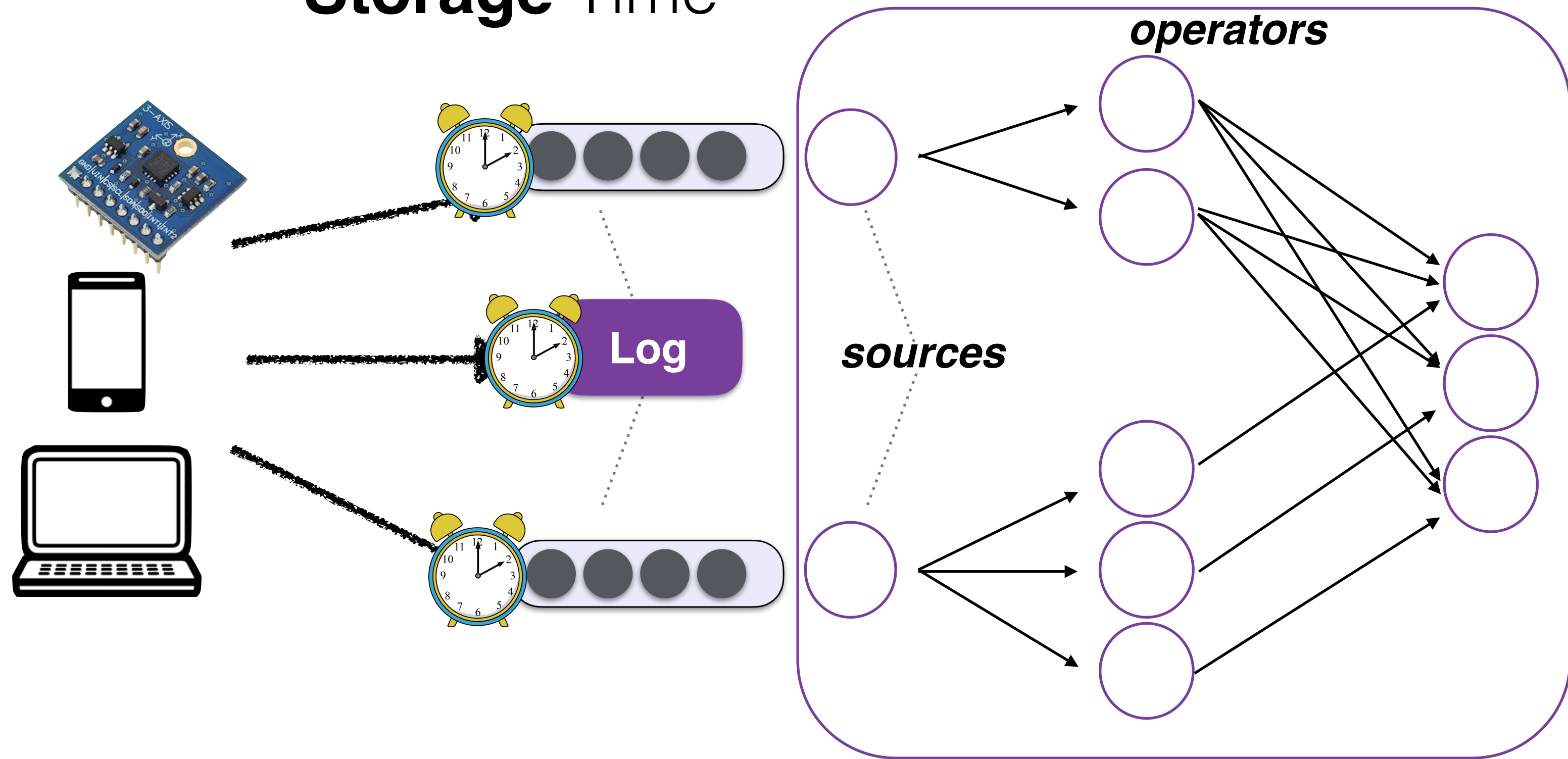
# Event Time

## Origin Time



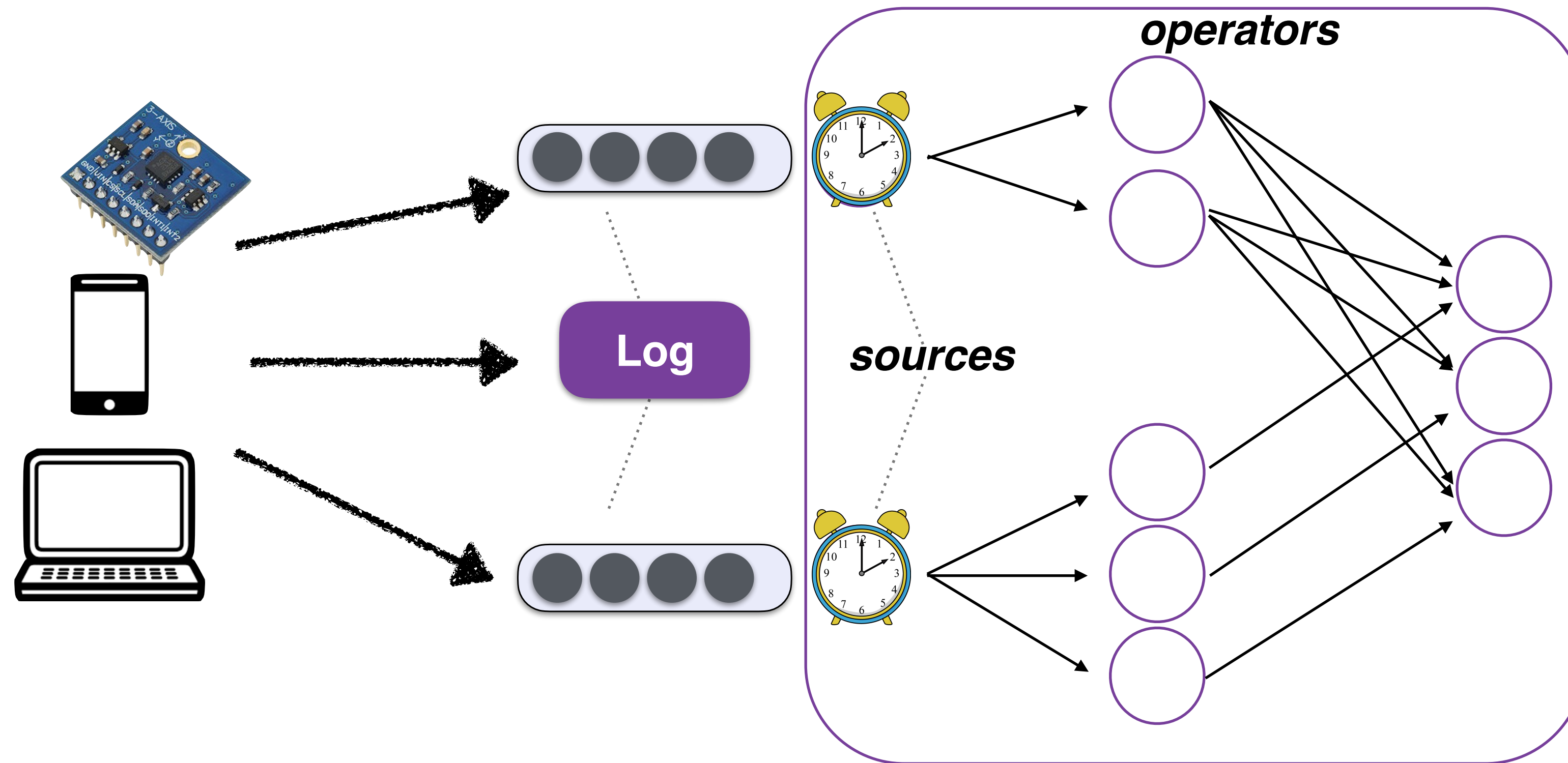
# Event Time

## Storage Time



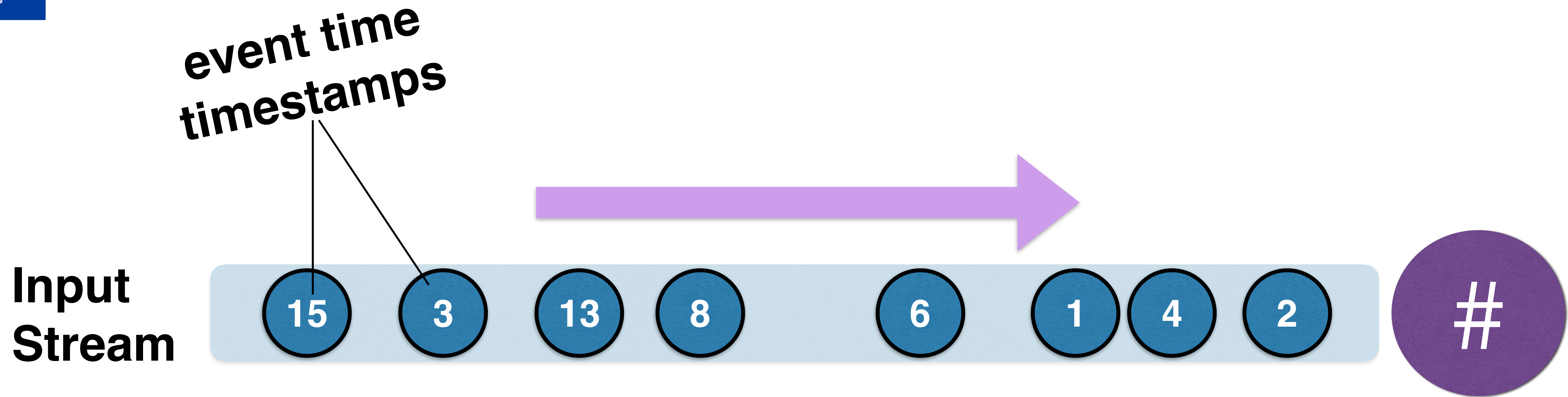
# Event Time

## Ingestion Time





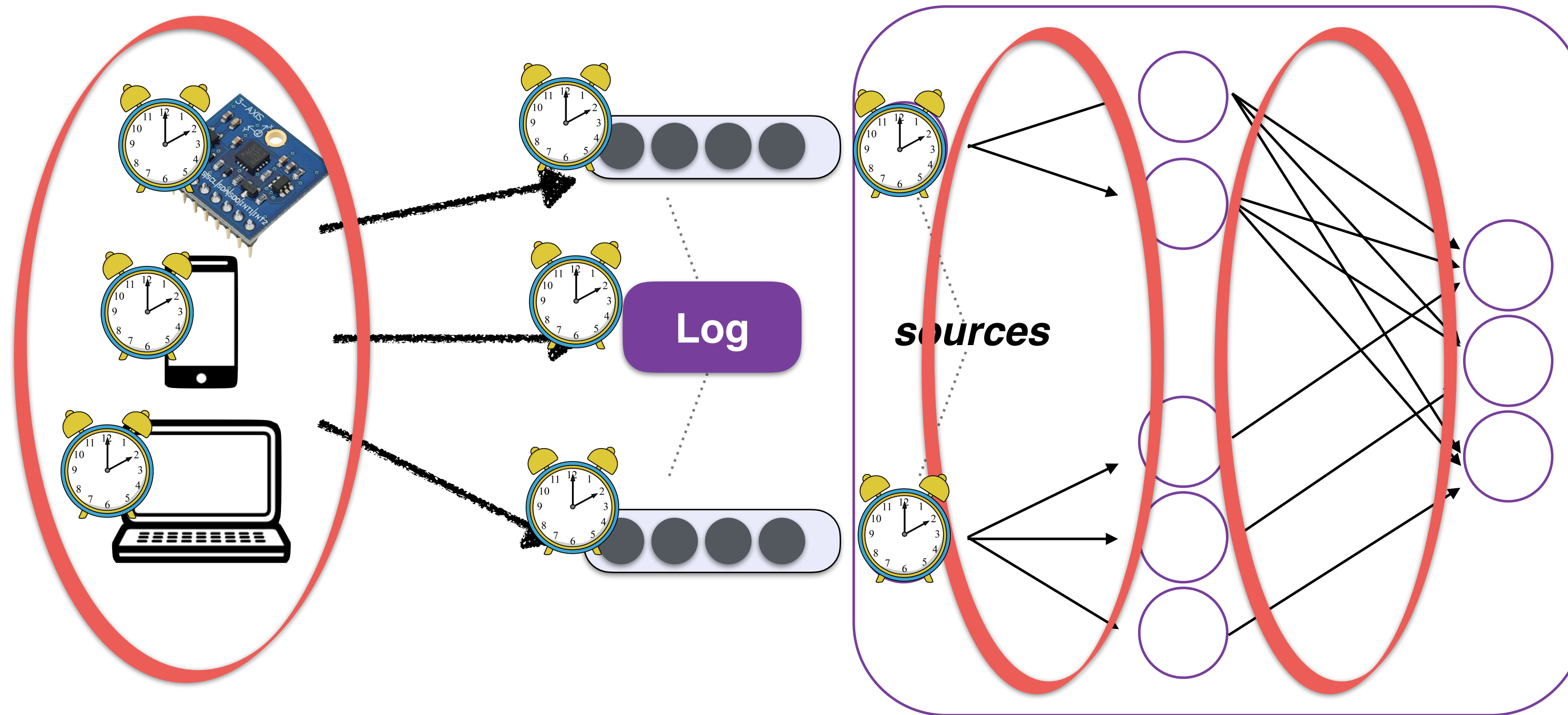
# Event Time



- **Problem: Distributed events arrive out of order**

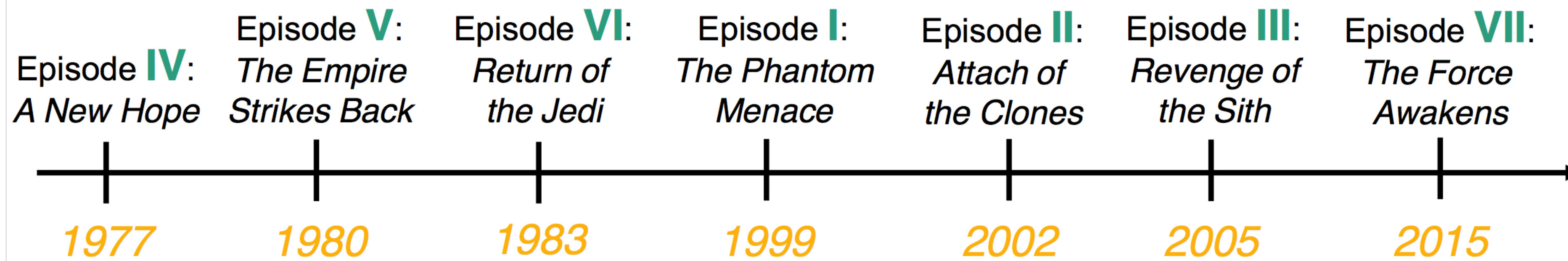
# Out-of-Orderness is unavoidable

- Origin Devices can **disconnect** temporarily (e.g., train tunnels).
- There is **interleaving** both in message logs (kafka) and on **shuffles** between PEs.





