



Cloud Data Lakes

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The Course Web Page

<https://id2221kth.github.io>

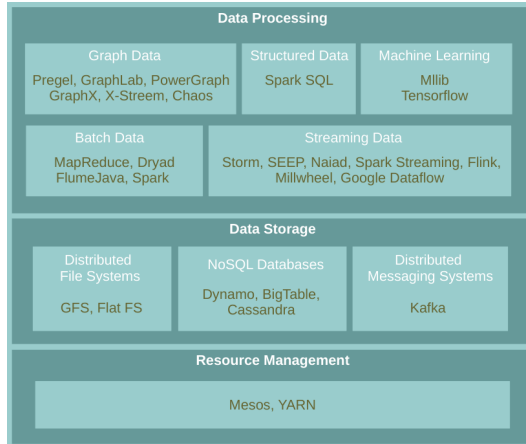


The Questions-Answers Page

<https://tinyurl.com/bdenpwc5>



Where Are We?



What Are The Challenges?

The Biggest Challenges With Data Today

- ▶ Data quality
- ▶ Staleness
- ▶ Data volume
- ▶ Scale





Fivetran Data Analyst Survey

- ▶ 60% reported **data quality** as top challenge.
- ▶ 86% of analysts had to use **stale data**, with 41% using data that is **> 2 months old**.
- ▶ 90% regularly had **unreliable data sources** over the last 12 months





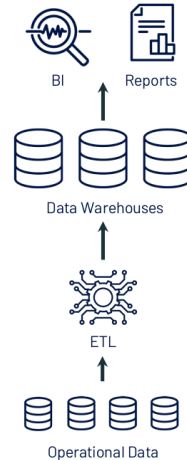
Getting high-quality, timely data is hard!



The Evolution of Data Management

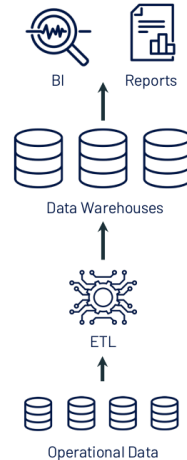
Data Warehouses (1980s)

- ▶ ETL (Extract, Transform, Load) data directly from operational **database systems**.



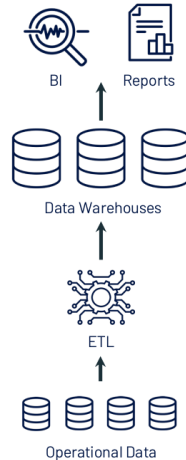
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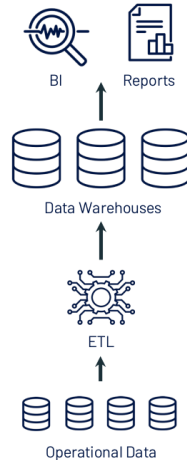
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- ▶ Powerful **management features** such as **ACID** transactions and time travel



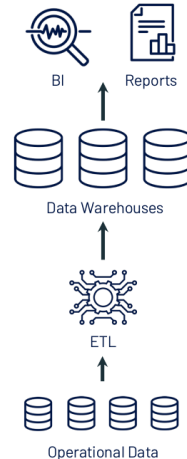
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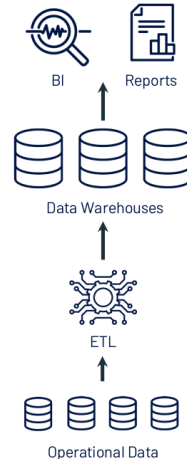
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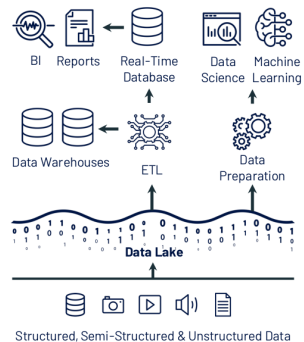
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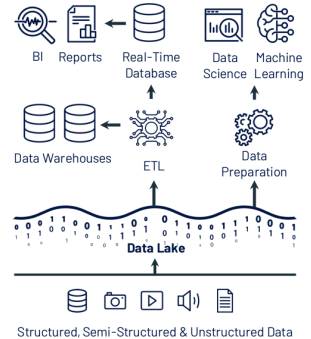
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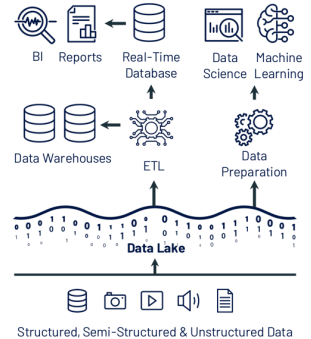
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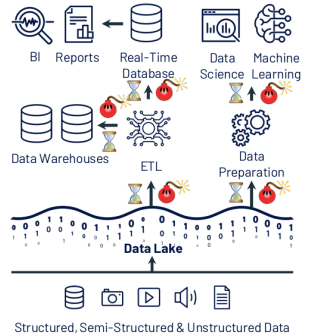
Data Lakes (2010s)