This is called **event time**

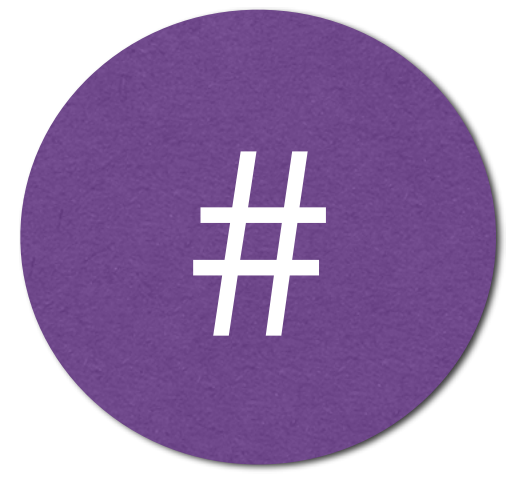


This is called **processing time**

# Event Time



**Input Stream**



**window [0-5sec]**

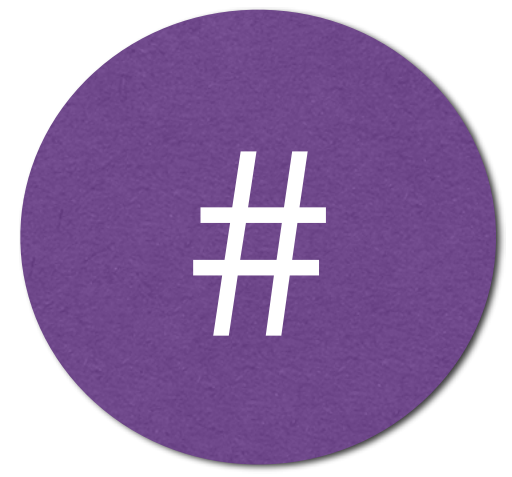


**window [6-10sec]**

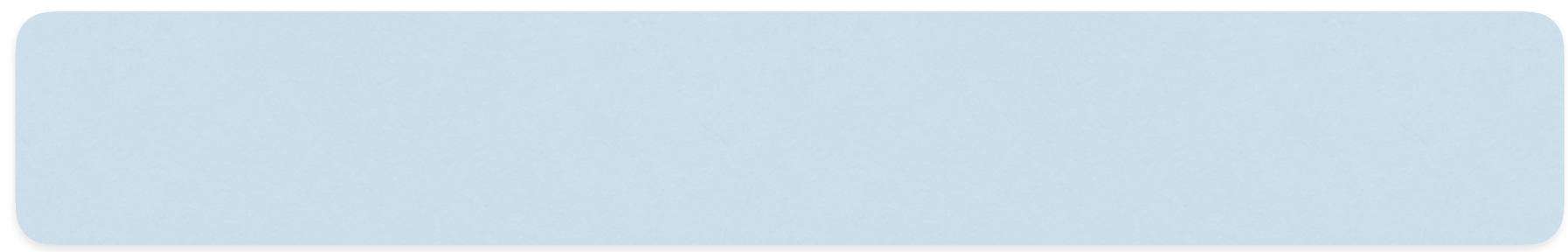
# Event Time



**Input Stream**



**window [0-5sec]**

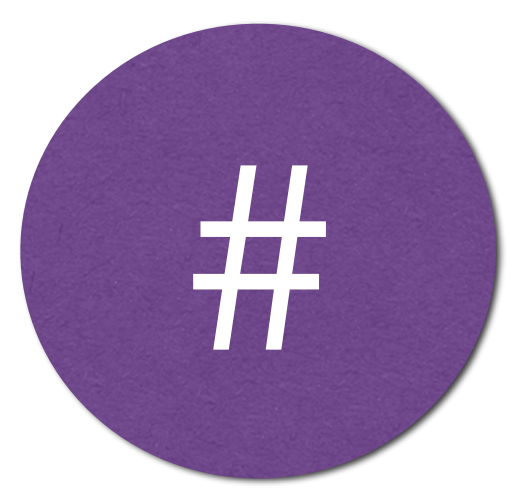


**window [6-10sec]**

# Event Time



**Input Stream**



**window [0-5sec]**

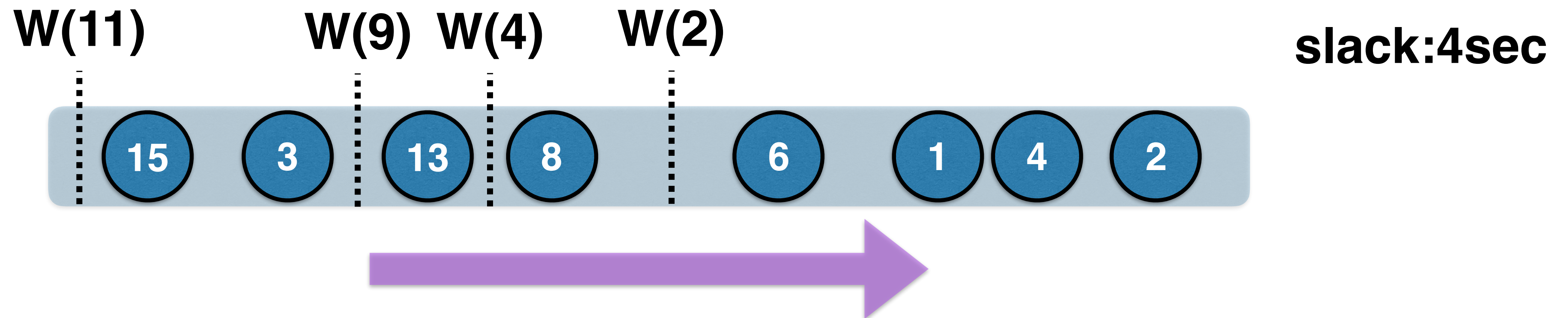


**window [6-10sec]**

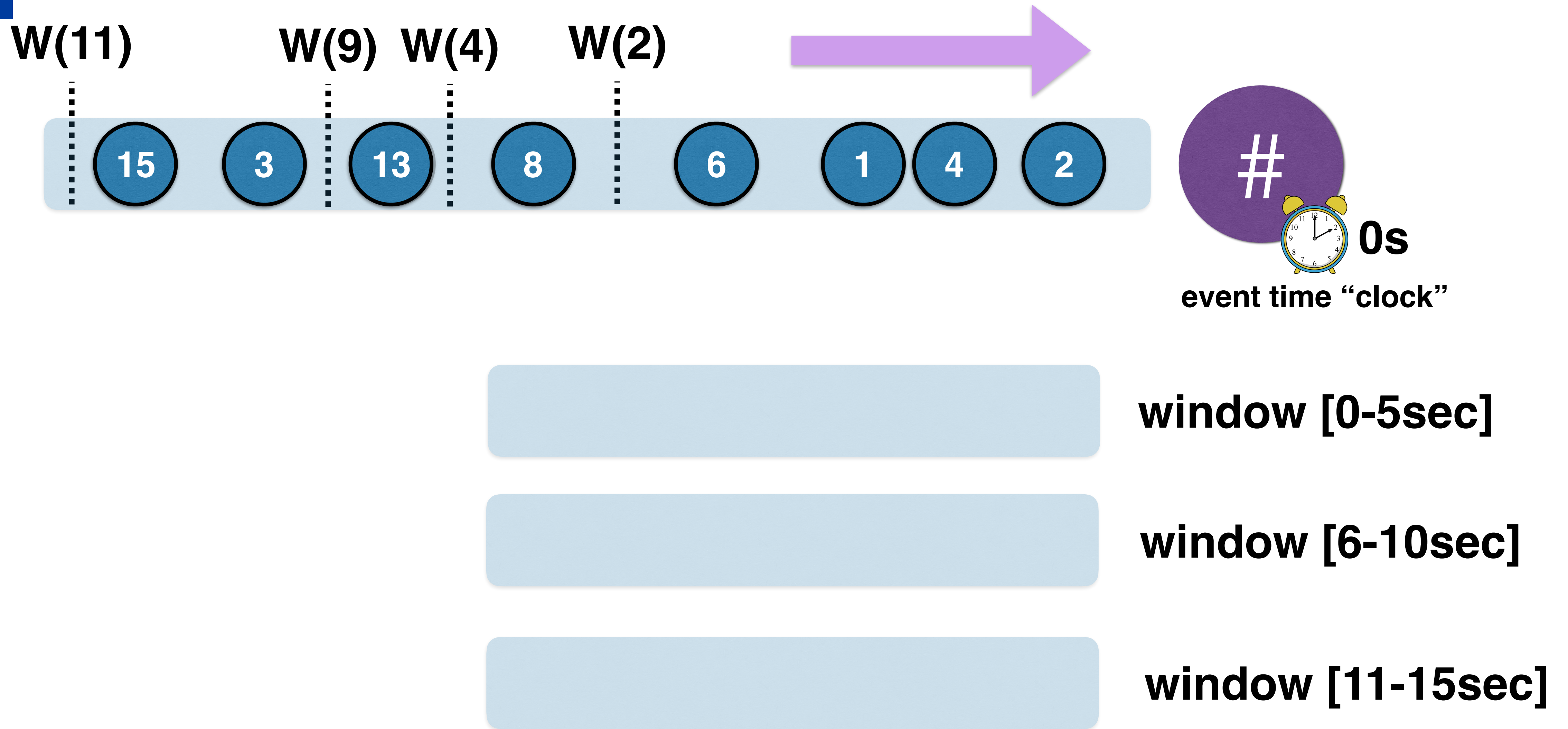
- **Problem:** How do we know when a window is complete?

# Solution

- We define a **slack**: bound how long to wait for late events .
- **Low Watermarks**: system-generated events that indicate lowest expected timestamp (using the slack).