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- ▶ Directly readable in **ML libraries** (e.g., TensorFlow and PyTorch) due to open file format.



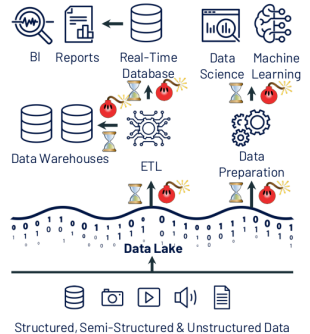
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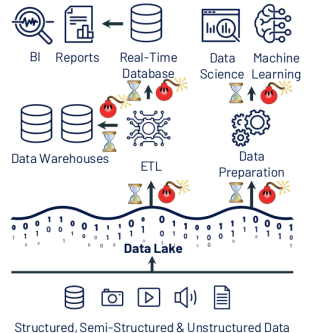
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- ▶ **Data reliability** suffers:
 - **Multiple storage systems** with **different semantics**, SQL dialects, etc.
 - **Extra ETL steps** that can go wrong.
- ▶ **Timeliness** suffers and high cost:
 - **Extra ETL steps** **before data is available** in data warehouses.
 - Continuous ETL, duplicated storage



Data Lake vs. Data Warehouse



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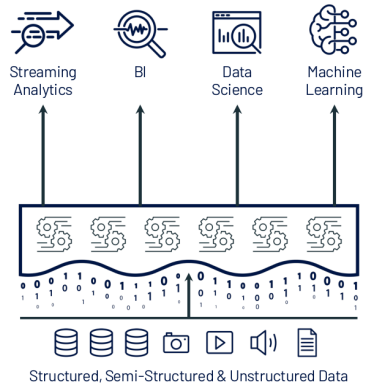
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- ▶ **Data Lake** defines the **schema** after data is **stored** whereas **Data Warehouse** defines the schema **before** data is **stored**.
- ▶ **Data Lake** uses the **ELT** process while the **Data Warehouse** uses **ETL** process.

Lakehouse

Lakehouse Vision



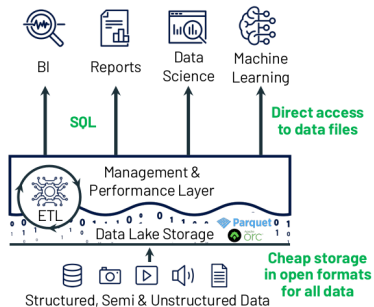
Single platform for every use case

Management features
(transactions, versioning, etc.)

Data lake storage for all data

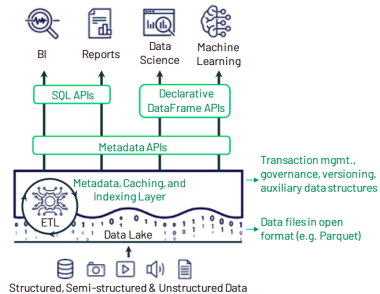
- ▶ **Lakehouse** systems combine the **benefits** of **Data Warehouses** and **Data Lakes** while **simplifying** enterprise data architectures.

- ▶ Implement **Data Warehouse management** and **performance** features on top of **directly-accessible data** in **open formats**.