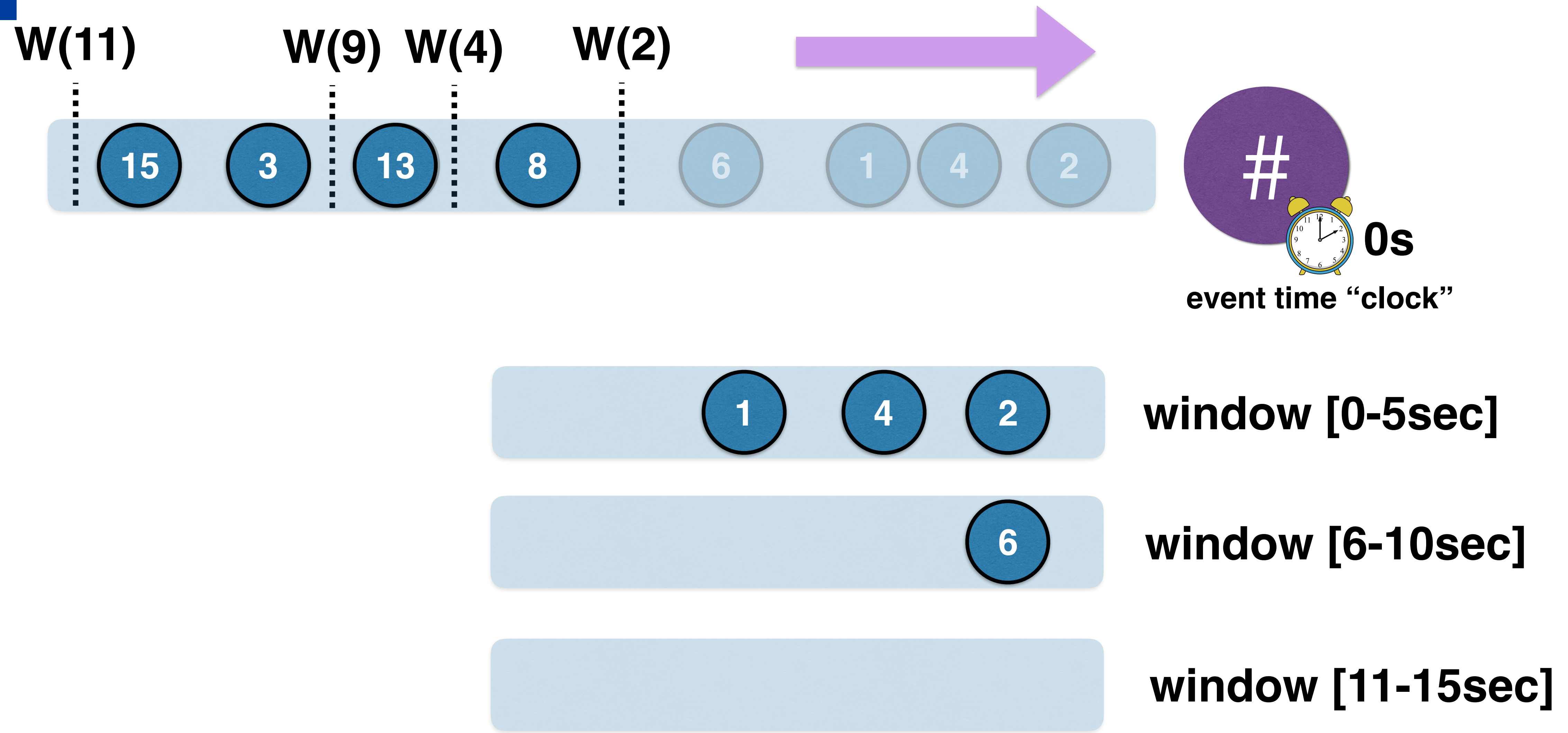


# Example

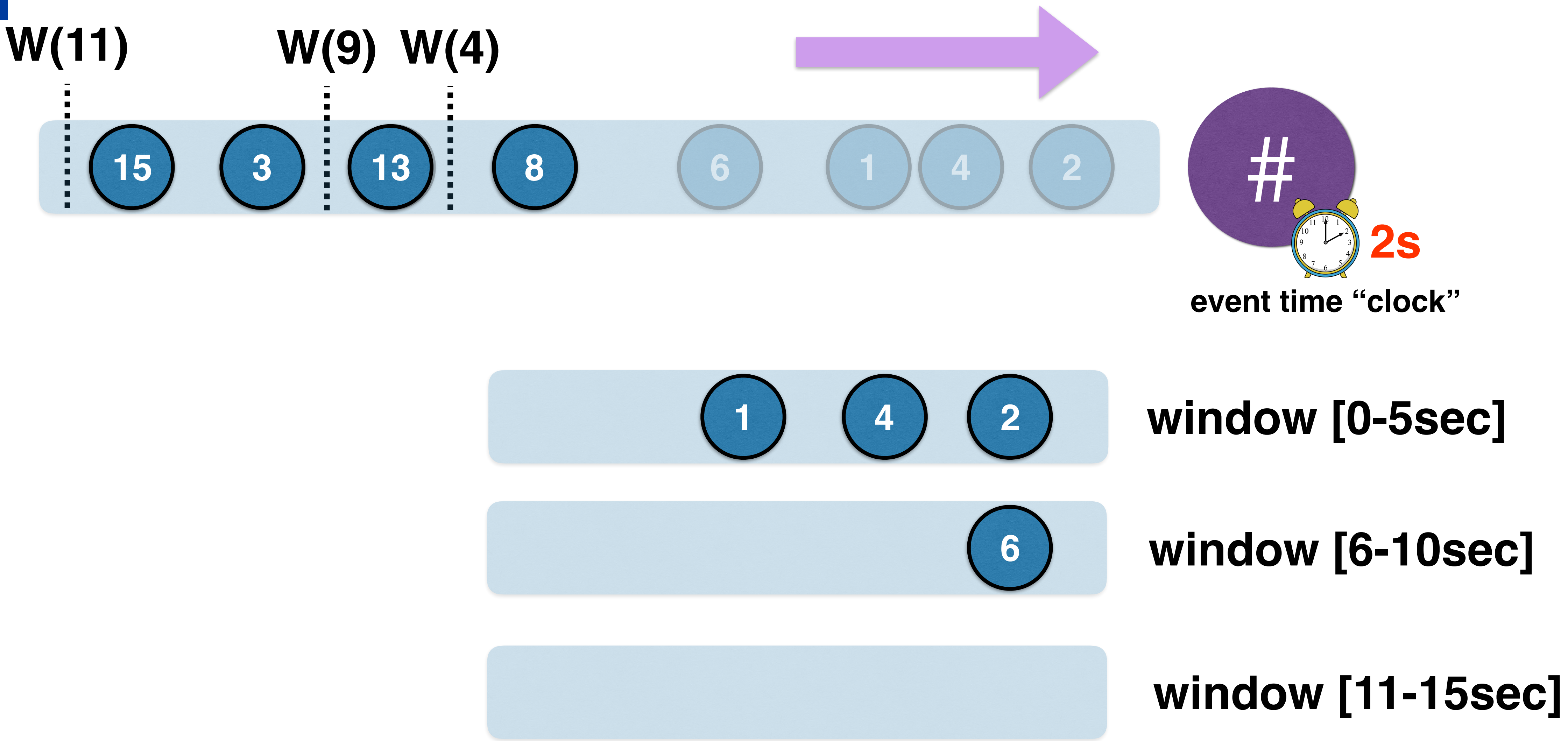




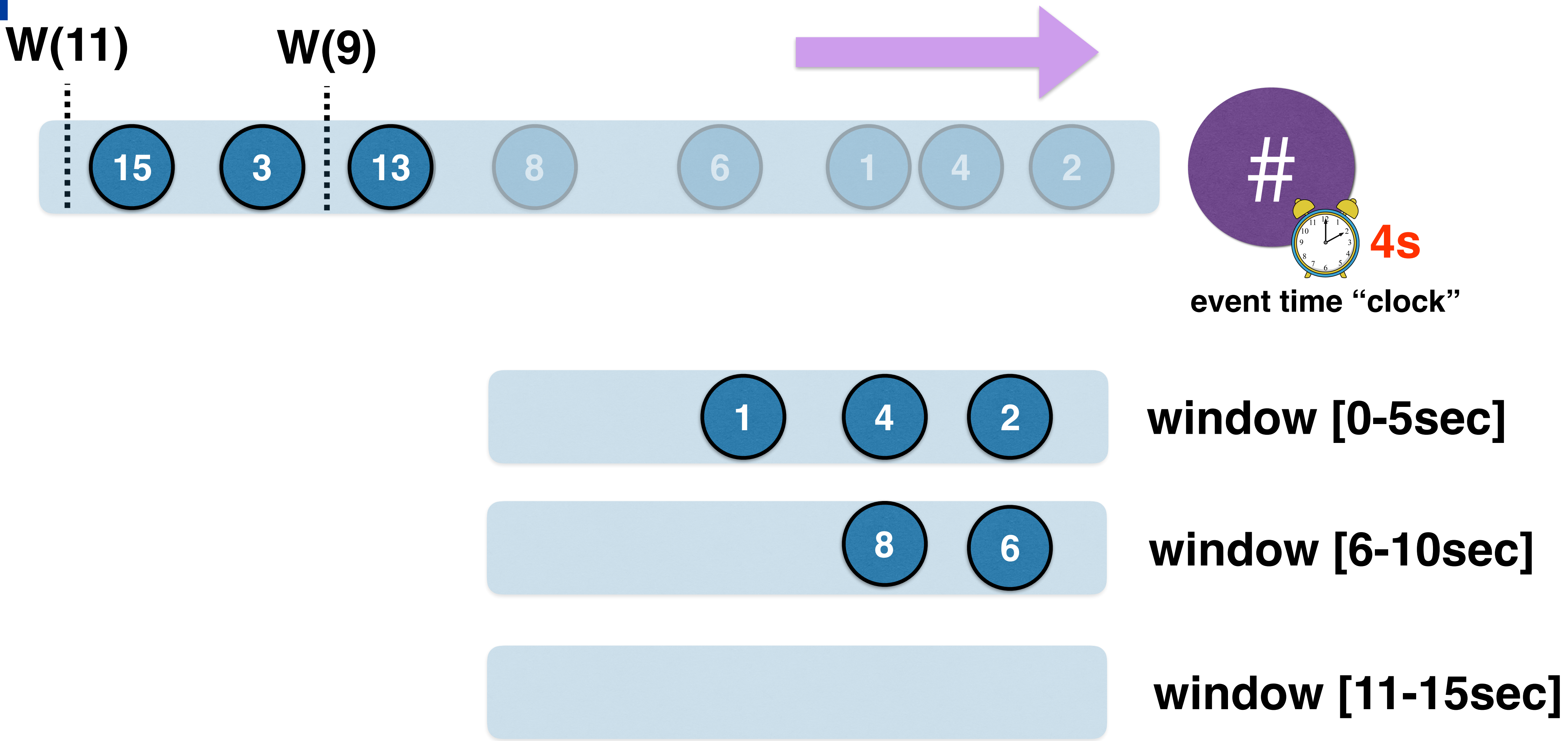
# Example



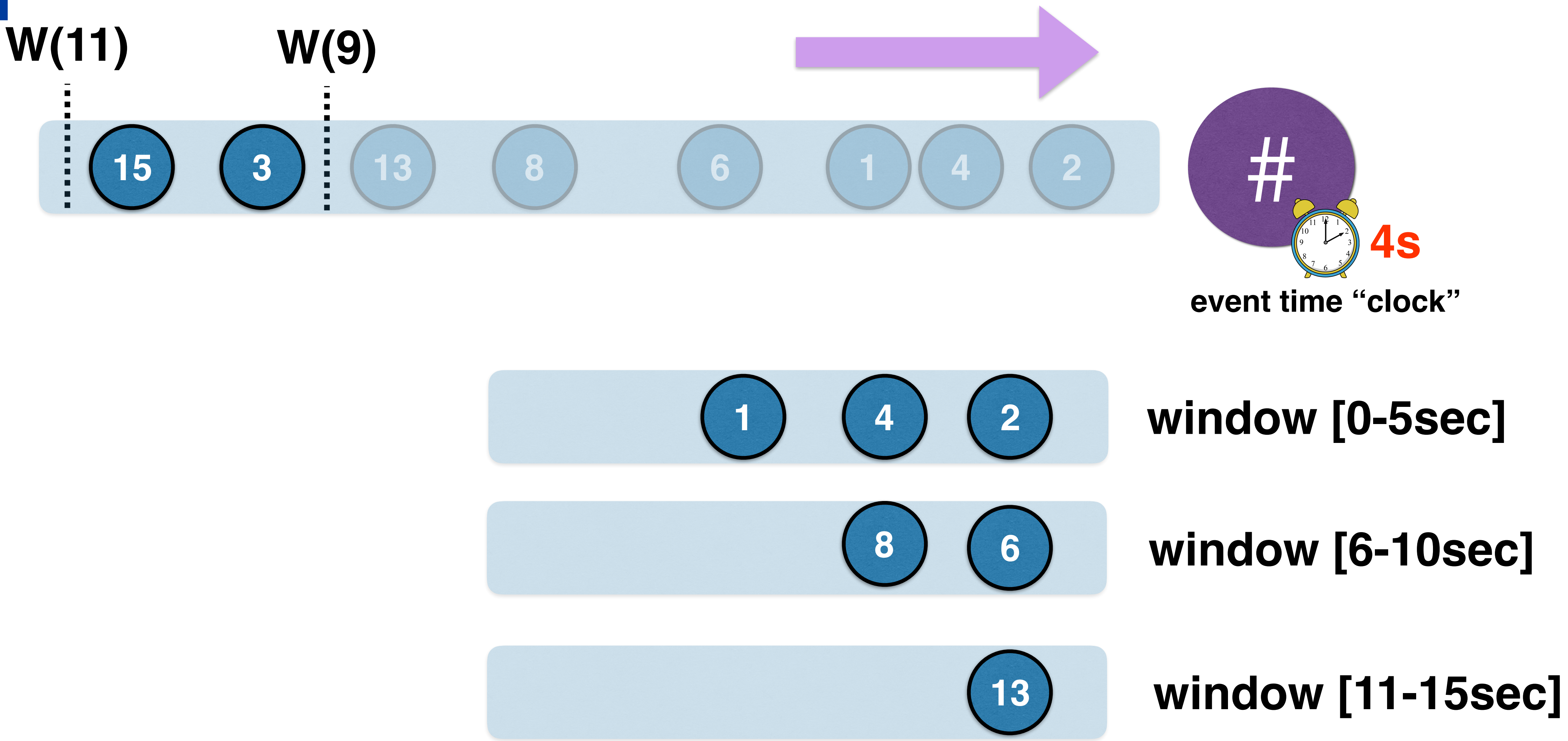
# Example



# Example

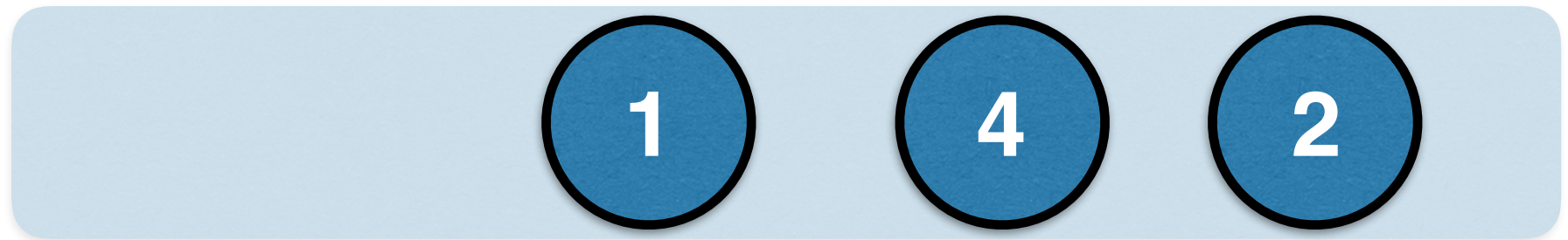
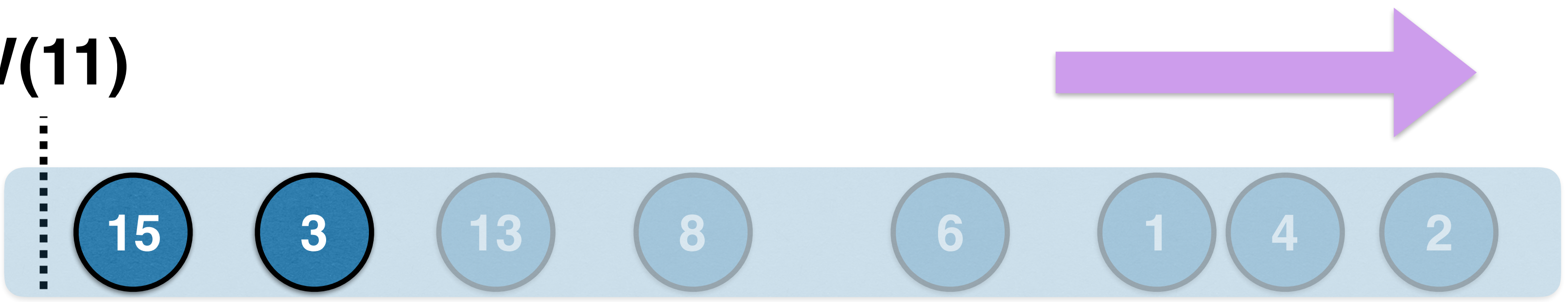