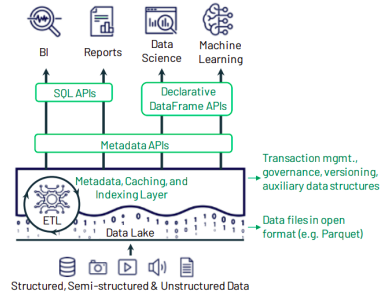
Key Technologies Enabling Lakehouse

► Metadata layers for Data Lakes



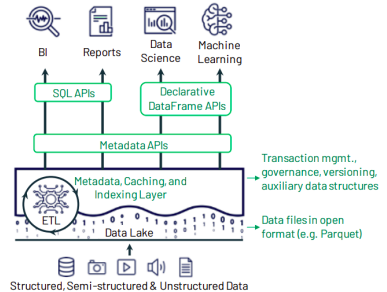
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- ▶ New query engine designs



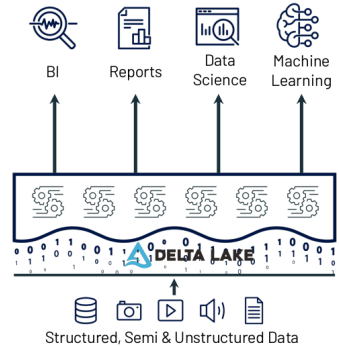
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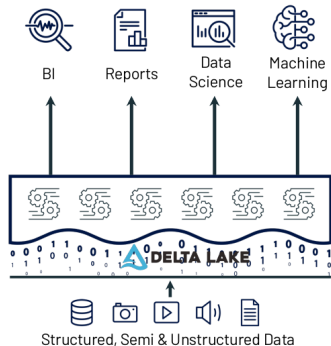
Metadata Layers for Data Lakes

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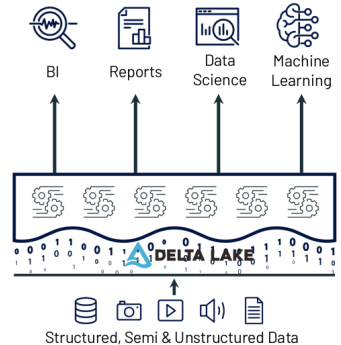
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- ▶ Add **transactions**, **versioning**, and more ...
- ▶ **Track** which files are part of a **table version** to offer rich management features like **transactions**.



Metadata Layers for Data Lakes

- ▶ Add **transactions**, **versioning**, and more ...
- ▶ **Track** which files are part of a **table version** to offer rich management features like **transactions**.
- ▶ Implemented in **multiple systems**, such as **Delta Lake**.





New Query Engine Designs

- ▶ Great **SQL performance** on **Data Lake** storage systems and file formats.



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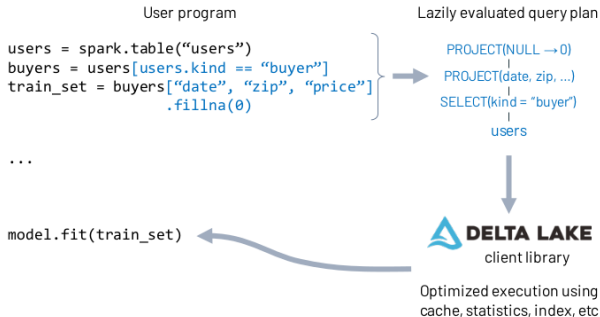
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 - Auxiliary data structures like statistics and indexes



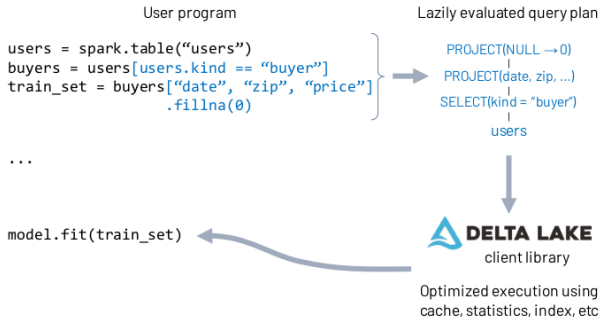
Declarative Access for Data Science and ML

- ▶ New declarative interfaces for I/O enable further optimization.



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- ▶ Example: Spark DataFrame API compiles to relational algebra.







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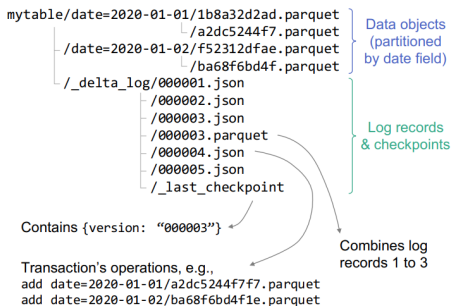


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- ▶ Provides **ACID** transactions.
- ▶ Provides **scalable metadata handling**.
- ▶ Provides **time travel** and **versioning**.
- ▶ **Unifies streaming** and **batch** data processing.