# Example



# Example

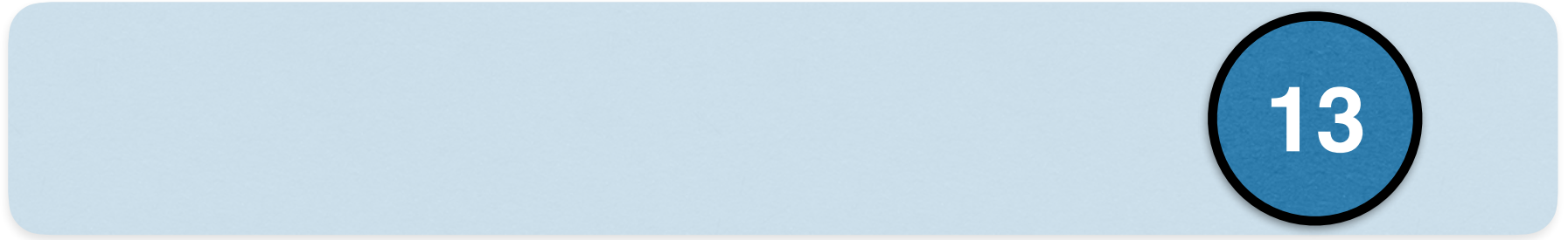
W(11)



**window [0-5sec]**



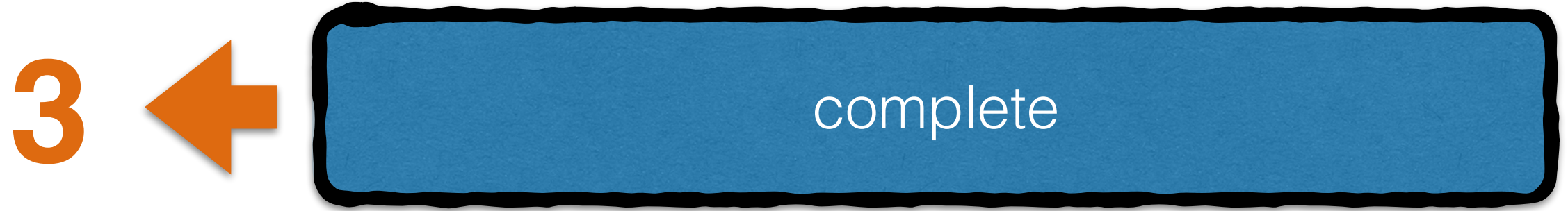
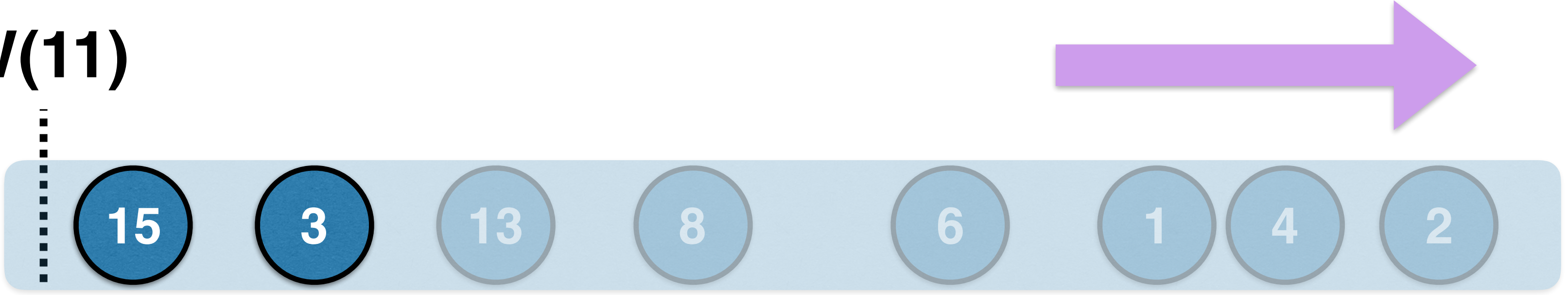
**window [6-10sec]**



**window [11-15sec]**

# Example

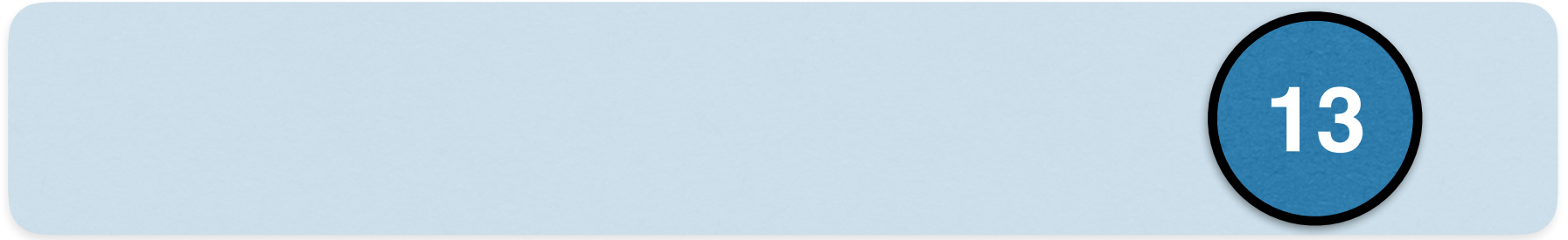
W(11)



window [0-5sec]

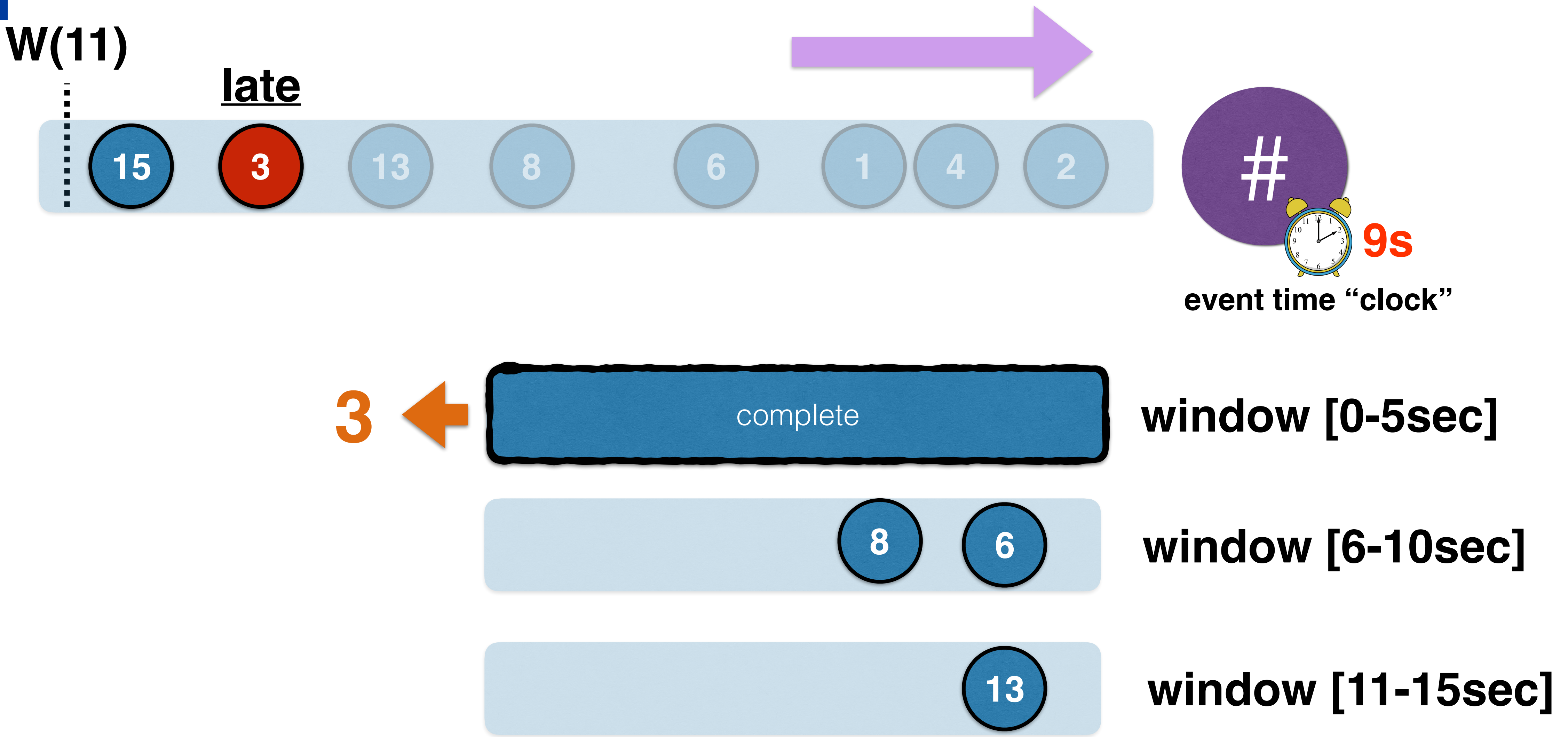


window [6-10sec]



window [11-15sec]