Delta Lake Table

- ▶ **Delta Lake Table** is a **directory** (e.g., `mytable`) that holds **data objects** and a **log of transaction operations**.





DeltaLog

- ▶ **DeltaLog** is a **transaction log** that **tracks all changes** that users make to the table.



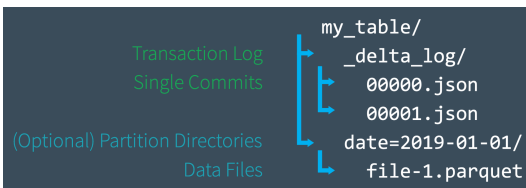
DeltaLog

- ▶ **DeltaLog** is a **transaction log** that **tracks all changes** that users make to the table.
- ▶ **Delta Lake** uses the **DeltaLog** for many features including **ACID transactions**, scalable metadata handling, **time travel**, etc.



DeltaLog Structure (1/2)

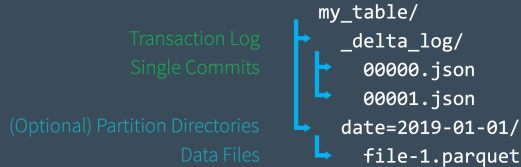
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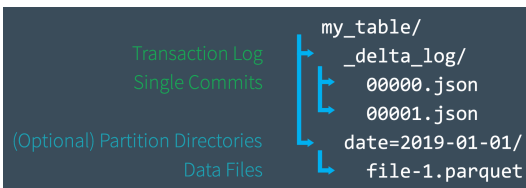
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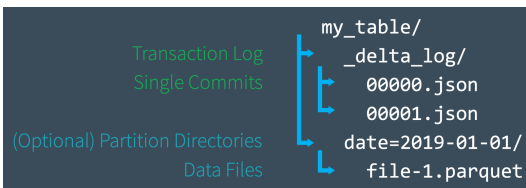
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- ▶ **Additional changes** to the table generate **subsequent JSON files** in **ascending numerical order**, e.g., **`000001.json`**, **`000002.json`**, and so on.





Deltalog Structure (2/2)

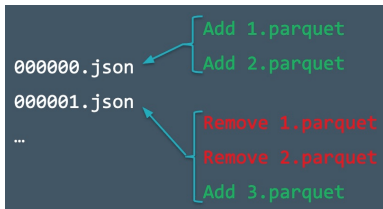
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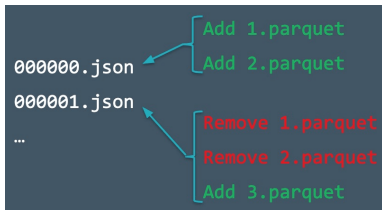
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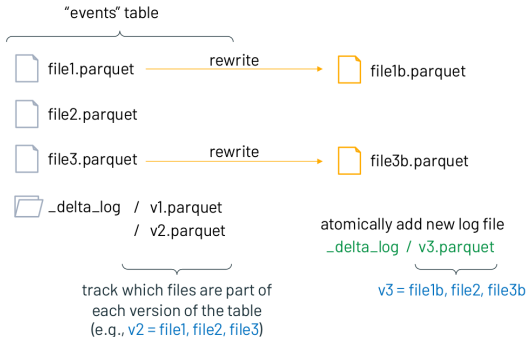
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- ▶ Then, assume **remove those files** and **add 3.parquet** instead.
- ▶ Those actions would be recorded as the **next commit** in the **DeltaLog**, as **000001.json**.



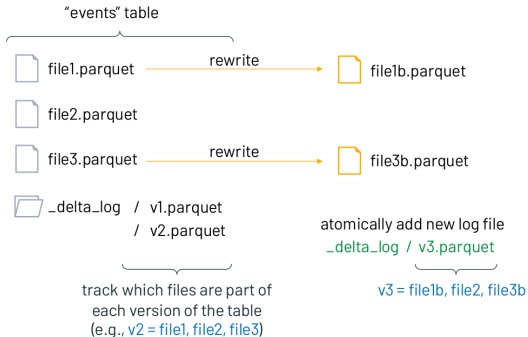
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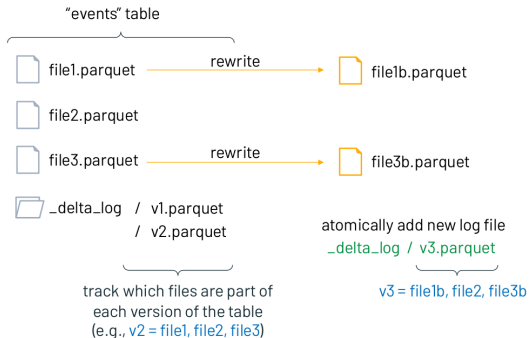
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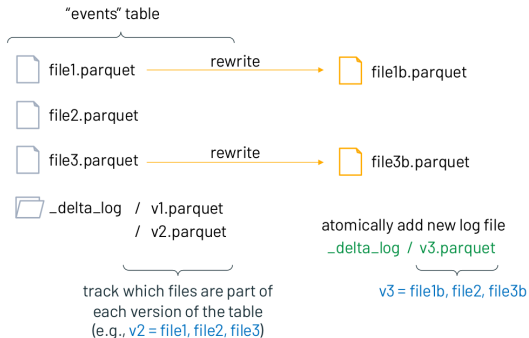
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 - If a client reads v3 of log, it sees file1b, file2, file3b (all deleted)



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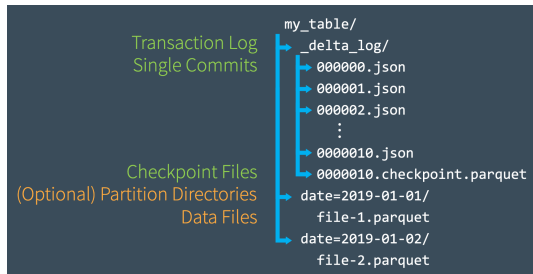
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- ▶ **Commit info**: information around commit for auditing



Quickly Recomputing State With Checkpoint Files

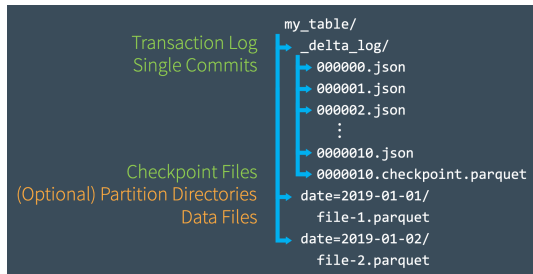
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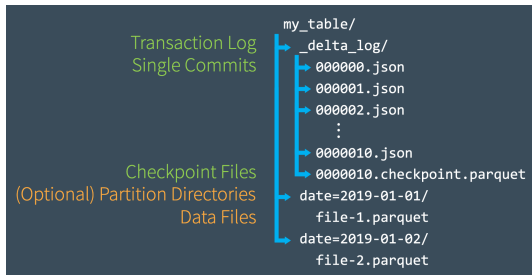
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- ▶ The checkpoint files save the **entire state of the table** at a **point in time**.
- ▶ They are in native **Parquet format** that is quick and easy for **Spark** to read.





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- ▶ Optimistic concurrency control



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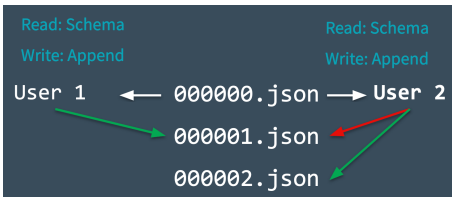
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 4. If **someone else wins**, check whether **anything you read has changed**.
 5. Repeat.



Optimistic Concurrency Control (2/2)

- ▶ Two users read from the same table, then each attempts to add some data to it.
- ▶ Here, we run into a conflict because only one commit can come next and be recorded as 000001.json.
- ▶ **Mutual exclusion:** only one user can successfully make commit 000001.json (user 1's commit is accepted, while user 2's is rejected).
- ▶ However, Delta Lake does not throw an error for user 2 and handles this conflict optimistically.





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- ▶ Thus, we can **recreate the state of a table** at **any point in time**.
 - Starting with an **original table**, and processing only commits made **prior to that point**.
- ▶ This ability is known as **time travel** or **data versioning**.



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Use Cases - Data Lineage and Debugging

- ▶ The Delta Lake [DeltaLog](#) offers users a **verifiable data lineage**.
- ▶ It is useful for **governance**, **audit** and **compliance** purposes.
- ▶ It can also be used to **trace the origin of an inadvertent change or a bug** in a pipeline back to the **exact action that caused it**.