# Example



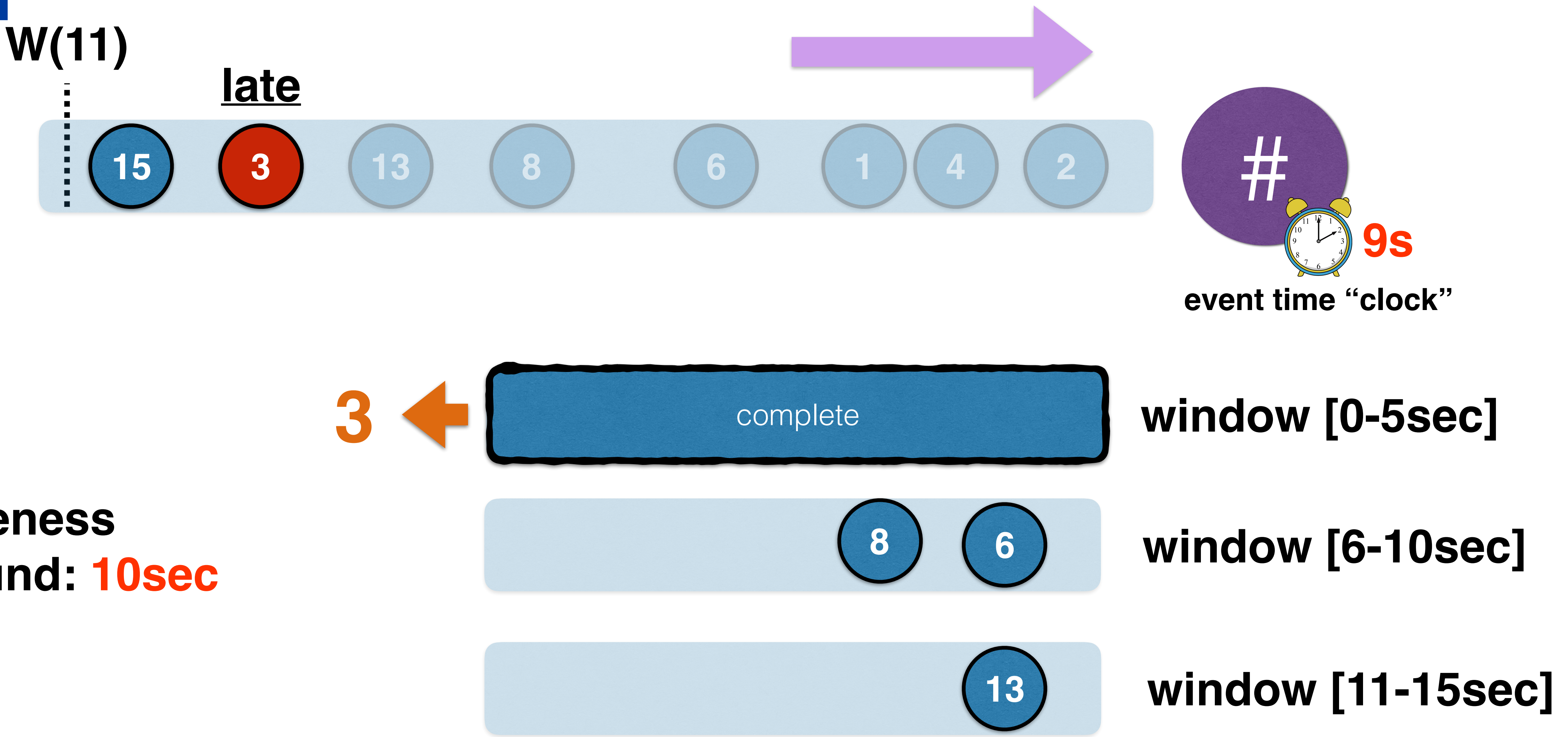


# Late Events?

- Allow applications to choose how to handle late events:
  - Drop them
  - **Bound Lateness** and update or.. drop



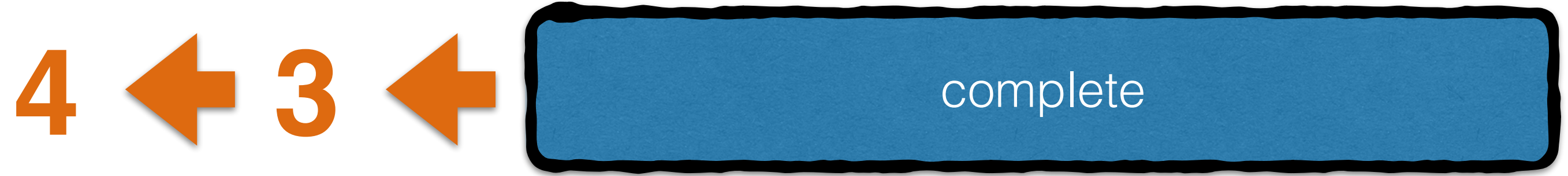
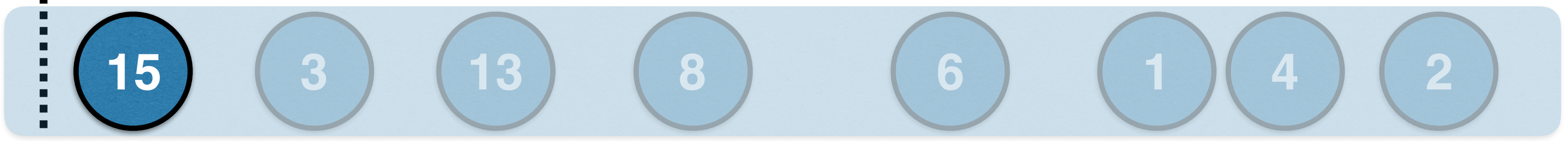
# Example



**Lateness Bound: 10sec**

# Example

W(11)

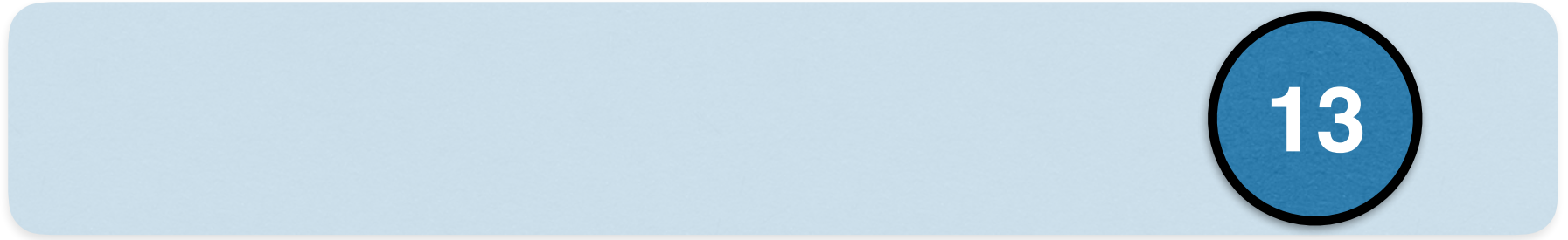


window [0-5sec]

**Lateness Bound: 10sec**

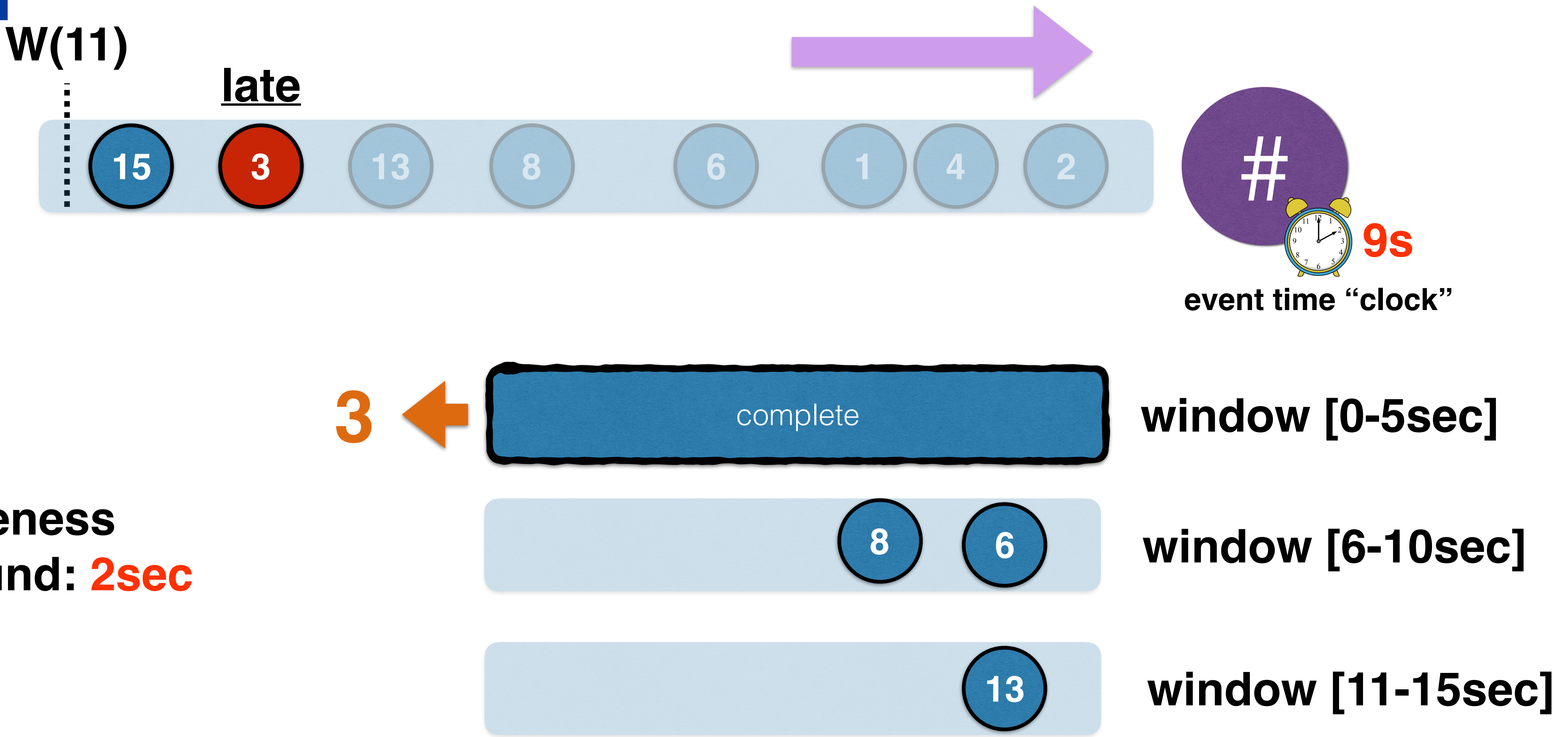


window [6-10sec]