Schema Enforcement and Evolution



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- ▶ With Delta Lake, as the data changes, **incorporating new dimensions** is **easy**.
- ▶ **Schema enforcement**: prevents users from **accidentally polluting** their tables with **mistakes** or **garbage data**.
- ▶ **Schema evolution**: enables **automatic addition of columns** when desired.



Understanding Table Schemas

- ▶ Spark **DataFrames** contain the **schema**.
- ▶ With Delta Lake, the **table's schema** is saved in **JSON format** inside the **DeltaLog**.

```
schemaString: {"type":"struct","fields":[  
  {"name":"loan_id","type":"long","nullable":false,"metadata":{}},  
  {"name":"funded_amnt","type":"integer","nullable":true,"metadata":{}},  
  {"name":"paid_amnt","type":"double","nullable":true,"metadata":{}},  
  {"name":"addr_state","type":"string","nullable":true,"metadata":{}}  
]}
```



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- ▶ If the **schema is not compatible**, Delta Lake **Cancels the transaction**, i.e., **no data is written**.
- ▶ As well, Delta Lake **raises an exception** to let the user know about the mismatch.



Schema Enforcement Rules

- ▶ **Rule 1:** cannot contain any additional columns that are not present in the target table's schema.



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Schema Enforcement Rules

- ▶ **Rule 1:** cannot contain any **additional columns** that are **not present** in the **target table's schema**.
- ▶ **Rule 2:** cannot have **column data types** that **differ** from the column data types in the **target table**.
- ▶ **Rule 3:** Can not **contain column names** that **differ** only by **case**.



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- ▶ **Schema evolution** allows users to **change a table's current schema** to accommodate data that is changing over time.



Schema Evolution

- ▶ **Schema evolution** allows users to **change a table's current schema** to accommodate data that is changing over time.
- ▶ Most commonly used operations for **append** and **overwrite**.

Delta Lake and Spark



Loading Data into a Delta Lake Table (1/2)

- ▶ All you need to migrate any of the **structured data** formats (e.g., Parquet) to **Delta Lake** is to use `format("delta")`.

```
// Configure source data and Delta Lake path  
val sourcePath = "loan-risks.snappy.parquet"  
val deltaPath = "loans_delta"  
  
// Create the Delta table with the same loans data  
spark.read.format("parquet").load(sourcePath).write.format("delta").save(deltaPath)  
  
// Create a view on the data called loans_delta  
spark.read.format("delta").load(deltaPath).createOrReplaceTempView("loans_delta")
```



Loading Data into a Delta Lake Table (2/2)

```
// Read and explore the data
spark.sql("SELECT count(*) FROM loans_delta").show()

+-----+
|count(1)|
+-----+
|  14705|
+-----+

// First 3 rows of loans table
spark.sql("SELECT * FROM loans_delta LIMIT 3").show()

+-----+-----+-----+-----+
|loan_id|funded_amnt|paid_amnt|addr_state|
+-----+-----+-----+-----+
|      0|      1000|    182.22|      CA|
|      1|      1000|    361.19|      WA|
|      2|      1000|    176.26|      TX|
+-----+-----+-----+-----+
```



Loading Data Streams into a Delta Lake Table

- ▶ You can modify your existing **Structured Streaming jobs** to write to and read from a Delta Lake table by setting the format to `"delta"`.



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```
import org.apache.spark.sql.streaming._

// Streaming DataFrame with new loans data
val newLoanStreamDF = ...

// Directory for streaming checkpoints
val checkpointDir = ...

val streamingQuery = newLoanStreamDF.writeStream
  .format("delta")
  .option("checkpointLocation", checkpointDir)
  .trigger(Trigger.ProcessingTime("10 seconds"))
  .start(deltaPath)
```



Schema Enforcement

- ▶ All writes to a Delta Lake table can **verify** whether the data being written has a **schema compatible** with that of the table.

```
val loanUpdates = Seq(  
  (1111111L, 1000, 1000.0, "TX", false),  
  (2222222L, 2000, 0.0, "CA", true))  
.toDF("loan_id", "funded_amnt", "paid_amnt", "addr_state", "closed")
```

```
loanUpdates.write.format("delta").mode("append").save(deltaPath)
```

```
// The exception message:
```

```
// This write will fail with the following error message:
```

```
// org.apache.spark.sql.AnalysisException: A schema mismatch detected when writing
```

```
// to the Delta table (Table ID: 48bfa949-5a09-49ce-96cb-34090ab7d695).
```



Schema Enforcement

- ▶ All writes to a Delta Lake table can **verify** whether the data being written has a **schema compatible** with that of the table.
- ▶ If it is **not compatible**, Spark will **throw an error** before any data is written and committed to the table.