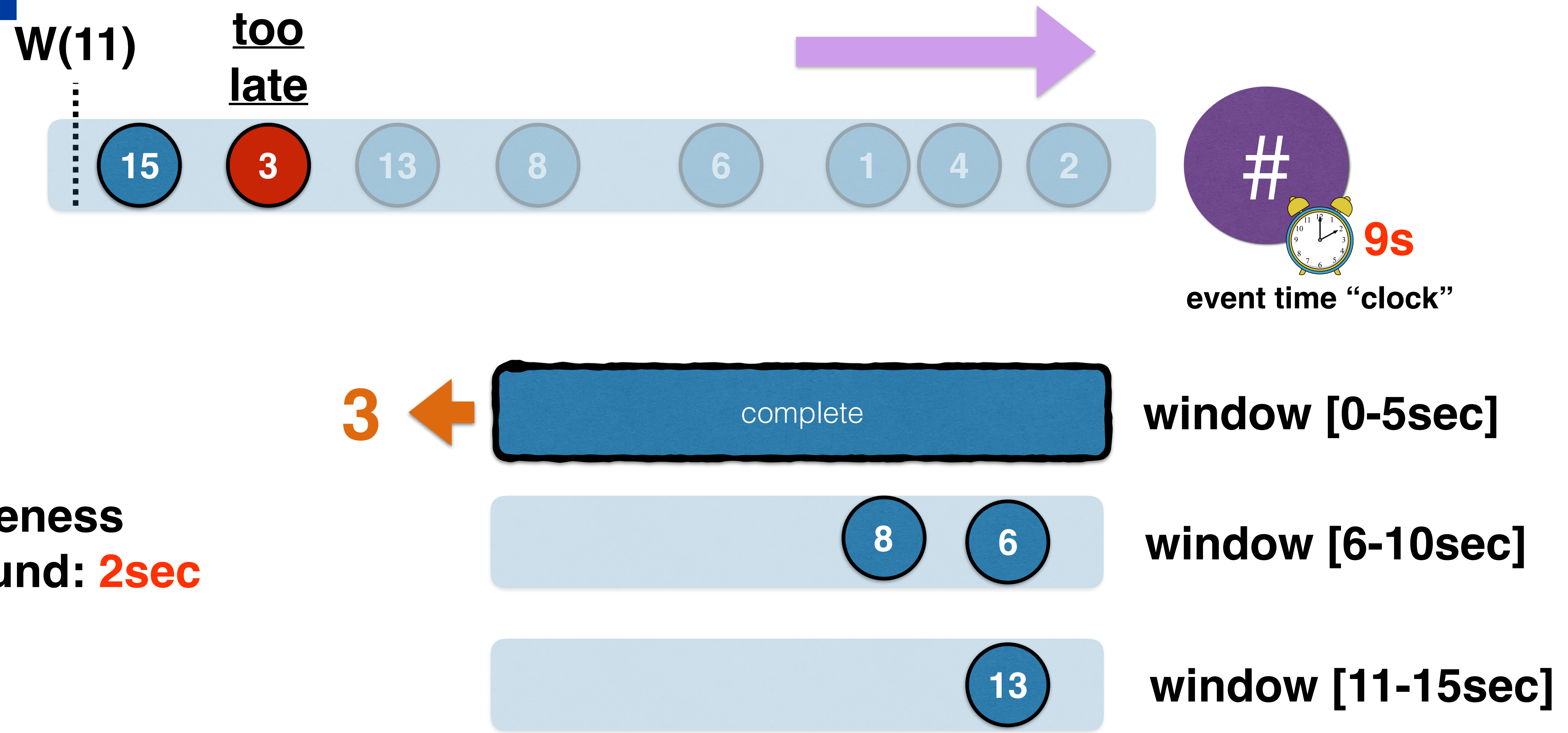


window [11-15sec]

# Example

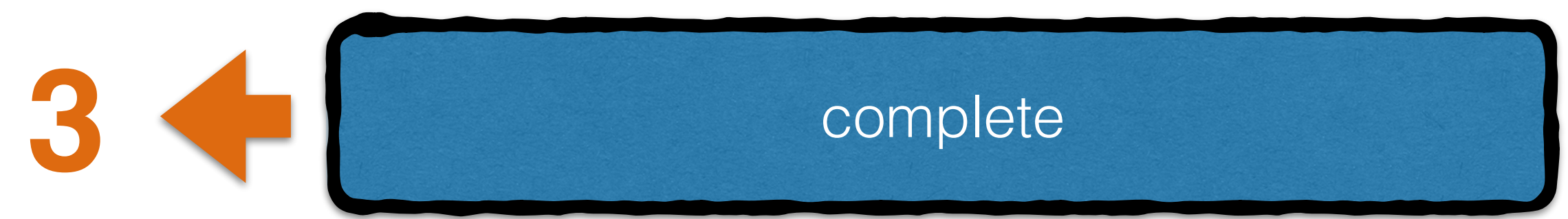
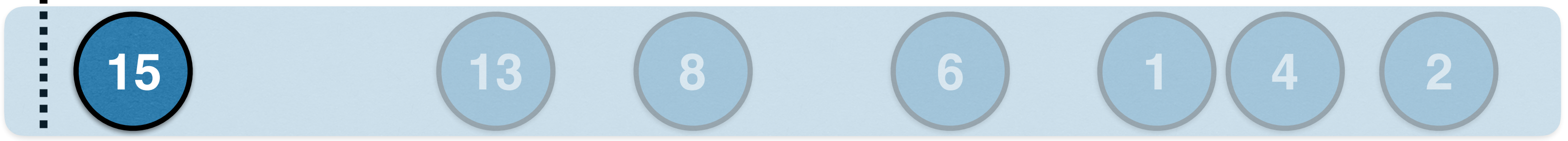


# Example



# Example

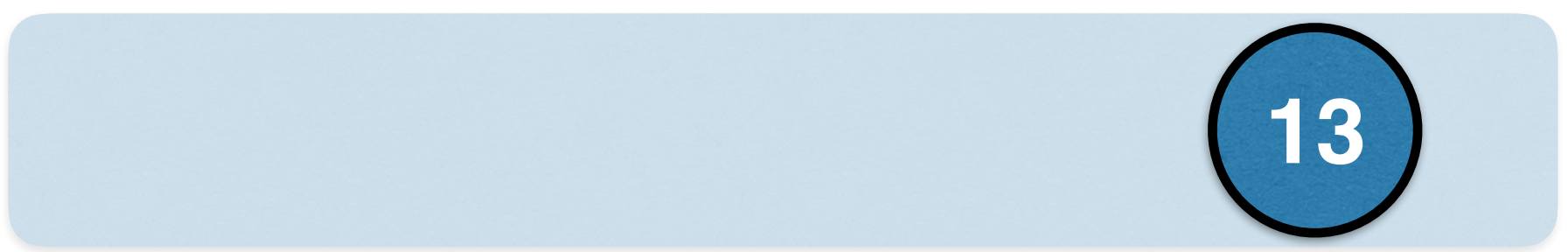
W(11)



window [0-5sec]



window [6-10sec]

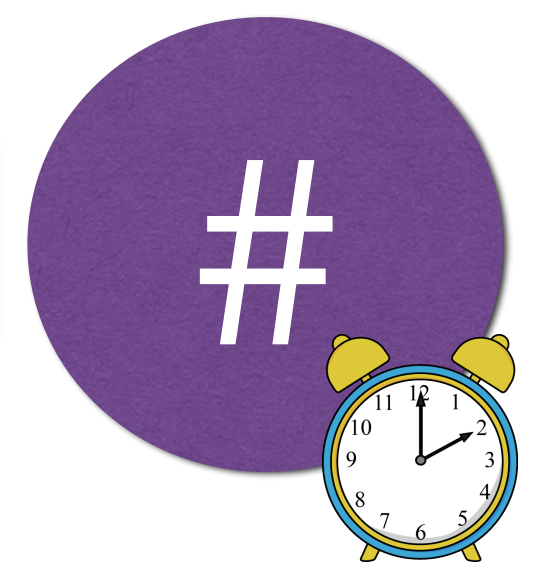
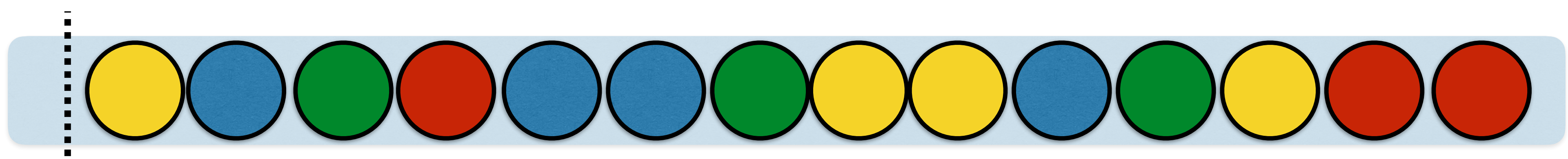


window [11-15sec]

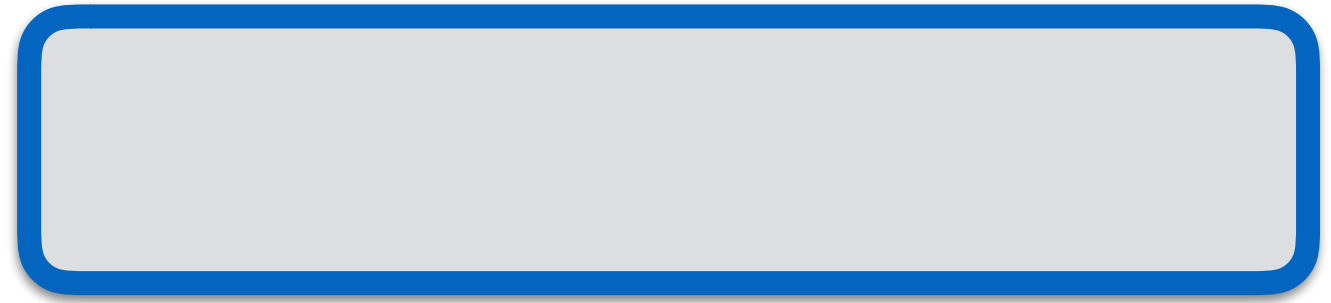
**Lateness Bound: 2sec**

# Data Parallel Windows (per key)

W(6)



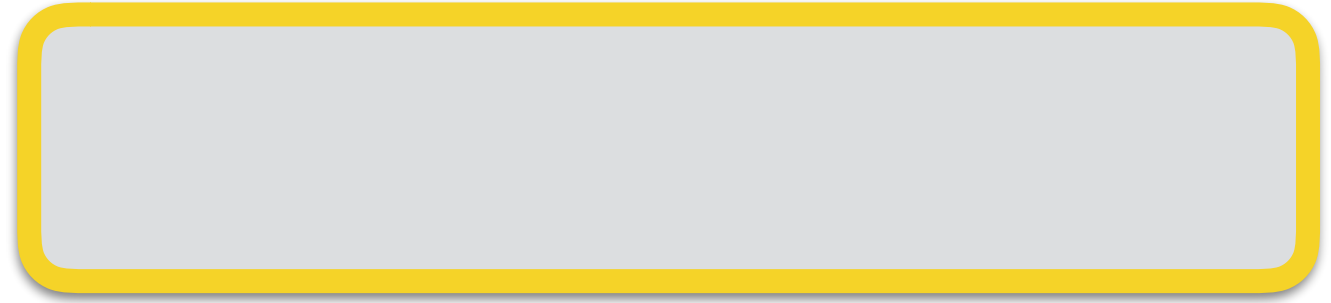
window [0-5sec]



window [0-5sec]



window [0-5sec]