```
val loanUpdates = Seq(  
  (1111111L, 1000, 1000.0, "TX", false),  
  (2222222L, 2000, 0.0, "CA", true))  
.toDF("loan_id", "funded_amnt", "paid_amnt", "addr_state", "closed")
```

```
loanUpdates.write.format("delta").mode("append").save(deltaPath)
```

```
// The exception message:  
// This write will fail with the following error message:  
// org.apache.spark.sql.AnalysisException: A schema mismatch detected when writing  
// to the Delta table (Table ID: 48bfa949-5a09-49ce-96cb-34090ab7d695).
```



Schema Evolution

- ▶ A new column can be explicitly added by setting the option `mergeSchema` to `true`.

```
loanUpdates.write.format("delta").mode("append")  
  .option("mergeSchema", "true")  
  .save(deltaPath)
```



Transforming Existing Data - Updating Data

- ▶ Delta Lake supports **UPDATE**, **DELETE**, and **MERGE** commands



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- ▶ They ensure **ACID guarantees**.



Transforming Existing Data - Updating Data

- ▶ Delta Lake supports `UPDATE`, `DELETE`, and `MERGE` commands
- ▶ They ensure **ACID guarantees**.
- ▶ Assume we want to change all `addr_state = 'OR'` to `addr_state = 'WA'` in a table.

```
import io.delta.tables.DeltaTable
import org.apache.spark.sql.functions._

val deltaTable = DeltaTable.forPath(spark, deltaPath)

deltaTable.update(
  col("addr_state") === "OR",
  Map("addr_state" -> lit("WA")))

```



Transforming Existing Data - Deleting Data

- ▶ Deleting user data from all tables.

```
val deltaTable = DeltaTable.forPath(spark, deltaPath)
deltaTable.delete("funded_amnt >= paid_amnt")
```




Auditing Data Changes with Operation History

- ▶ All of the changes are recorded as commits in the table's `DeltaLog`.
- ▶ Every operation is automatically versioned.
- ▶ You can query the table's operation history.

```
deltaTable
  .history(3)
  .select("version", "timestamp", "operation", "operationParameters")
  .show(false)
```



Querying Previous Snapshots of a Table with Time Travel

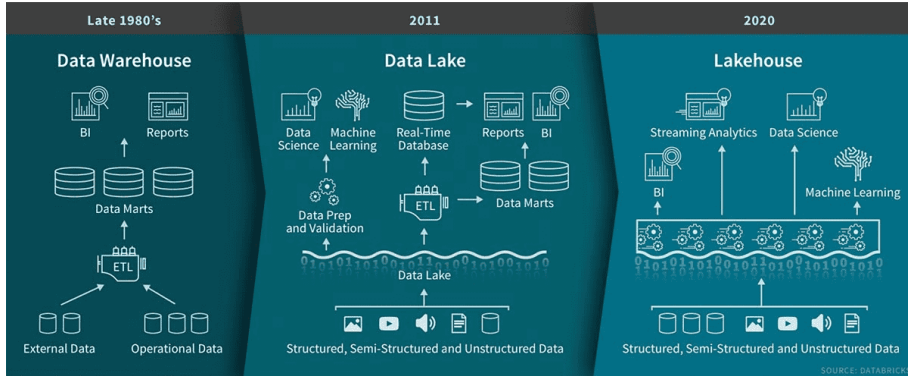
- ▶ You can query **previous versioned snapshots** of a table by using the `DataFrameReader` options `versionAsOf` and `timestampAsOf`.

```
spark.read.format("delta")
  .option("timestampAsOf", "2020-01-01") // timestamp after table creation
  .load(deltaPath)

spark.read.format("delta")
  .option("versionAsOf", "4")
  .load(deltaPath)
```

Summary

Summary





References

- ▶ J. S. Damji et al., “Learning Spark - Lightning-Fast Data Analytics”, O’Reilly Media, 2020 - Chapters 9
- ▶ M. Armbrust et al., “Lakehouse: A New Generation of Open Platforms that Unify Data Warehousing and Advanced Analytics”, CIDR 2021
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Questions?

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