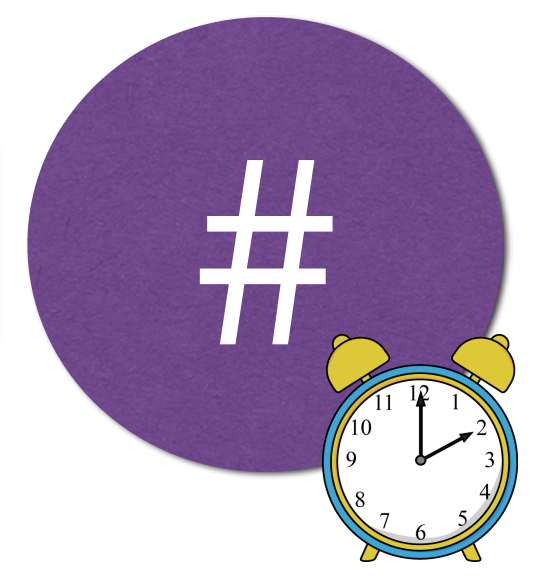


window [0-5sec]

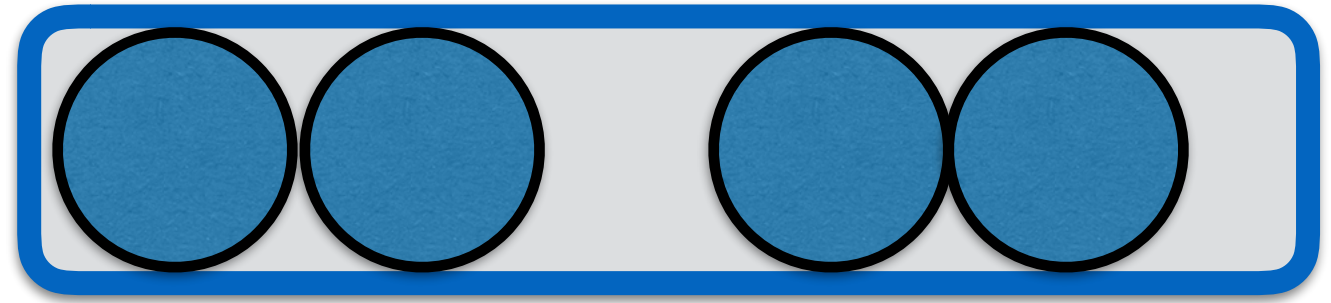


# Data Parallel Windows (per key)

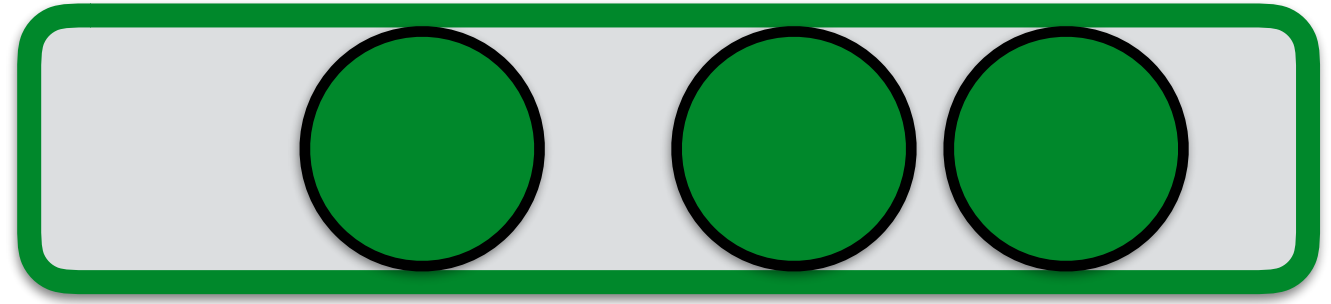
W(6)



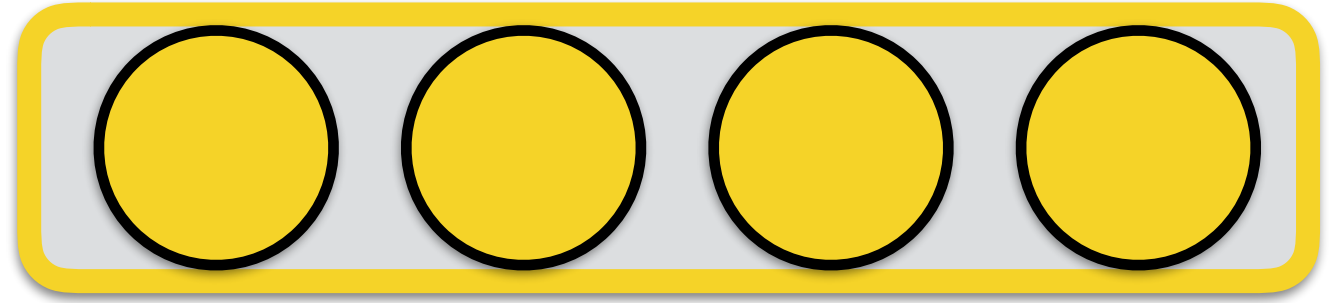
window [0-5sec]



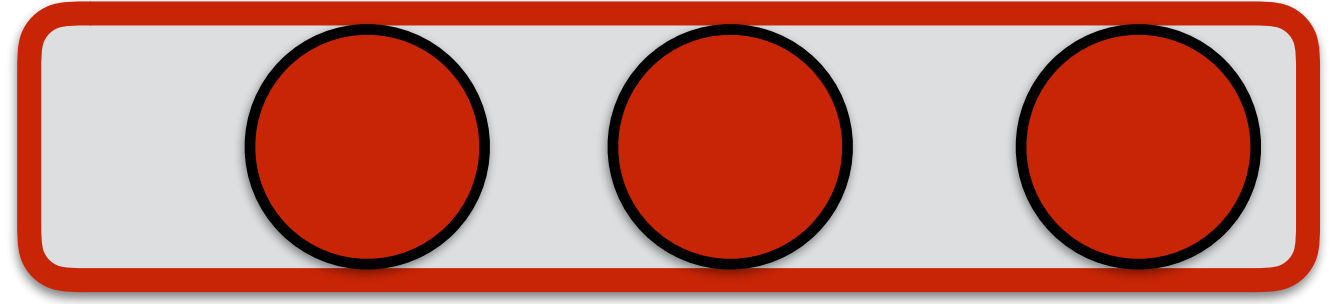
window [0-5sec]



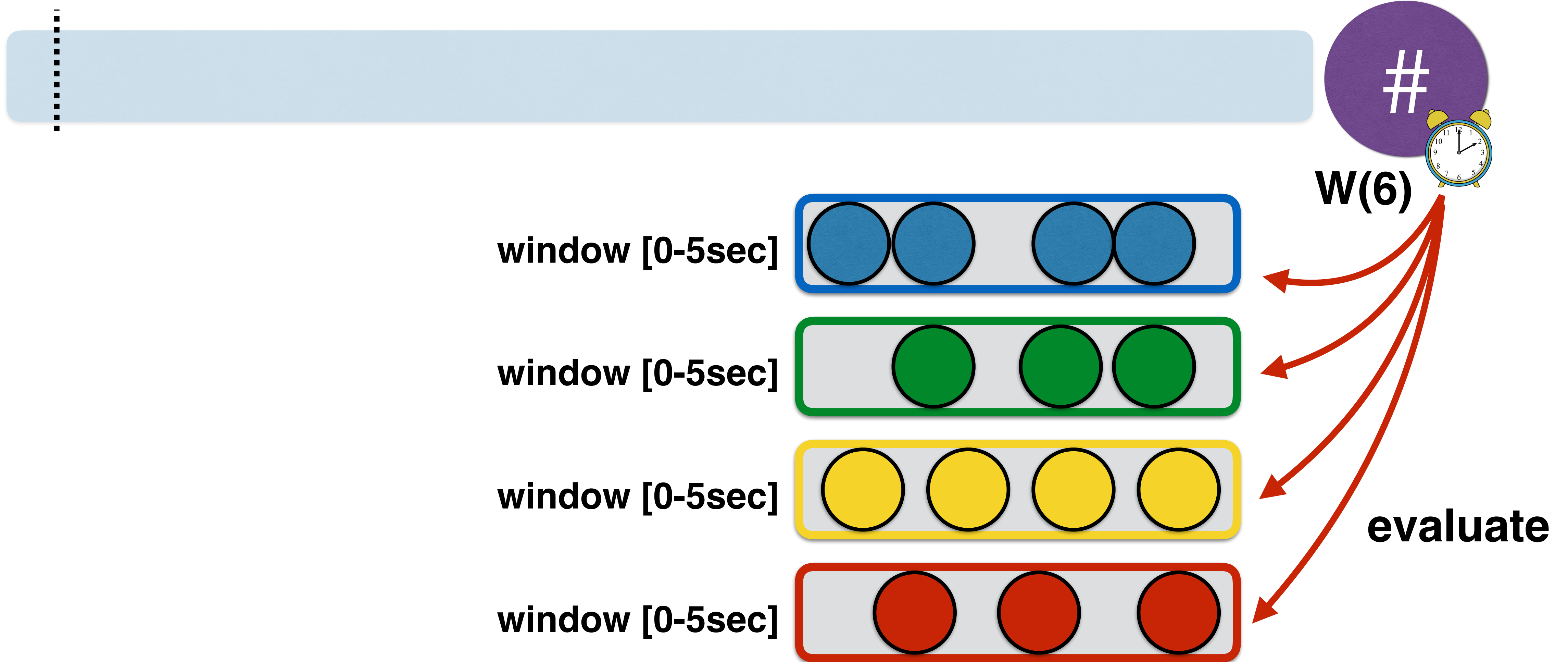
window [0-5sec]



window [0-5sec]



# Data Parallel Windows (per key)







# Getting Hands Dirty

**<http://training.ververica.com>**

**<https://github.com/ververica/sql-training>**

**[DOCS : https://ci.apache.org/projects/flink/flink-docs-release-1.9/](https://ci.apache.org/projects/flink/flink-docs-release-1.9/)**



# Further Readings

- **[Paper] State Management In Apache Flink**
- **[Thesis] Scalable and Reliable Data Stream Processing**
- **[Paper] The dataflow model: a practical approach to balancing correctness, latency, and cost in massive-scale, unbounded, out-of-order data processing.**
- **[Paper] One SQL to Rule Them All: An Efficient and Syntactically Idiomatic Approach to Management of Streams and Tables**
- **[Paper] Out-of-order processing: a new architecture for high-performance stream systems.**
- **[Blog] The world beyond batch: Streaming 101 by Tyler Akidau**  
**<https://www.oreilly.com/ideas/the-world-beyond-batch-streaming-101>**



# Announcements

- **Flink Forward 2019, the premier conference in Apache Flink needs volunteers (attendance free of charge). <https://europe-2019.flink-forward.org/register>**
- **For MSc Thesis / Summer Job in Data Processing Systems Research mail me!**

# Next-Gen Continuous Analytics

*teaser*

